



UN-REDD Programme Fund Funding Framework

(As of 20 November 2013)

UN-REDD PROGRAMME ELEVENTH
POLICY BOARD MEETING

8-10 December 2013

Geneva, Switzerland

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Introductory Notes

The Funding Framework is periodically submitted to the Policy Board of the UN-REDD Programme Fund by the Executive Coordinator of the Multi-Partner Trust Fund Office. Table 1 provides information on fund availability as required by the Policy Board for approval of new joint programmes. The document also presents more detailed information on amounts pledged, contributions received, amounts transferred to Participating UN Organizations and amounts approved for distribution to a Participating Organization but pending transfer.

Table 1: Fund Status

as of 20 November 2013, values in US\$

This table highlights: (a) total funds received by the MPTF Office as Administrative Agent; (b) funds transferred to Participating UN Organizations less any refunds; and (c) the current fund balance, which is allocated split between (i) funds that have already been approved and are programmed but not yet transferred to a Participating UN Organization; and (ii) funds remaining to be programmed. These figures [(a) through (c)] have been further segregated into amounts earmarked or un-earmarked by donors.

Funds with Administrative Agent	Un-earmarked	Earmarked ¹	Total
Gross Deposits	144,231,398	26,907,565	171,138,963
Interest from Fund (as of 31 December 2012) ²	1,838,760		1,838,760
Interest from Participating UN Organization	431,206		431,206
Total source of funds	146,501,364	26,907,565	173,408,929
			-
Transferred to Participating Organizations	127,864,415	26,638,489	154,502,904
Administrative Agent Fee	1,324,693	269,076	1,593,769
Estimated Administrative Agent Direct Costs ³	117,621	-	117,621
Bank Charges	3,179	-	3,179
Total use of funds	129,309,907	26,907,565	156,217,473
Balance with Administrative Agent	17,191,457	-	17,191,457
Funds Programmed (not transferred) ⁴	14,212,782	-	14,212,782
Reduction in Funds programmed to adjust Bolivia allocation ⁵	(3,520,409)	-	(3,520,409)
Total funds programmed	10,692,373	-	10,692,373
Available Balance ⁶	6,499,084	-	6,499,084

¹ Contributions earmarked by Japan and Norway to the Global Programme

² Interest is applied annually in March for the previous year

³ AA direct costs are chargeable on the EC contribution in lieu of AA fees

⁴ Funds approved by the Policy Board but not yet transferred pending the finalization of the joint programme documents; or for 3-year programmes receiving funds annually.

Additional detail is provided in Table 5.

⁵ The adjustment to the budget allocation is pending a partial refund of the original amount transferred to UNDP.

⁶ Funds available for allocation/programming by Policy Board

Table 2: Donor Pledges, Commitments and Deposits

as of 20 November 2013, values in US\$ (except where noted)

This table highlights: (a) amounts pledged by donors but not yet formalized through a signed SAA; (b) total donor commitments made to the fund through signed SAAs; (c) amounts deposited; and (d) net deposits comprising the total programmable amount (i.e. the amount deposited by a donor less the AA fee) split between: (i) amounts earmarked to a specific country or (ii) amounts un-earmarked.

Contributor/Partner	Outstanding Pledges ¹			Commitments ²	Total Gross Deposits	Net Deposits ³		
	Currency	Amount	USD			Unearmarked	Earmarked	Total
DENMARK				9,873,610	8,076,988	7,996,218		7,996,218
EUROPEAN COMMISSION				13,060,801	11,762,100	11,644,479 ⁴		11,644,479
JAPAN				3,046,138	3,046,138		3,015,677 ⁵	3,015,677
LUXEMBOURG				2,674,100	2,674,100	2,647,359		2,647,359
NORWAY	USD	44,000,000		141,192,649	141,192,649	116,157,909	23,622,813 ⁵	139,780,722
SPAIN				4,386,989	4,386,988	4,343,119		4,343,119
Totals		44,000,000		174,234,287	171,138,963	142,789,084	26,638,490	169,427,574

¹ Outstanding Pledges refer to the indication of intent to contribute funds. The pledge from Norway is pursuant to parliamentary appropriation and the donors' review that all administrative arrangements in the agreement between the parties are met.

² Commitments refer to funds where the Standard Administrative Arrangement with the donor has been concluded.

³ Net Deposits refer to the funds deposited by the donor to the bank account of UNDP/MPTF Office and adjusted for 1% Administrative Agent fee.

⁴ AA direct costs are chargeable on the EC contribution in lieu of AA fees.

⁵ Contributions Earmarked by Japan and Norway to the Global Programme.

Table 3: Programmes Approved for Funding to National and Global Programmes

as of 20 Nov 2013, values in US\$

This table highlights the total amount approved for each national or global programme split between amounts allocated to each of the Participating UN Organisations (FAO, UNDP and UNEP) as per the approved Submission Form.

