

Results framework for the UN-REDD Programme, 2016-20: towards results based management

15th Policy Board meeting

San José, Costa Rica

10th November 2015



- Developing the results framework
- Summary of the proposed indicators
- What next?



Results framework, process (1)



- Preparation of strategy through consultations, interviews, drafting & review, consideration of external evaluation recommendations & responses, etc. (2014-15)
- Endorsement of 2016-20 UN-REDD Programme strategy at PB14 in May 2015, with a request to prepare the results framework...
- Early drafts of a results framework matrix circulated between April and June...
- Two day working session on the results framework held at the FAO in Rome, June-July 2015 with resource persons & specialists from the agencies... (“thinking as one”)

- use of the UNDG guidelines for results-based management and the 2015 MPTF guide to « designing pooled funds for performance »
- coming together of work streams dealing with the results framework, RADAR (REDD analysis of data, assessment & reporting) & UN-REDD Programme reporting
- informal working group sessions and consultation with the management group in September & October 2015
- draft proposal for results framework circulated as background paper, November 2015



Overall aim is to use the results framework & tracking tools to develop a comprehensive results-based management system for the 2016-20 Programme. This has entailed:

- Ensuring that progress tracking, reporting & the agreed indicators are « consistent »
- Agreeing on a set of SMART - specific, measurable, achievable, relevant & time-bound – indicators
- Including means of verification, assumptions & risks
- Incorporating cross-cutting themes in tracking system at outcome level



UN-REDD Programme 2016-2020, schematic results framework (based on UNDG & MPTF guidelines)



Development Goal
10-15 Years

Reduce forest emissions and enhance carbon stocks in forests while contributing to national sustainable development.

Programme Impacts
5 Years

Countries implement actions that deliver both carbon and non-carbon benefits from forests in accordance with UNFCCC decisions.

Programme Outcomes
1-5 Years

National contributions to the mitigation of climate change through REDD+ are designed and adopted, including the provision of additional social and environmental benefits, and in conformity with the UNFCCC's REDD+ safeguards.

National contributions to the mitigation of climate change through REDD+ are measured, reported and verified with the necessary institutional arrangements in place.

Drivers of deforestation and forest degradation are addressed through the implementation of policies and measures (results-based actions), with social and environmental safeguards addressed and respected.

Critical Path Assumptions

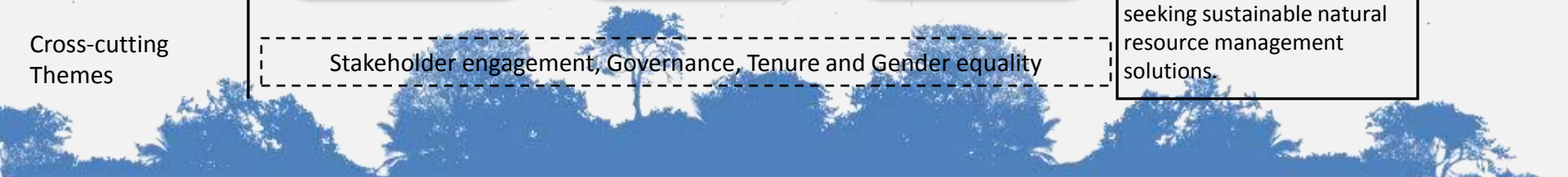
Political commitments are made to REDD+ within the UNFCCC and consistent with national sustainable development strategies;

Political will and finance are available to support the implementation of REDD+ policies and measures, including investment and incentive payments to tackle the drivers of deforestation and forest degradation and;

There is willingness to engage in comprehensive multi-stakeholder consultative processes at national level, seeking sustainable natural resource management solutions.

