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Are you ready for REDD+?

-Asia and the Pacific-

Asia-Pacific UN-REDD Programme

October 2014

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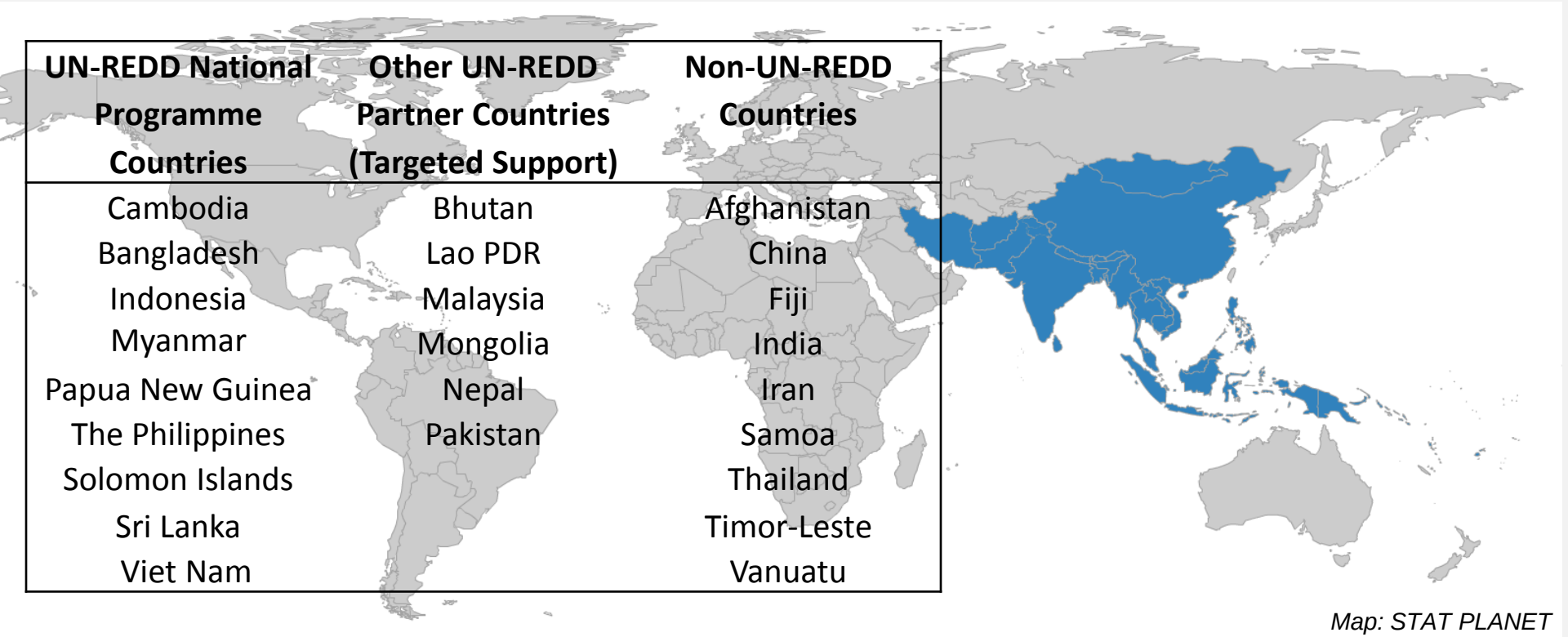
Assessing REDD+ Readiness in 24 Countries

UN-REDD National Programme Countries	Other UN-REDD Partner Countries (Targeted Support)	Non-UN-REDD Countries
Cambodia	Bhutan	Afghanistan
Bangladesh	Lao PDR	China
Indonesia	Malaysia	Fiji
Myanmar	Mongolia	India
Papua New Guinea	Nepal	Iran
The Philippines	Pakistan	Samoa
Solomon Islands		Thailand
Sri Lanka		Timor-Leste
Viet Nam		Vanuatu



Map: STAT PLANET

The UN-REDD Programme in the Asia-Pacific Regional Centre undertook a study to assess the level of progress in REDD+ readiness in the region ("Asia-Pacific REDD+ Analysis") in 2013 and early 2014.

