

## **Statement of the National Readiness Preparation Proposal (R-PP) Review Workshop participants on the draft R-PP for the National UN-REDD Programme for Sri Lanka**

Today, 12 January 2012, over 40 members of a wide range of stakeholders met for the National R-PP Review Workshop at the Sri Lanka Foundation Institute in Colombo to discuss the design and submission of the National UN-REDD Programme for Sri Lanka. Participants comprised members of non-governmental organizations and civil society, academic experts, researchers, senior officials from various government agencies, private sector representatives, professionals of the media, members of development partners and donors, among others. We constitute a heterogeneous group with an interest in REDD+ and the proposed REDD+ readiness process in Sri Lanka, and several of us have been consulted during the preparation of the National UN-REDD Programme.

Today we were presented with a brief overview of the R-PP development, progress made since Sri Lanka was invited by the UN-REDD Secretariat to prepare the R-PP, and management arrangements for the implementation of the Programme. We discussed and examined the arrangements and in particular the proposed Outcomes and Outputs.

We endorse the management arrangements and the Programme's outcomes and outputs as proposed in the draft. Several amendments were suggested at Outcome, Output and Activity levels, and the drafting team agreed to include them in the final draft to be submitted to the UN-REDD Policy Board.

The main recommendations for the finalization of the document are as follows:

### **General Comments**

- Need clarification on what is expected by the donor as Log Frame. It should have verifiable indicators and assumptions.
- Decision on the final outcomes (5) should have been done initially before breaking up into groups since they are all interconnected.

### **Under Outcome 3**

- Consult and identify a plot location for Free, Prior and Informed Consent (FPIC) and Pilot FPIC and document lessons (linked to Output 5.6) – explain further
- Customized communication should be carried out for communities.
- Request to include "Enhancing capacity of Forest Department conservation centers to hold education programmes on REDD+ by CBOs and NGOs" as a separate activity.

### **Institutional Arrangements**

- The Ministry of Environment as a REDD+ focal institution of the Government of Sri Lanka will in due course identify and coordinate with key government institutions (including the Department of Wildlife Conservation of the Ministry of Agrarian Services and Wildlife).

### **Specific Changes and Recommendations:**

<b>Original Section and Text</b>	<b>Changes &amp; Recommendations</b>
Outcome 1: National REDD+ Roadmap completed	Changed to: National consensus reached on the Sri Lanka REDD + program
Output 1.1: (Component 1a):road-based, multi-stakeholder national REDD+ advisory group	Changed to: Broad-based, multi-stakeholder national REDD+ advisory group established

Output 1.2: (Component 1a and 2c): National legal, procedural and institutional arrangements for sectors relevant for REDD+	Changed to: National legal, procedural and institutional arrangements for sectors relevant for REDD+ reviewed
Activity1.2.2. Review institutional arrangements and lessons of the Haritha Lanka programme and its relevance to REDD+ (Mission 2, 3 & 5)	Changed to: Review institutional and legal arrangements and lessons of the <i>Haritha Lanka</i> programme (including implications of Rio+ 20) and its relevance to REDD+ (Mission 2, 3 & 5)
Activity1.2.5. Develop a proposal for management arrangements for the National REDD+ process	Changed to: Develop a proposal set of guidelines for management arrangements for the National REDD+ process
Output 1.3: (Component 1b): Completion of National REDD+ Roadmap	Changed to: National REDD+ Roadmap prepared
Addition: Activity 1.3.2 Consultation meeting on preparation of the Roadmap	
Addition: Activity 1.3.4 Invite public comments on Roadmap (addressing FPIC)	
Outcome 2: Effective UN-REDD Management Arrangements contributing to the National REDD+ Process	Changed to: Effective Management Arrangements contributing to the National REDD+ Process
Activity 2.1.1. Establish PMU, TFs, TWGs	Changed to: Establish PMU, TFs, TWGs and effective REDD+ management structure and working arrangements
Activity 2.1.2: Establish networks and links between PMCC, MoE, CCS and other relevant institutions	Changed to: Establish networks and links between PMCC, MoE, CCs and other related institutions (Private Sector, CBOs, NGOs, Public Sector, INGOs etc.)
Output 2.2: (Component 1a and 2c): Identification of Capacity Building Needs for REDD+	Changed to: Capacity Building ActionPlan for REDD+
Activity 2.2.1: Identify an effective REDD+ management structure and working arrangements with key institutions and partners - coordinate and collaborate with other development and national partner activities) (e.g., assisted regeneration, fires, invasive species, agriculture, timber trade, production and consumption, etc. )	Changed to: coordinate and collaborate with other development and national partner activities (e.g., assisted regeneration, fires, invasive species, agriculture, timber trade, production and consumption, etc.)
Delete: Activity 2.2.4 as this is now the output 2.2	
Activity 3.1.2.: Establish communication networks with development partners for increased coordination and collaboration –e.g., linking up with Dayata Kirula campaign and Gama Neguma programme	Changed to: Establish communication networks with development partners for increased coordination and collaboration –e.g., linking up with Dayata sevena campaign and Divi Neguma programme, plantation sector, CBOs, NGOs, civil society groups.
Activity3.1.4. Establish a REDD+ website and a media platform	Changed to: Establish a REDD+ website and a media platform, and link with MoE media unit
Addition: Activity 3.2.8: Design and implement training on communication and team building for NGOs and CBOs, private sector and other relevant groups	
Addition: Activity 3.2.9: Design and implement customized communication training for relevant institutions.	
Addition: Activity 3.2.10: Enhancement of equipment and material for communication and extension	