Cross-cutting Themes

Stakeholder engagement, Governance, Tenure and Gender equality



Proposed indicators – development goal



Reduce forest emissions and enhance carbon stocks in forests while advancing national sustainable development

- *Emission reductions (tCO₂eq./year) from deforestation & forest degradation as well as carbon stock enhancement;*
- *Progress towards the SDG targets, particularly with respect to forest ecosystems (NB: SDG indicators are being prepared for March 2016)*



Proposed indicators – impact of Programme



Countries implement actions that deliver both carbon and non-carbon benefits from forests in accordance with UNFCCC decisions

- *Number of partner countries ready to implement & monitor results-based actions (i.e. are “REDD ready”) leading to emissions reductions as defined by the UNFCCC;*
- *Demonstration of quantifiable social and environmental benefits generated through REDD+ policies & measures;*
- *Number of partner countries implementing policy and institutional reforms that improve forest governance.*



Proposed indicators for outcome 1



National contributions to the mitigation of climate change through REDD+ are **designed and adopted**, including the provision of additional social and environmental benefits and in conformity with UNFCCC safeguards

- *completeness of the REDD+ national strategies and/or action plans;*
- *compliance of national strategies and/or action plans with social inclusion and gender equity principles;*
- *anchoring of national strategies and/or action plans in development policy making institutions;*
- *completeness of designed safeguards approach to address social and environmental benefits;*
- *satisfaction with UN-REDD Programme support for NS/AP and safeguard design.*

Proposed indicators for outcome 2



National contributions to the mitigation of climate change through REDD+ are **measured reported and verified** with the necessary institutional arrangements in place

- *robustness of the FREL/FRL submissions to the UNFCCC;*
- *robustness of the BUR REDD+ annex (update) submissions to the UNFCCC;*
- *completeness of national forest monitoring systems;*
- *operational effectiveness of the national forest monitoring systems;*
- *satisfaction with UN-REDD Programme support for establishing the FREL/FRL and the NFMS.*

Proposed indicators for outcome 3

Drivers of deforestation and forest degradation are addressed through the **implementation of policies and measures** (results-based actions) with social and environmental safeguards addressed and respected

- *extent to which policies and measures have tangibly addressed the identified drivers;*
- *mobilization of finance to implement REDD+ policies and measures;*
- *performance based finance secured, e.g. through the Green Climate Fund (GCF);*
- *extent to which safeguards are addressed and respected during implementation of REDD+ policies and measures;*
- *satisfaction with UN-REDD Programme support for the implementation of REDD+ policies and measures.*



- Approval of final results framework by new executive board in early 2016
- Define baselines & targets according to proposed scope of 2016-20 Programme (country selection – next session...)
- Develop an operational « M&E » guide for data collection & reporting (initial draft is ready...)
- Link to country programme design with specification of outputs to be achieved with Programme resources
- Further interaction with UNFCCC for means of verification (linking REDD+ actions with INDCs for LULUCF)



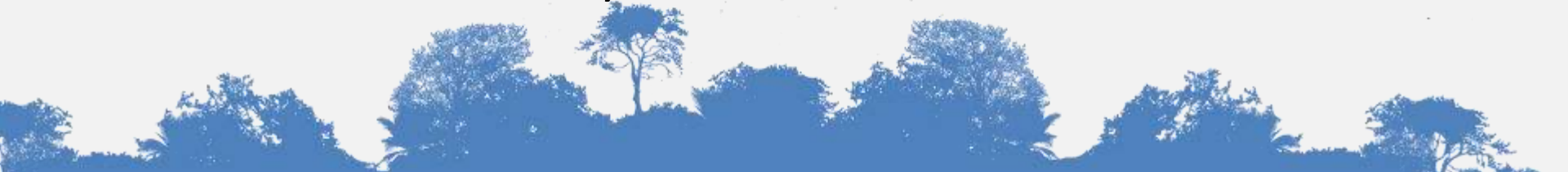
What to avoid



Feedback on results-based management systems & reporting



- from a donor agency perspective, Mika Bucki, (Commission of the EU, DG Climate)
- from a REDD+ country perspective, Anura Sathurusinghe (Sri Lanka)
- from an IP/CSO perspective, Grace Balawag (Asia-Pacific Region, Indigenous People)
- from an evaluator's perspective, Alan Fox (UNDP Evaluation Office)



UN-REDD PROGRAMME