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Objectives

1. *Ensure delivery of an efficient and effective assistance to countries*
2. *Identify and promote South-South cooperation in order to assist countries in the region in developing their capacity to face, avert, and overcome major development challenges in the REDD+ readiness process*
3. *Facilitate and strengthen coordination and cooperation among UN-REDD agencies and other organizations in supporting REDD+ activities, and assist the concerned agencies and countries in the region in identifying their different needs, requirements and challenges*

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What others have done

There are several studies and assessments that aim to address progress and needs on REDD+ readiness and activities by country and region:

- *CIFOR "Global Comparative Study of REDD+"*
- *Martin Herald "An assessment of national forest monitoring capabilities in tropical non-Annex I countries: Recommendations for capacity building" (2009)*
- *RECOFTC "Country Assessments on REDD+ Capacity Building Services" (May 2012)*
- *UN-REDD Programme "Country Needs Assessment (CNA) on REDD+ readiness among UN-REDD & FCPF Countries" (Oct 2012)*
- *FCPF "Readiness Package Assessment Framework"*

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What's the difference?

- UN-REDD Asia-Pacific Readiness Assessment aims to identify what supports and results should be delivered:

  - ❑ Based on ***concrete indicators*** where the data is provided by ***NFP via survey*** and desk-based research
  - ❑ Supplemented by another survey about ***stakeholders' perceptions and knowledge***
  - ❑ Results to be presented in ***an intuitive and graphical manner***
  - ❑ Annual assessment – we plan to conduct ***every year***

- 6 categories, 53 indicators, 2 types of surveys, 24 countries, an interactive website!

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When did we do this?

	Methodology Development (indicators, etc.) (2012-2013)	Original Stakeholder Survey (2013)	Booster Sample (2014)
Draft categories and indicators	Nov/Dec-12		
Experts review/indicator weights	Jan/Feb-13		
Survey statistician on board	27-May-13		
Initial invitation (pilot tests)		11-Jul-13	
Reminder (pilot countries)		31-Jul-13	
Initial invitation (all countries)		14-Aug-13	
Reminder (all countries)		24-Aug-13	
Follow up calls (all countries)		n/a	
Closure of original survey		15-Oct-13	
Initial invite			17-Jan-14
1st reminder			29-Jan-14
2nd reminder			17-Feb-14
Closure of "booster" survey			1-Mar-14

Methodology and Data Sources

Baseline

Data Source

- National Focal Point (NFP) Survey
- Desk-Based Research
- Multi-Stakeholder Survey

REDD+ Readiness Score

A. National and International Policy Framework
B. Management of REDD+ Readiness
C. National REDD+ Strategy Development
D. Monitoring, MRV, FREL/FRL
E. Benefit Distribution and Financial Management
F. Social and Environmental Safeguards

Do stakeholders know?
If so, what do they think?

Sample Question to NFP

Now please let us know more about REDD+ readiness in your country.

3. In Papua New Guinea do you have... *

	Yes	No	Don't know
A REDD+ readiness roadmap (or equivalent?) *	◉	○	○
A REDD+ office *	◉	○	○
A national REDD+ strategy? *	◉	○	○
A Green House Gas (GHG) inventory unit? *	◉	○	○

Back Next

32%

Sample Question to Stakeholders

6. How satisfied are you with the level of implementation and quality of these policies, regulations or documents? *

1 star means "not satisfied at all"
5 stars means "extremely satisfied"

	Satisfaction: Level of Implementation	Satisfaction: Quality
REDD+ Strategy	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Anti-corruption law	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Anti-Corruption commission	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Laws and regulations recognizing traditional land rights	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Policies and procedures for Free, Prior and Informed Consent (FPIC)	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Legal and regulatory provisions promoting greater inclusion of women in natural resource management	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Grievance mechanism	⊗ ★ ★ ★ ★ ★	⊗ ★ ★ ★ ★ ★
Regulations preventing		

