

Global Programme Document - Second Budget Revision

UN-REDD PROGRAMME

23 March 2010



UN-REDD: JOINT PROGRAMME DOCUMENT

Second Budget Revision

1. Cover Page

Global

Programme Title: **UN-REDD Programme – International Support Functions**

Joint Programme Outcome(s): **This second budget revision adds activities to the existing three outcomes,**

Outcome 1: Improved guidance on Measurement, Reporting and Verification (MRV) approaches,

Outcome 2: Increased engagement of stakeholders in the REDD agenda.

Outcome 4: Increased knowledge management, coordination and communication (specifically output 4.4 related to operation of the UN-REDD Programme Secretariat)

<p>Programme Duration: 6 months (<i>within 9 months period, pending on outcome</i>) Anticipated start/end dates: 1 April 2010 / 31 December 2010</p> <p>Fund Management Option: Pass-Through</p> <p>Managing or Administrative Agent: UNDP Multi-Donor Trust Fund Office</p>	<p>Total estimated budget revision*: 3,763,577 US\$</p> <p>Out of which:</p> <p>1. Funded Budget: 3,763,577 US\$</p> <p>2. Unfunded budget: _____</p> <p><small>* Total estimated budget includes both programme costs and indirect support costs</small></p> <p>Budget allocations approved by the 1st UN-REDD Programme Policy Board, 10 March 2009: 6,673,590 US\$</p> <p>Budget allocations approved by the 2nd UN-REDD Programme Policy Board, 15 June 2009: 2,888,415 US\$</p> <p>Total new budget: US\$13,325,582</p>						
<p>Sources of funded budget: UN-REDD programme Fund</p> <ul style="list-style-type: none"> Distribution of Funds <table style="margin-left: 40px; border: none;"> <tr> <td>FAO</td> <td style="text-align: right;">1,133,430 US\$</td> </tr> <tr> <td>UNDP</td> <td style="text-align: right;">1,219,758 US\$</td> </tr> <tr> <td>UNEP</td> <td style="text-align: right;">1,410,389 US\$</td> </tr> </table>	FAO	1,133,430 US\$	UNDP	1,219,758 US\$	UNEP	1,410,389 US\$	
FAO	1,133,430 US\$						
UNDP	1,219,758 US\$						
UNEP	1,410,389 US\$						

Names and signatures of participating UN organizations

UN organizations	National Coordinating Authorities
<p><i>Name of Representative:</i> Peter Holmgren Director, Environment Climate Change and Bioenergy Division</p> <p><i>Signature</i> </p> <p><i>Name of Organization:</i> Food and Agriculture Organization of the United Nations</p> <p><i>Date & Seal</i> 24/3/10</p>	<p><i>Not Applicable</i></p>
<p><i>Name of Representative:</i> Veerle Vandeweerd Director, Environment and Energy Group</p> <p><i>Signature</i> </p> <p><i>Name of Organization:</i> United Nations Development Programme</p> <p><i>Date & Seal</i></p>	<p><i>Not applicable</i></p>
<p><i>Name of Representative:</i> Tim Kasten Deputy Director, Division of Environmental Policy</p> <p><i>Signature</i> </p> <p><i>Name of Organization:</i> United Nations Environment Programme</p> <p><i>Date & Seal</i> 7/4/10</p>	<p><i>Not applicable</i></p>

2. Executive Summary

The activities of the Global Programme support the country efforts on REDD+. They also seek to support the international community at large in building confidence and understanding of the policy and technical aspects of the eventual REDD+ mechanism under the United Nations Framework Convention on Climate Change (UNFCCC). The Global Programme activities focus on providing practical guidance on REDD+, convening technical meetings at regional and international levels, providing data, carrying out and sharing analyses, improving knowledge and awareness of REDD+ opportunities and challenges, and enhancing collaboration among the UN agencies and with other initiatives.

The programme design draws from the respective strengths of the partner agencies in line with One-UN objectives and provides technical and scientific support as well as knowledge management. The original Global programme Document is available at:

www.unredd.net/index.php?option=com_docman&task=doc_download&gid=135&Itemid=53)

At its first meeting (9-10 March 2009, Panama), the UN-REDD Policy Board approved the budget allocations for the Global Programme, amounting to US\$ 6,673,590.

At its second meeting (14-15 June, Montreux), the UN-REDD Policy Board approved the budget allocations to revise the Global Programme, with an amount of US\$ 2,888,415, to incorporate the establishment and operation of the Programme Secretariat and include funds for regional technical support. The Global Programme Document was revised accordingly and is available at:

www.unredd.net/index.php?option=com_docman&task=doc_download&gid=1222&Itemid=53.