programmes of the FD with regard to REDD+. *	
Activity4.1.3. Assess existing laws and policies to foster policy alignment in the land resources sectors (linked to Output 5.6)	Changed to: Assess the existing laws and policies to foster policy alignment in the relevant sectors ( linked to activity 4.2.2 )
Activity4.1.5. Conduct an opportunity-cost assessment	Changed to: Conduct a REDD+ opportunity-cost assessment
Activity4.3.1. Consult with local people and local policy makers on amicable prescriptions for alleviating the problem of encroachment, and forest degradation (linked to Output 5.6)	Changed to: Resolve conflict related to available guidelines/ legal boundaries with the locals to clarify forest boundary disputes where necessary.
Activity4.3.2. Consult with local people to clarify forest boundary disputes	Deleted and replaced with: Review and readdress potential impacts in human/wildlife conflicts through REDD+/SFM activities
Activity4.3.3. Review potential increase in human/wildlife conflicts through REDD+/SFM activities	Deleted and replaced with: Conduct an inventory of reforested areas
Activity 5.2.7.: Develop and operationalize the land monitoring system	Changed to: Develop and operationalize a country-specific forest monitoring system.
Activity5.2.3. Analyze existing satellite imagery and provide recommendations for forest monitoring.	Changed to: Analyze satellite imagery and provide recommendations for forest monitoring.
Activity5.3.6. Design the national forest inventory (incl. field manual) (linkage with Output 5.6)	Order changed to Activity 5.3.1.

We understand that it was not possible to circulate the document prior to the Appraisal Workshop. We also understand that we will receive the document at the same time it will be sent out for the mandatory external review and that we will have the opportunity to provide additional input over a one-month period. We have also been informed that we will have ample opportunity during the inception phase of the Programme and especially during the Inception Workshop to provide inputs into the document and revisit the Outcomes, Outputs and Activities. While we regret not to have received the document earlier, we are satisfied with the opportunities for later feedback.

We look forward to the implementation of the UN-REDD Programme and would like to highlight at this point the importance of effective stakeholder consultations throughout the implementation of the Programme. We would also like to urge the implementing partners of the Programme to work towards sustainable results.

Taking into consideration the recommendations and remarks made above, we are satisfied with the design of the Programme and its approach to continuous stakeholder engagement. We support its submission to the UN-REDD Policy Board.

This Statement is read and endorsed at the Sri Lanka Foundation Institute, Colombo, Sri Lanka on 12 January 2012.