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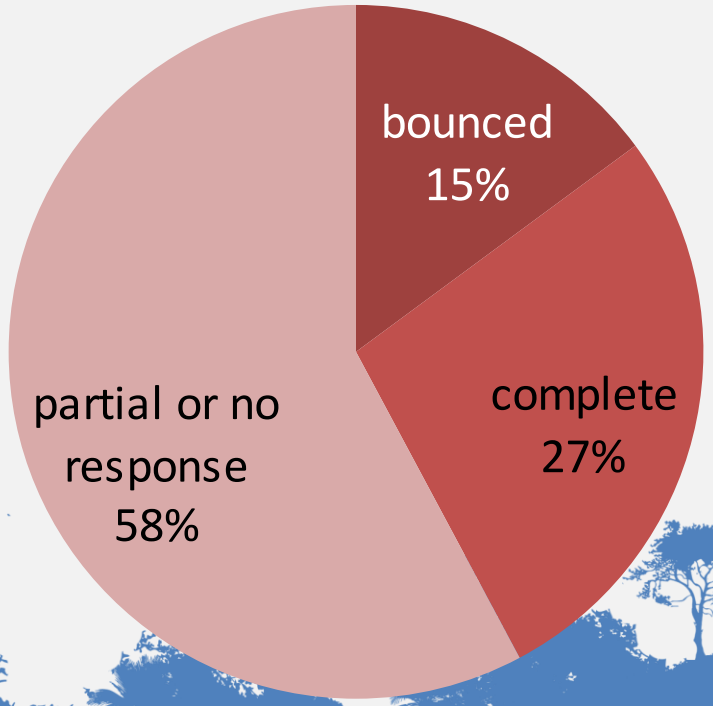
Results:
Who Responded?

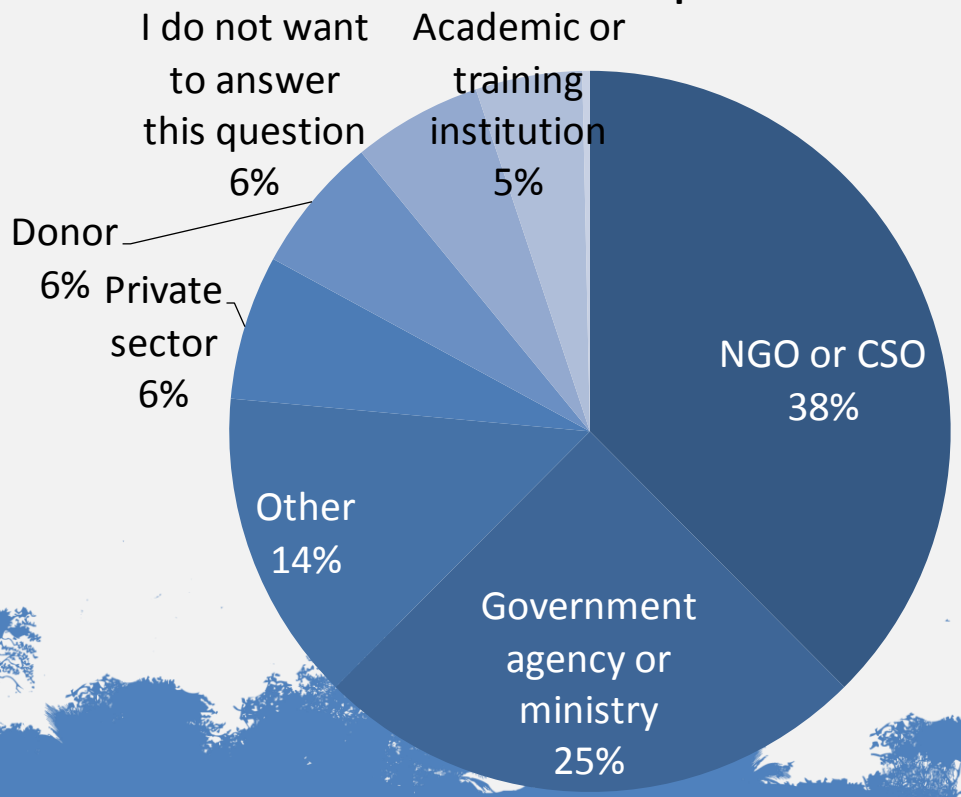
Survey Invitations and Responses

- **National Focal Points (NFP)** from 18 countries responded to the NFP Survey (75% response rate)
- **Multi-stakeholder Survey** (see below) was sent to a total of 1,069 stakeholders, among which 293 responded with all the questions answered; the largest group of respondents is from NGO/CSO for 38%, followed by government (25%). The net response rate (without bounced emails) is 32%.