As with the first budget revision, **the overall formulation of the programme under this revision remains the same as per the first Global Joint Programme document** signed by FAO, UNDP and UNEP and presented at the first Policy Board Meeting of the UN-REDD Programme (9-10 March 2009, Panama).¹

This time, the budget is revised by an amount of **US\$ 3,763,577** to increase the activities to achieve three of the four outcomes that have been set, namely:

Outcome 1: Improved guidance on Measurement, Reporting and Verification (MRV) approaches

Outcome 2: Increased engagement of stakeholders in the REDD agenda

Outcome 4: Increased knowledge management, coordination and communication (specifically output 4.4 related to operation of the UN-REDD Programme Secretariat)

The main reasons for strengthening these activities is the increased demand from the UN-REDD Programme and other countries for support to testing, developing and implementing MRV methodologies and setting up national MRV systems, as well as an increasing need to promote stakeholder awareness and engagement in REDD+ activities.

3. Results Framework, work plans and budgets

3.1 Budget Revision

The revision is made at the activity level. No changes are made at the outcome or output levels. The total amount of the revision for **six months** is US\$ 3,763,577, to be implemented within nine months period starting on 1 April 2010 to 31 December 2010. Implementation of the new activities under Outcomes 1 (MRV) and 2 (stakeholder engagement) are expected to start immediately. The budget for the UN-REDD

¹ Note that all sections of the original signed Joint Programme Document apply to this budget revision.

programme secretariat is for the period from 1 July to 31 December. *See Table 1: Results Framework and Resource Allocation.*

3.2. Summary of additional activities

As described in the Programme Framework Document of 20 June 2008 (<http://www.undp.org/mdtf/UN-REDD/docs/Annex-A-Framework-Document.pdf>), the three UN agencies – coordinating with other partners – can support the country actions in a variety of ways. Such efforts will be designed to maximize country benefits in the delivery of REDD and to ensure consistency in approaches and economies of scale.

The new activities can be summarized as follows.

Outcome 1: To further support the development of guidance on Measurement, Reporting and Verification (MRV) approaches, the UN-REDD programme will

- Review of the current status of REDD+ monitoring activities in countries in order to enhance and support national coordination on MRV. This will include an analysis of the relationship at both global and national levels between (a) monitoring of co-benefits and (b) greenhouse gases
- Support to organize in-country technical MRV workshops to ensure that capacity building plans to respond to MRV requirement for REDD+
- Provide further technical support to design and development of national monitoring systems and reference emissions levels (RELs) necessary for REDD+ readiness
- Increase capacity of national focal points to better understand and implement IPCC guidelines including by training of national experts to help them join the UNFCCC roster of experts
- Develop MRV Guidelines, specifically a concrete action guide for implementation of a MRV system at country level and
- Identification of lessons from country experiences of developing MRV systems for the development of global guidance.

Outcome 2: To further support the engagement and participation of Indigenous Peoples' (IP) and Civil Society Organizations' (CSO) representatives in the REDD+ activities, the UN-REDD Programme will:

- Finalize the UN-REDD Guidelines on the Engagement of Indigenous Peoples and support their application in countries
Support the participation of IP and CSO representatives in the UN-REDD Programme meetings and in other important global and regional meetings dealing with participation issues
- Support the UN-REDD programme countries to implement a recourse mechanism
- Facilitate IP & CSO engagement in the formulation and implementation of National Programmes through guidance, contacts with IP & CSO networks, follow up on consultation processes and distribution of consultation reports
- Facilitate targeted communication
- Provide national and regional training and capacity building on REDD for Indigenous Peoples and Civil Society Organizations
- Map out research already undertaken or available (incl. ongoing initiatives) to inform policy guidance and compile it online and identify needs to undertake research in order to formulate policy guidance on land and resource rights, REDD payment structuring, and role of IP and local communities and traditional knowledge in MRV.

In many of these activities under Outcome 2, a close link will be established with the IUCN "Towards Pro-poor REDD" project.

Outcome 4: Increased knowledge management, coordination and communication. This is largely done through a fully operational UN-REDD Programme Secretariat (output 4.4.) with the following main activities:

- Organize Policy Board meetings (including providing travel support to member participants from developing countries)

- Develop the UN-REDD programme further by increasing the number of countries and seeking additional funding to support their capacity development toward REDD+
- Monitor and evaluate the UN-REDD Programme, including:
 - Quality assurance and oversight of national joint programmes (support to development and implementation of national programmes)
 - Quality assurance and oversight of the international support functions (support to delivery of global activities to ensure coherence)
 - Plan and carry out an evaluation of the UN-REDD Programme
- Provide leadership in strategic planning
- Build partnerships and maintain external relations – enhance collaboration
- Support the participating agencies to coordinate their efforts to increase synergies and efficiency and strengthen joint programming
- Enhance and apply innovative knowledge management, including by maintaining the UN-REDD website as well as the community of practice on the interactive Workspace, which all participating countries and partner initiatives can benefit from and contribute to
- Share information and lessons learned from country and agency efforts; and communicate on all aspects of REDD+.