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**Annex1:**

**Framework of the UN-REDD National Programme for Sri Lanka used for the Stakeholder Review Discussions on 12 January 2012**

<b>Overall Programme Objective:</b>	<b>UN-REDD National Programme will have catalyzed the establishment of key central mechanisms and processes and development of capacities required to implement REDD+ by the end of the Programme</b>	
<b>RESULT</b>	<b>ACTION</b>	
<b>OUTCOME 1: National REDD+ Roadmap completed</b>	Baseline : Indicators:	
<b>Output 1.1: (Component 1a)</b>  Broad-based, multi-stakeholder national REDD+ advisory group	Activity1.1.1.	Review and finalize draft terms of reference for the National REDD+ RPMCC (advisory group for the National REDD+ process including the UN-REDD Programme)
	Activity1.1.2.	Establish PMCC , through a ministerial decree
	Activity1.1.3.	Initiate national coordination workshops
	Activity1.1.4.	Organize regular meetings of REDD+ stakeholders
<b>Output 1.2: (Component 1a and 2c)</b>  Review of national legal, procedural and institutional arrangements for sectors relevant for REDD+	Activity1.2.1.	Sub-divide PMCC into thematic groups to conduct gap analysis and recommend actions (e.g., MRV and Monitoring, Policy and Measures & Stakeholder Engagement) (linked to Output 5.6)
	Activity1.2.2.	Review institutional arrangements and lessons of the <i>Haritha Lanka</i> programme and its relevance to REDD+ (Mission 2, 3 & 5)
	Activity1.2.3.	Stakeholder mapping (public and private sectors, CSO, forest dependent communities and Indigenous Peoples, etc.)
	Activity1.2.4.	Identify capacity needs in national legal, procedural and institutional arrangements for REDD+
	Activity1.2.5.	Develop a proposal for management arrangements for the National REDD+ process
<b>Output 1.3: (Component 1b)</b>  Completion of National REDD+ Roadmap	Activity1.3.1.	Consolidate all assessment results from output 1.2.
	Activity1.3.2.	Prepare a National REDD+ Roadmap
	Activity1.3.3.	Finalize the Roadmap through a validation meeting with stakeholders
<b>Outcome 2 Effective UN-REDD Management Arrangements contributing to the National REDD+ Process</b>	Baseline: Indicator:	
<b>Output 2.1: (Component 1a and 2c)</b>  UN-REDD Programme implementation arrangements	Activity2.1.1.	Establish PMU, TFs, TWGs
	Activity2.1.2.	Establish networks and links between PMCC, MoE, CCS and other relevant institutions
	Activity2.1.3.	Organize regular meetings and workshops for capacity building
<b>Output 2.2: (Component 1a and 2c)</b>  Identification of Capacity Building Needs for REDD+	Activity2.2.1.	Identify an effective REDD+ management structure and working arrangements with key institutions and partners - coordinate and collaborate with other development and national partner activities) (e.g., assisted regeneration, fires, invasive species, agriculture, timber trade, production and consumption, etc. )
	Activity2.2.2.	Develop a Competency Framework for REDD+ in Sri Lanka
	Activity2.2.3.	Design and conduct a Capacity Building Needs Assessment (CBNA) for the forest sector in Sri

	<p>Lanka, including all stakeholder groups</p> <p>Activity2.2.4. Develop a proposal for a Capacity Building Action Plan for REDD+ Readiness based on outcome of the CBNA</p>
<b>OUTCOME 3: Improved Stakeholder Awareness and Effective Engagement</b>	<b>Baseline: Indicators:</b>
<b>Output 3.1: (Component 1c)</b>  Strategic communication and consultation plan	<p>Activity3.1.1. Identify target groups</p> <p>Activity3.1.2. Establish communication networks with development partners for increased coordination and collaboration –e.g., linking up with Dayata Kirula campaign and Gama Neguma programme</p> <p>Activity3.1.3. Design and validate communication strategies and plans for target groups for raising awareness, promoting engagement and behavioral changes towards green economy (communication formats, products and feedback/evaluation mechanisms) (linked to Output 5.6)</p> <p>Activity3.1.4. Establish a REDD+ website and a media platform</p> <p>Activity3.1.5. Document and disseminate lessons-learnt</p>
<b>Output 3.2: (Component 1a and 2c)</b>  Stakeholder engagement in REDD+ readiness process (incl. FPIC, the private sector engagement)	<p>Activity3.2.1. Agree and establish stakeholder forums linked to the national REDD+ process</p> <p>Activity3.2.2. Support self-organized regular meetings by the stakeholder forums</p> <p>Activity3.2.3. Consult and identify a plot location for FPIC</p> <p>Activity3.2.4. Pilot FPIC and document lessons (linked to Output 5.6)</p> <p>Activity3.2.5. Prepare and validate a national FPIC guideline and application toolkit</p> <p>Activity3.2.6. Design training programs for FPIC application</p> <p>Activity3.2.7. Establish a grievance mechanism</p>
<b>Outcome 4: National REDD+ Strategy and Implementation Framework</b>	<b>Baseline: Indicators:</b>
<b>Output 4.1: (Component 2a, 2c)</b>  Identification of drivers of deforestation and forest degradation (D&D), and legal and policy alignment needs	<p>Activity4.1.1. Identify drivers of D&amp;D (Further confirmation of the initial assessment of drivers – Annex in RPP)</p> <p>Activity4.1.2. Assess national forest governance systems (linked to Output 5.6)</p> <p>Activity4.1.3. Assess existing laws and policies to foster policy alignment in the land resources sectors (linked to Output 5.6)</p> <p>Activity4.1.4. Analyze conflicts of interest between land-use planning for development and forest conservation and recommend remedial measures (linked to 5.6)</p> <p>Activity4.1.5. Conduct an opportunity-cost assessment</p> <p>Activity4.1.6. Provide policy recommendations and sectoral action plans for REDD+ (incl. SFM, agriculture and transformational policies toward green economy)</p> <p>Activity4.1.7. Validate policy recommendations with stakeholders</p>
<b>Output 4.2: (Component 2c)</b>	Activity4.2.1. Assess land and forest tenure systems and applicability under REDD+