Response Rate



Breakdown of Respondents



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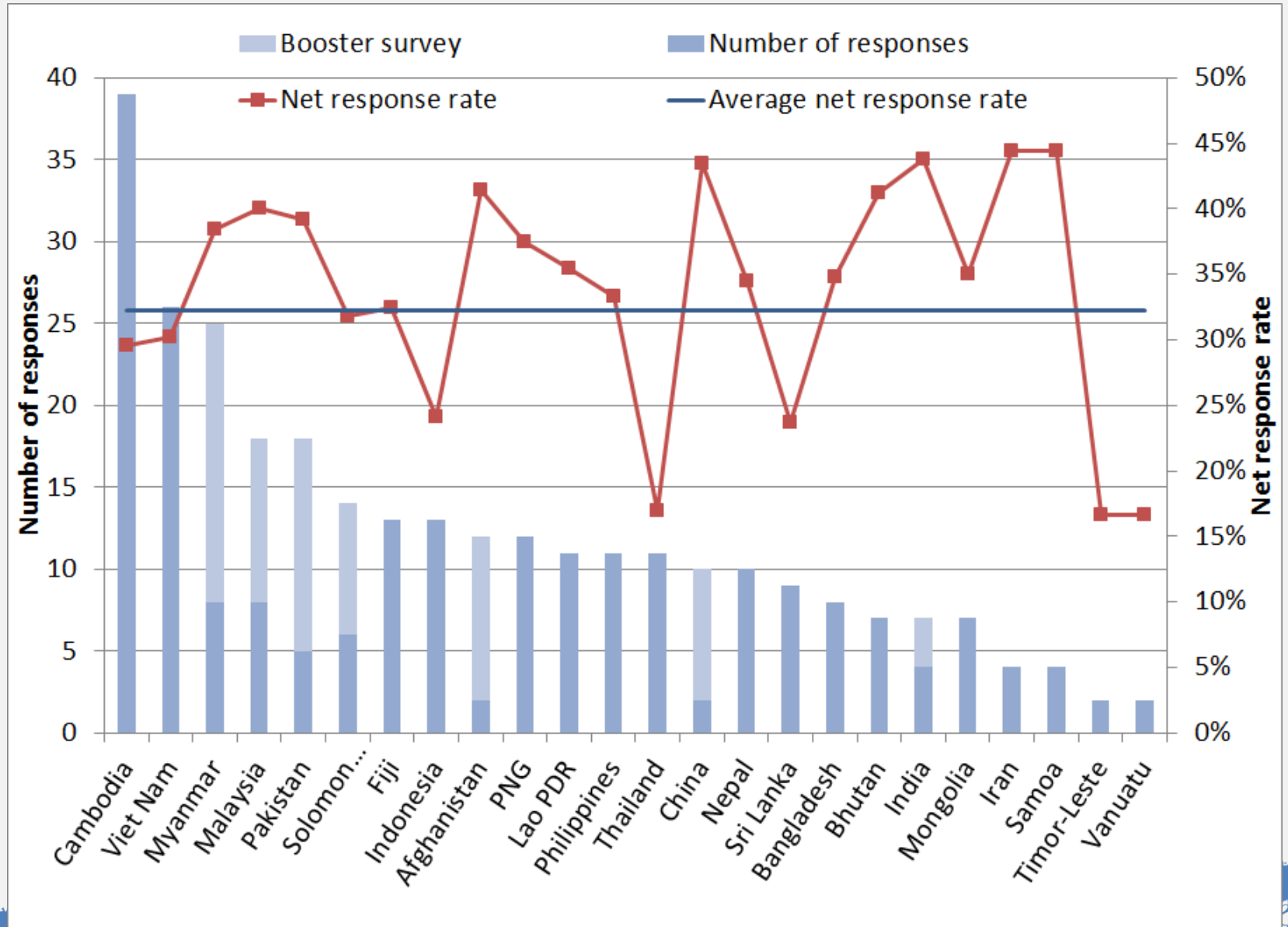
of Responses Varied