Note: This budget revision UN-REDD Programme Secretariat enables its operation until end of 2010. The Secretariat plans to request a new budget for 2011 in the last quarter of 2010 (5th Meeting of the Policy Board).

3.3. Results framework, work plan and budget

The following tables describe the results framework, budget allocations and work plan (table 1) and a summary of the costs (tables 2 and 3) for the additional activities:

The work plan and budget of this Programme has been developed jointly by the three participating UN organizations. It details the activities under the existing outcomes 1, 2 and 4 to be carried out within the UN-REDD programme, timeframes and planned inputs from the participating UN organizations as well as expected outcomes and outputs.

As necessary, a revised work plan and budget will be produced subsequent to the decisions of the annual/regular reviews.

Table 1. UN-REDD Global Programme, second budget revision. Results framework, budget allocations, indicative activities and work plan. March 2010.

UN-REDD Global Programme							
Second Budget Revision							
Global Programme Outcome 1 : Improved guidance on measurement, reporting and verification (MRV) approaches (from 1 April to 30 September 2010)							
Output	Participating UN organization	Implementing partner	Indicative Activity	Time frame		Resource allocation	Remarks
				2010			
				Q2	Q3		
1.2 MRV training program developed and applied at regional levels	FAO	UNEP	<p>Review of the current status of REDD+ monitoring activities in countries in support of national coordination on MRV</p> <ul style="list-style-type: none"> - Inventory of current situation, tools and projects already in place - Consolidation of a common framework among different initiatives with a clear division of work for different MRV components - Plan/program activities related to a national MRV system - Analyse the relationship at both global and national levels between monitoring of co-benefits, greenhouse gases and safeguards 	x	x	200'000.00	

	FAO	UNEP	<p>Support to organize technical MRV workshops</p> <ul style="list-style-type: none"> - ensure that capacity building plans to respond to MRV requirement for REDD+ - support national coordination and liaison between institutions dealing with national MRV activities as designed by countries - Planned workshops include: <ul style="list-style-type: none"> - Carbon inventories workshop with IPCC experts - Two regional workshops - One global workshop 	x	x	200'000.00	
	FAO		<p>Further technical support to design and development of the MRV framework, including national monitoring systems and reference emissions levels (RELS) necessary for REDD+ readiness</p> <ul style="list-style-type: none"> - assessment of MRV requirements, including scope, methodology and accuracy requirements - planning to integrate sub-national MRV systems into national systems - support for a MRV and REL training and awareness-raising workshops for relevant stakeholders - assessment of existing coordination mechanisms among different sectors, and developing a system to integrate the REDD MRV into relevant sectors. 	x	x	290'000.00	
	FAO		<p>Increase capacity of national focal points to better understand and implement IPCC guidelines</p> <ul style="list-style-type: none"> - Training for national focal points to help them become members of UNFCCC roster of experts. 	x	x	200'000.00	

1.3 Technical reviews, assessment of available tools and guidance material developed	FAO	UNEP	<p>Development of MRV Guidelines</p> <ul style="list-style-type: none"> - Develop concrete action guide for an efficient implementation of a MRV system at country level. - Identify the lessons from country experiences of developing MRV systems for the development of global guidance on the development of REDD monitoring systems. <p>Development of MRV guidelines</p> <ul style="list-style-type: none"> - Develop concrete action guide for an efficient implementation of a MRV system at country level. - Identify the lessons from country experiences of developing MRV systems for the development of global guidance on the development of REDD monitoring systems. 	x	x	100'000.00	
			Total Outcome 1 (MRV)			990'000.00	
Global Programme Outcome 2 : Increased engagement of stakeholders in the REDD agenda (from 1 April to 31 September 2010)							
Output	Participating UN organization	Implementing partner	Indicative Activity	Time frame		Resource allocation	Remarks
				2010			
				Q2	Q3		
2.1 IP representative groups informed and engaged	UNDP		<p>Support to UN-REDD Programme Operational Guidance on Engagement of Indigenous Peoples and Other Forest Dependent Communities:</p> <ul style="list-style-type: none"> - Finalization of UN-REDD Guidelines on the Engagement of Indigenous Peoples, December 2009 - Support to UN-REDD RTAs and focal points on application of Guidelines in UN-REDD Programme pilot countries and in IUCN Towards Pro-poor REDD project countries, as appropriate. 	x	x	100'000.00	Input to Guidelines from lessons learned from IUCN's Towards pro-poor REDD project Cooperation on IP focused activities in Indonesia