<p><b>Clarification on land tenure and rights</b></p>	<p>Activity4.2.2. Analyze gaps in land ownership and related legislations and policies (including gender issues) (linked to Output 5.6)</p> <p>Activity4.2.3. Study the meaning and applicability of the concept of 'carbon rights' (linked to Output 5.6)</p> <p>Activity4.2.4. Consult with stakeholders to promote and develop holistic land use planning and strategies</p> <p>Activity4.2.5. Clarify land ownership/tenural rights in alienated lands to enable REDD+ activities and benefit sharing</p>
<p><b>Output 4.3: (Component 2b)</b></p> <p><b>Addressing D&amp;D at sub-national level</b></p>	<p>Activity4.3.1. Consult with local people and local policy makers on amicable prescriptions for alleviating the problem of encroachment, and forest degradation (linked to Output 5.6)</p> <p>Activity4.3.2. Consult with local people to clarify forest boundary disputes</p> <p>Activity4.3.3. Review potential increase in human/wildlife conflicts through REDD+/SFM activities</p> <p>Activity4.3.4. Assess opportunity cost of alternate livelihoods/land uses</p> <p>Activity4.3.5. Assess potential sustainable uses and species to be utilized in enrichment planting in degraded forests</p> <p>Activity4.3.6. Analyze the status of degraded forests that can be used for assisted regeneration or enrichment planting and provide policy options</p> <p>Activity4.3.7. Establish an inventory of tree cover outside forests and dynamics of agro-forest gardens (linked to Output 5.2)</p> <p>Activity4.3.8. Assess potential for private sector engagement in REDD+, including tea industry</p> <p>Activity4.3.9. Develop an approach for Provincial/District level REDD+ Mainstreaming</p> <p>Activity4.3.10. Analyze lessons from participatory models in the forest sector</p>
<p><b>Output 4.4: (Component 2c)</b></p> <p><b>Equitable and transparent benefit sharing</b></p>	<p>Activity4.4.1. Assess existing systems for financial management and distribution (e.g., micro-finance, national pension and healthcare schemes, etc.)</p> <p>Activity4.4.2. Analyze potential benefit sharing arrangements to recommend policy options (linked to Output 5.6)</p> <p>Activity4.4.3. Validate policy recommendations with stakeholders</p>
<p><b>Output 4.5:(Component 2b)</b></p> <p><b>National REDD+ Strategy</b></p>	<p>Activity4.5.1. Develop national REDD+ strategic options and recommendations based on all assessment and consultation outcomes</p> <p>Activity4.5.2. Validate national REDD+ strategic options and recommendations with stakeholders</p>
<p><b>Outcome 5: Monitoring and MRV Results for REDD+ Activities Provided</b></p>	
<p><b>Output 5.1: (Component 1a, 2c, 4a, 4b)</b></p> <p><b>National information, monitoring and</b></p>	<p>Activity5.1.1. Development of the MRV Action Plan (linked to Output 1.2)</p> <p>Activity5.1.2. Enhance general capacities for various stakeholders involved in monitoring and MRV</p> <p>Activity5.1.3. Deliver forest sector capacity training on GHG inventory</p>