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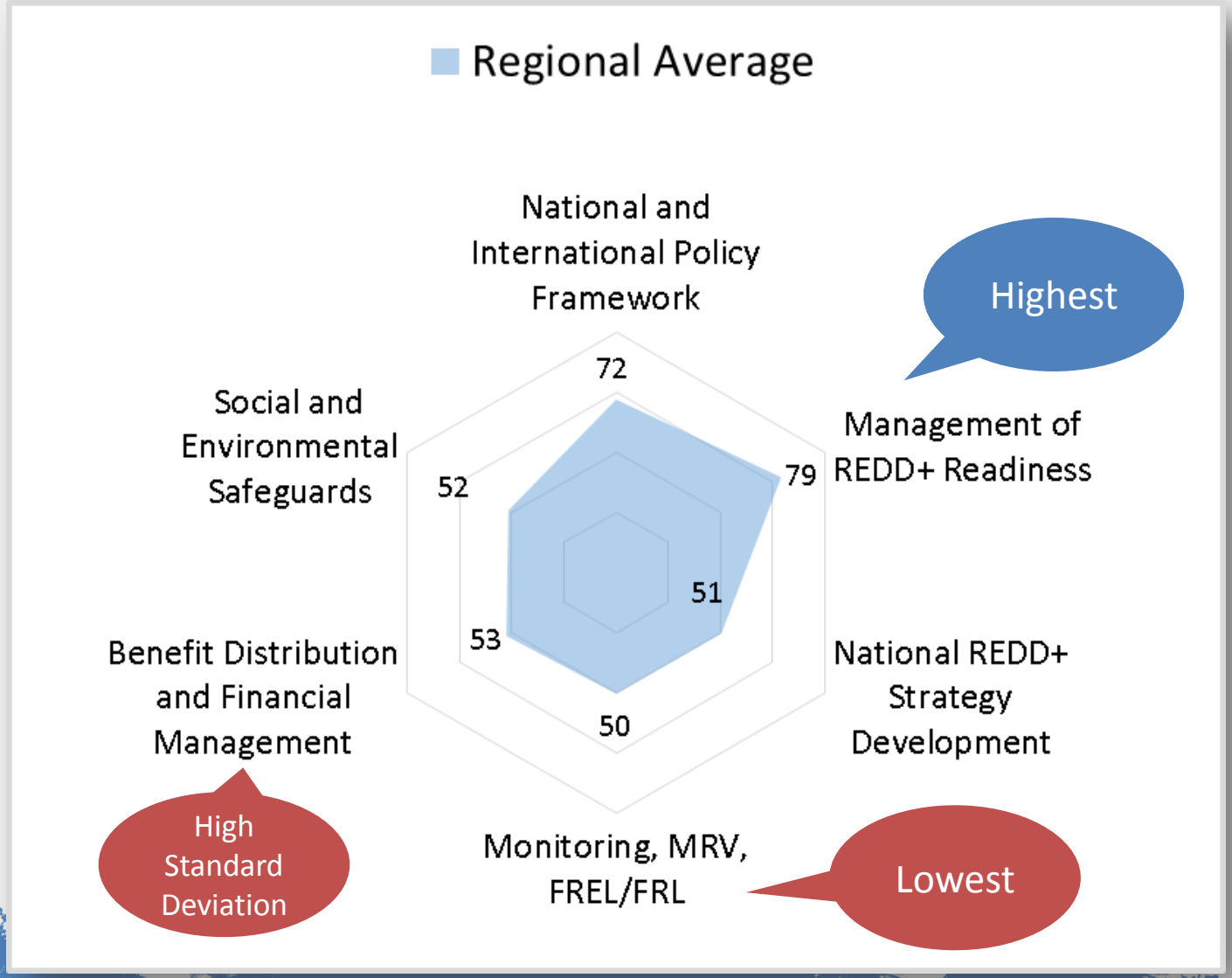
Results:
REDD+ Readiness Status