	UNDP		Engagement with Global and Regional IP & CSO Processes on REDD - Representation of UN-REDD Programme at IP & CSO meetings, summits, and congresses (UN Permanent Forum on Indigenous Issues, CSD, UNFCCC, regional caucus meetings, regional network congresses, etc.), ongoing.	x	x	60'000.00	
	UNDP		IP & CSO Representation on UN-REDD Programme Policy Board - Support annual self-selection process to identify Indigenous Peoples and Civil Society Organizations representatives to the UN-REDD Programme Policy Board, ongoing - Support participation of Indigenous Peoples and Civil Society representatives (full members and observers) in UN-REDD Programme Policy Board meetings (2-3/year), ongoing - Support IP and CSO representatives to consult with their constituencies prior to Policy Board meetings and share information following the latter.	x	x	150'000.00	
	UNDP		Recourse Mechanism for IP & CSO complaints regarding UN-REDD Programme activities - Establishment within Policy Board & Secretariat, Oct 2009 - Support to National Programmes to implement recourse mechanism, ongoing.	x	x	30'000.00	

			<p>Facilitation of IP & CSO Engagement in National Programmes:</p> <ul style="list-style-type: none"> - Provision of guidance, contact with IP & CSO networks, follow up on consultation process, distribution of consultation reports, ongoing - Support to ensure IP & CSO participation in Programme Document Formulation (in coordination with missions), ongoing - Supplementary budget to support IP & CSO consultations and other engagement activities within National Programmes, ongoing. 	x	x	200'000.00	
	UNDP		<p>Communication & Outreach Strategy targeted at Indigenous Peoples and Civil Society Organizations:</p> <ul style="list-style-type: none"> - Primer on UN-REDD Programme for IP and CSOs, Oct 2009 - Appoint a focal point to create an information/discussion network, outreach, etc., ongoing - Coordinate global, regional, and national communication & outreach strategies, ongoing 	x	x	150'000.00	
	UNDP		<p>Training/Capacity Building on REDD</p> <ol style="list-style-type: none"> 1. For IPs/CSOs <ul style="list-style-type: none"> - Organize/contract national and regional trainings on REDD 2. For National/Regional Staff <ul style="list-style-type: none"> - Contract trainings on REDD and IP Engagement for National/Regional UN-REDD Staff and National counterparts (in coordination with missions), ongoing. 			200'000.00	Collaboration with IUCN's Towards Pro-poor REDD project to provide trainings for national IP and CSO networks on REDD

	UNDP		Research & Analysis of IP & CSO REDD Issues: - Map out research already undertaken or available (incl. ongoing initiatives) to inform policy guidance and compile it online - Identify needs to undertake research in order to formulate Policy Guidance on: <ul style="list-style-type: none"> - Land/Resource Rights and REDD, October 2009 - REDD Payment Structuring, December 2009 - Role of IP and local communities and traditional knowledge in MRV, December 2009. 	x	x	100'000.00	Coordinated policy-practice linkages with IUCN's Towards Pro-poor REDD project, drawing from best practice to test pro-poor and equitable governance, finances, and benefit-sharing approaches.
			TOTAL Outcome 2 (IP/CSO engagement)			990'000.00	
Global Programme Outcome 4 : Increased knowledge management, coordination and communication (from 1 July to 31 December 2010)							
Output	Participating UN organization	Implementing partner	Indicative Activity	Time frame		Resource Allocation	Remarks
				2010			
				Q3	Q4		
4.4 UN-REDD Programme Secretariat operating	ALL		Organize two Policy Board meetings (costs for the 4th and 5th Policy Board Meetings & cover retroactively additional costs for the first three Policy Board meetings)*, including travel support to participants from developing countries as well as documents preparation, venue and coordination.		x	636,700	
			Provide strategic leadership. Develop UN-REDD Programme strategy including fund raising to meet increasing demand for country participation and enhancing collaboration with other REDD+ initiatives (liaison & coordination).	x	x	381'700	