<p>MRV framework</p>	<p>Activity5.1.4. Rationalize forest definition and establish a forest stratification system</p> <p>Activity5.1.5. Establish a central database and archiving system including the provision of information on REDD+ safeguards (linked to Output 5.6)</p> <p>Activity5.1.6. Harmonization of existing EF and AD data and identifying data gaps</p> <p>Activity5.1.7. Develop QA/QC procedures for activity data and emission factors</p>
<p><b>Output 5.2: (Component 3 and 4a)</b></p> <p><b>National forest monitoring systems</b></p>	<p>Activity5.2.1. Specific training on forest monitoring, remote sensing, GIS and database management.</p> <p>Activity5.2.2. Collate and populate database with mapping information.</p> <p>Activity5.2.3. Analyze existing satellite imagery and provide recommendations for forest monitoring.</p> <p>Activity5.2.4. Identify and validate parameters for land monitoring system with stakeholders.</p> <p>Activity5.2.5. Determine the role of community mapping in determining forest cover change.</p> <p>Activity5.2.6. Undertake a cost benefit analysis for the land monitoring system.</p> <p>Activity5.2.7. Develop and operationalise the land monitoring system.</p> <p>Activity5.2.8. Develop and deliver training programmes on data interpretation for monitoring systems (as part of the collaboration between FAO and INPE)</p> <p>Activity5.2.9. Calibration and field data collection</p> <p>Activity5.2.10. Develop a reference forest map for 2012</p>
<p><b>Output 5.3: (Component 4a)</b></p> <p><b>National forest inventory</b></p>	<p>Activity5.3.1. Specific training on forest inventory</p> <p>Activity5.3.2. Develop a tree species and forestry database</p> <p>Activity5.3.3. Collate, populate the database and harmonize the data on forest inventories (incl. allometric equations, wood density, and conversion factors)</p> <p>Activity5.3.4. Specific training on allometric equations, wood density, and conversion factors, soils and litter carbon stock assessment, etc. (incl. field training).</p> <p>Activity5.3.5. Undertake national consultations for parameters to be included in NFI</p> <p>Activity5.3.6. Design the national forest inventory (incl. field manual) (linkage with Output 5.6)</p> <p>Activity5.3.7. Validate NFI with stakeholders</p> <p>Activity5.3.8. Develop emission factors for REDD+-related activities based on existing data</p> <p>Activity5.3.9. Carry out field training programmes at demonstration sites test use of activity data and emission factors</p>
<p><b>Output 5.4: (Component 3)</b></p> <p><b>Assessment of national circumstances</b></p>	<p>Activity5.4.1. Assess Sri Lanka's national circumstances</p> <p>Activity5.4.2. Assess post conflict impacts on national forest cover, land use planning, rural livelihoods and demographics</p> <p>Activity5.4.3. Test different socio-economic scenarios on the REL/RL through -consultations with</p>

	local stakeholders to discuss the current thinking and methodologies for modeling future emissions scenarios based on historic emissions
<b><u>Output 5.5: (Component 3)</u></b>  <b>National REL/RL</b>	<p>Activity5.5.1. Collate past forest cover map and data</p> <p>Activity5.5.2. Harmonize the past forest cover data</p> <p>Activity5.5.3. Develop national capacities in REL/RL, GIS</p> <p>Activity5.5.4. Test REL/RL</p>
<b><u>Output 5.6: (Component 2c and 4b)</u></b>  <b>Social and environmental risk mitigation and potential multiple benefit enhancement</b>	<p>Activity5.6.1. Assess any existing policies relevant to safeguards (e.g., EIA), and their applicability and effectiveness for REDD+</p> <p>Activity5.6.2. Identify and agree on nationally appropriate REDD+ safeguards and indicators</p> <p>Activity5.6.3. Develop information gathering methodologies (consultations, secondary data, statistics and survey) and change monitoring approach through demonstration activities</p> <p>Activity5.6.4. Provide information on risks of displacement and reversal for demonstration site</p> <p>Activity5.6.5. Collect and analyze information on safeguards using the identified methodologies and approach to identify risks and potential multiple benefits,</p> <p>Activity5.6.6. Consultation based on analysis results to identify risk mitigation and benefit enhancement measures (linked to Output 4.1)</p> <p>Activity5.6.7. Link safeguards information into the central database and archiving system under Output 5.1</p>