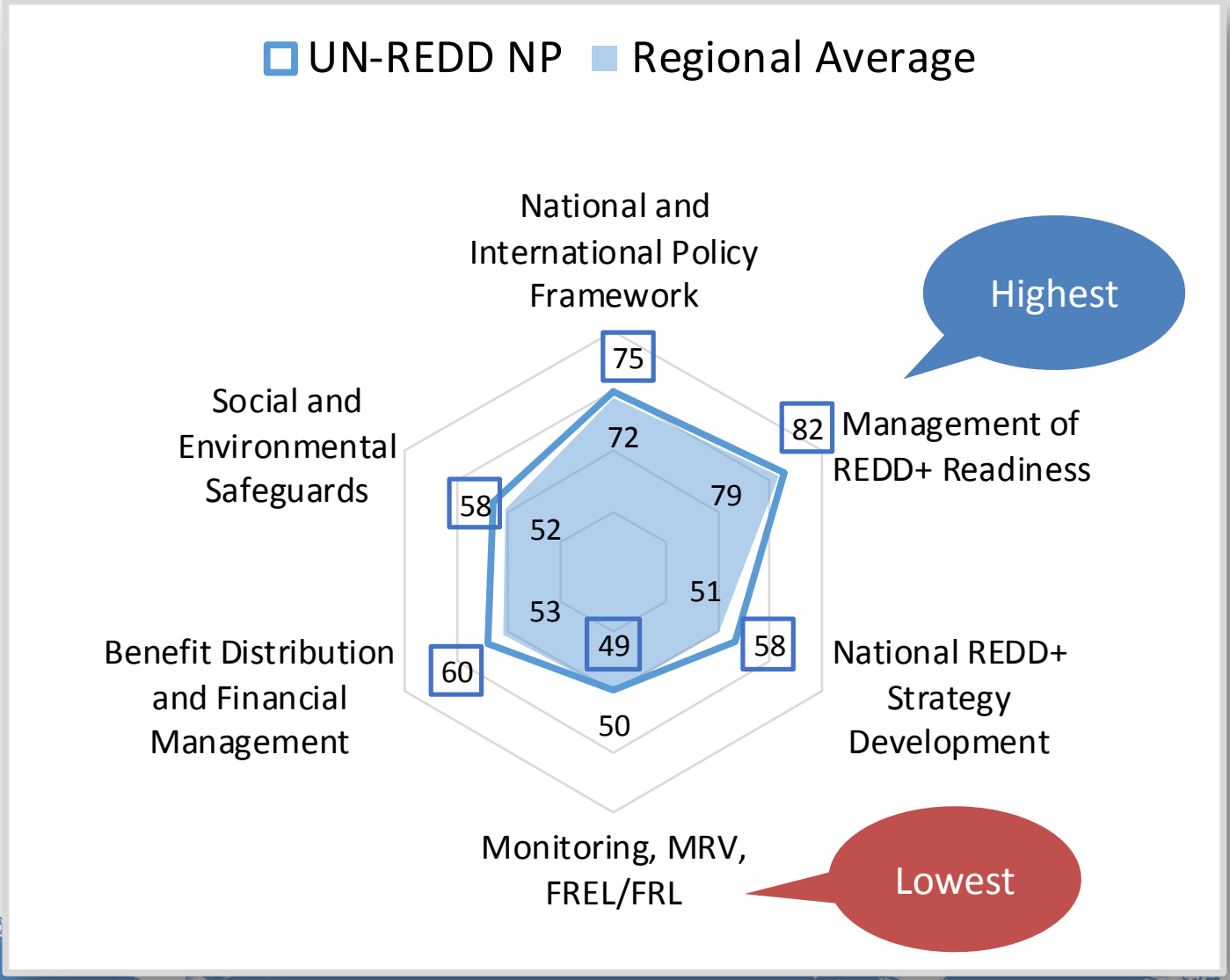
National Focal Point (NFP) Survey

Desk-Based Research

Regional Average