		Enhance and apply innovative knowledge management, including by maintaining the UN-REDD website as well as the community of practice on the interactive Workspace, which all participating countries and partner initiatives can benefit from and contribute to.	x	x	175'000		
		Share information and lessons learned from country and agency efforts; and communicate on all aspects of REDD+.	x	x	175'000		
		Monitor and evaluate, and further develop the UN-REDD Programme, including: - Quality assurance and oversight of national joint programmes (support to preparation and implementation of national programmes) - Quality assurance and oversight of the international support functions (support to global activities to ensure coherent delivery) - Plan and carry out evaluation of the UN-REDD Programme.	x	x	240'177		
		Supporting the participating agencies to coordinate their efforts to increase synergies and efficiency and strengthen joint programming.	x	x	175'000		
					1'783'577		
TOTAL FOR THE SECOND BUDGET REVISION (outcomes 1, and 4)						3'763'577	Including indirect support cost (7 %)

*Note: * UNEP has advanced funds for the 4th Policy Board meeting; and also included is a shortfall of \$150,000 covering unbudgeted additional costs encountered for the delivery of the first three Policy Board meetings.*

Table 2. UN-REDD Global Programme. Second budget revision. Summary of costs by outcomes. March 2010.

Programme cost	FAO	UNDP	UNEP	Total (US\$)
MRV	803'734		121'500	925'234
IP/CSO		925'234		925'234
Secretariat and organization of Policy Boards	255'546	214'727	1'196'621	1'666'894
<i>Subtotal</i>	<i>1'059'280</i>	<i>1'139'961</i>	<i>1'318'121</i>	<i>3'517'362</i>
Indirect support cost (7%)	74'150	79'797	92'268	246'215
Grand Total	1'133'430	1'219'758	1'410'389	3'763'577

Table 3. UN-REDD Global Programme. Second budget revision. Summary of costs. March 2010.

Summary of Costs (US \$)		
FAO	Programme Cost	1,059,280
	Indirect Support Cost	74,150
UNDP	Programme Cost	1,139,961
	Indirect Support Cost	79,797
UNEP	Programme Cost	1,318,121
	Indirect Support Cost	92,268
Total	Programme Cost	3,517,362
	Indirect Support Cost	246,215
TOTAL		3,763,577

4. Monitoring

Monitoring of international support functions occurs throughout the project implementation. Table 3 below presents the Joint Programming Monitoring Framework for this budget revision.

Table 4. Joint Programming Monitoring Framework

International Support Functions		Indicators	Means of Verification	Collection Method	Responsibilities (Lead Agency)	Risks and Assumptions
Expected Outcomes	Expected Outputs					
Outcome 1. Improved guidance on Measurement, Reporting and Verification (MRV) approaches					FAO	
	1.2 MRV training program developed and applied at regional levels	- Training workshops organized in all 3 regions	- Workshop reports	- UN-REDD website and workspace	FAO	Training programme modules are developed in time
	1.3 Technical reviews, assessment of available tools and guidance material developed	- MRV guidelines developed - Number of experts trained	- Working papers - Peer-reviews - Workshop reports	- Papers and feedback from reviews - UN-REDD website and workspace	FAO	Reviews and guidelines provided timely and are in line and contribute to the implementation of IPCC guidelines

International Support Functions		Indicators	Means of Verification	Collection Method	Responsibilities (Lead Agency)	Risks and Assumptions
Expected Outcomes	Expected Outputs					
Outcome 2. Increased engagement of stakeholders in the REDD agenda					UNDP	
	2.1 IP representative groups informed and engaged	<ul style="list-style-type: none"> - Group Training Workshops at national & regional levels - IP & CSO representation on the Policy Board - IP consultation process in place and at least 3 regional consultations held 	<ul style="list-style-type: none"> - Feedback from IPs and consultation reports 	<ul style="list-style-type: none"> - UN-REDD website - Workshop Reports - Policy Board reports 	UNDP	<p>Groups are organized in time</p> <p>Positive contribution to the REDD+ awareness raising and national strategy development</p>
Outcome 4. Increased knowledge management, communication and coordination					FAO, UNDP, UNEP	
	4.4 Establishment and operation of the UN-REDD Programme Secretariat	<ul style="list-style-type: none"> - Successful Policy Board meetings - Effective support to the UNFCCC process 	<ul style="list-style-type: none"> - Website - Policy Board reports - Programme outputs & reviews 	<ul style="list-style-type: none"> - Website hits and feedback - Progress reports - Programme monitoring tool 	UNEP, FAO and UNDP	Timely outputs, and contribution – ultimately - to the efforts by countries in building their capacity on REDD+

International Support Functions		Indicators	Means of Verification	Collection Method	Responsibilities (Lead Agency)	Risks and Assumptions
Expected Outcomes	Expected Outputs					
		- Effective oversight of the national and global programmes		- Programme products and services		