UN REDD Programmes	Total Approved	Funds Approved		
		FAO	UNDP	UNEP
<u>National Programmes:</u>				
Bolivia ¹	4,708,000	1,819,000	2,889,000	-
Cambodia	3,001,350	1,300,050	1,551,500	149,800
Colombia	4,000,000	1,903,836	1,339,131	757,033
Congo	4,000,000	1,368,262	1,813,188	818,550
Congo, The Democratic Republic	7,383,200	2,926,450	3,110,690	1,346,060
Ecuador	4,000,000	1,694,575	1,457,021	848,404
Indonesia	5,644,250	1,498,000	2,996,000	1,150,250
Nigeria	4,000,000	1,130,990	2,400,350	468,660
Panama	5,300,000	2,189,000	2,067,350	1,043,650
Papua New Guinea	6,388,884	4,520,750	1,707,634	160,500
Paraguay	4,720,001	2,190,000	1,490,001	1,040,000
Philippines	500,000	315,650	162,950	21,400
Solomon Islands	550,000	-	550,000	-
Sri Lanka	4,000,000	2,578,700	979,050	442,250
Tanzania	4,280,000	1,498,000	2,568,000	214,000
Viet Nam	4,384,756	1,690,814	2,501,128	192,814
Zambia	4,490,000	2,180,000	1,995,000	315,000
<u>Global Programmes:</u>				
UN-REDD International Support	15,895,043	4,358,875	4,679,302	6,856,866
UN-REDD International Support	8,688,584	3,620,810	2,530,632	2,537,142
UN-REDD Support to Country Activities	72,781,618	23,817,360	27,736,769	21,227,489
TOTAL	168,715,686	62,601,122	66,524,696	39,589,868

¹ The adjustment to the budget allocation is pending refund from UNDP.

Table 4: Funds Transferred to National and Global Programmes

as of 20 Nov 2013, values in US\$

This table highlights: net amounts transferred for each national or global programme (transfers less refunds): (i) in aggregate and; (ii) to each Participating UN Organization. Amounts transferred will always be lower than or equal to total approved programme amounts presented in Table 3.

UN REDD Programmes	Total	Participating Organization UN Organization		
		FAO	UNDP	UNEP
<u>National Programmes:</u>				
Bolivia	1,216,055	515,205	700,850	-
Cambodia	3,001,350	1,300,050	1,551,500	149,800
Colombia	-	-	-	-
Congo	4,000,000	1,368,262	1,813,188	818,550
Congo, The Democratic Republic	7,383,200	2,926,450	3,110,690	1,346,060
Ecuador	4,000,000	1,694,575	1,457,021	848,404
Indonesia	5,644,250	1,498,000	2,996,000	1,150,250
Nigeria	4,000,000	1,130,990	2,400,350	468,660
Panama	3,419,720	1,679,900	906,290	833,530
Papua New Guinea	2,591,390	1,666,889	817,501	107,000
Paraguay	4,720,001	2,190,000	1,490,001	1,040,000
Philippines	500,000	315,650	162,950	21,400
Solomon Islands	550,000	-	550,000	-
Sri Lanka	4,000,000	2,578,700	979,050	442,250
Tanzania	4,280,000	1,498,000	2,568,000	214,000
Viet Nam	4,384,756	1,690,814	2,501,128	192,814
Zambia	3,461,521	1,988,247	1,353,269	120,005
<u>Global Programmes:</u>				
UN-REDD International Support	15,880,460	4,344,292	4,679,302	6,856,866
UN-REDD International Support	8,688,584	3,620,810	2,530,632	2,537,142
UN-REDD Support to Country Activities	72,781,618	23,817,360	27,736,769	21,227,489
TOTAL	154,502,904	55,824,194	60,304,491	38,374,220

Table 5: Programmes Approved for Funding but Not Transferred

as of 20 Nov 2013, values in US\$

This table highlights the balance of funds that have been programmed to a national or global programme and to a participating UN organization but have not yet been transferred to the organization due to: (i) funds approved by the Policy Board but not yet transferred pending the finalization of the joint programme documents; or (ii) for 3-year programmes receiving funds annually.

UN REDD Programmes	Balance of Funds	Funds Programmed but not transferred		
		FAO	UNDP	UNEP
National Programmes:				
Bolivia	3,491,945	1,303,795	2,188,150	-
Cambodia	-	-	-	-
Colombia	4,000,000	1,903,836	1,339,131	757,033
Congo	-	-	-	-
Congo, The Democratic Republic	-	-	-	-
Ecuador	-	-	-	-
Indonesia	-	-	-	-
Nigeria	-	-	-	-
Panama	1,880,280	509,100	1,161,060	210,120
Papua New Guinea	3,797,494	2,853,861	890,133	53,500
Paraguay	-	-	-	-
Philippines	-	-	-	-
Solomon Islands	-	-	-	-
Sri Lanka	-	-	-	-
Tanzania	-	-	-	-
Viet Nam	-	-	-	-
Zambia	1,028,479	191,753	641,731	194,995
Global Programmes:				
UN-REDD International Support ¹	14,584	14,584	-	-
UN-REDD International Support	-	-	-	-
UN-REDD Support to Country Activities	-	-	-	-
TOTAL	14,212,782	6,776,929	6,220,205	1,215,648

¹ Balance indicates a refund to the operationally closed Global Programme.