UN-REDD National Programme Countries* vs. Regional Average



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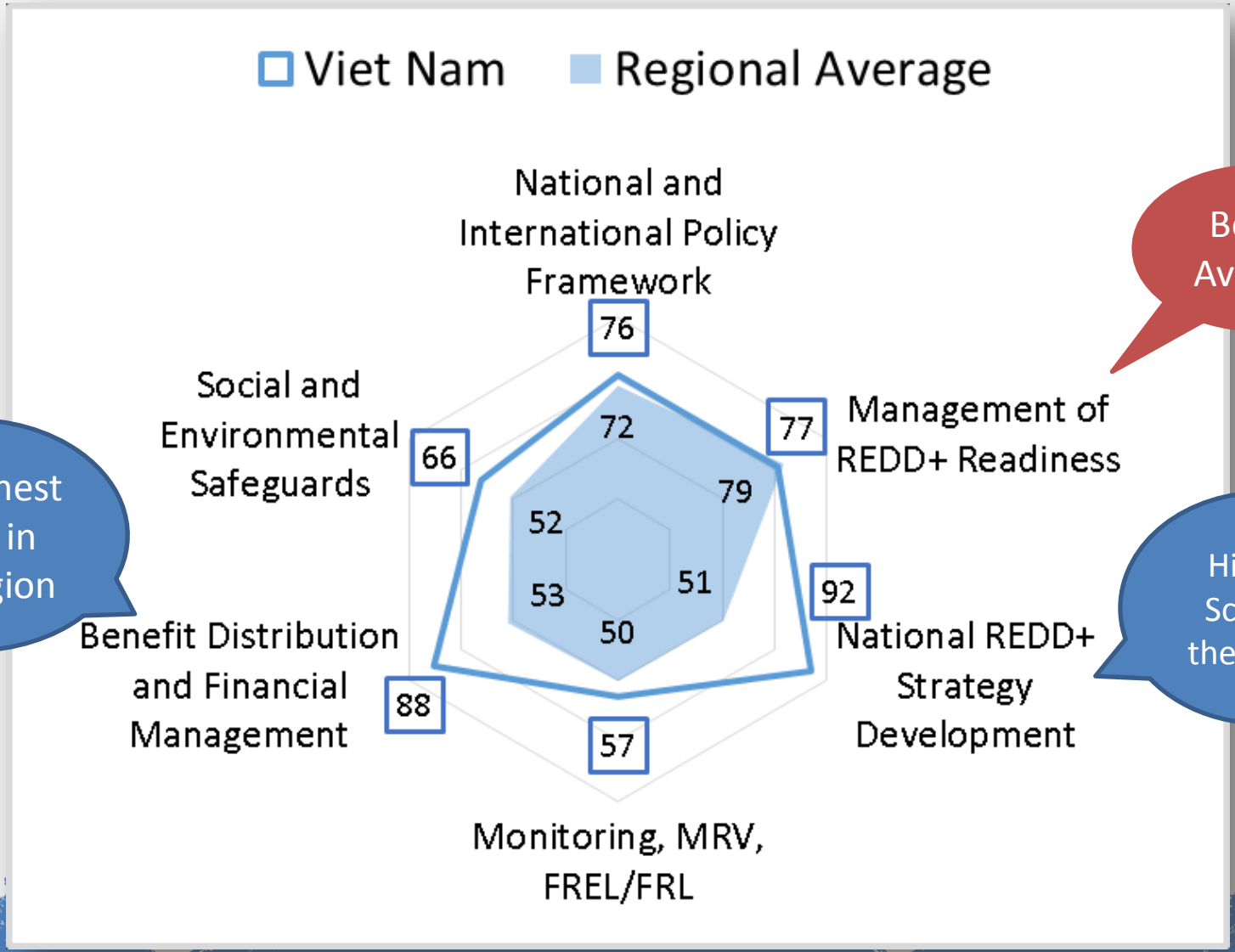
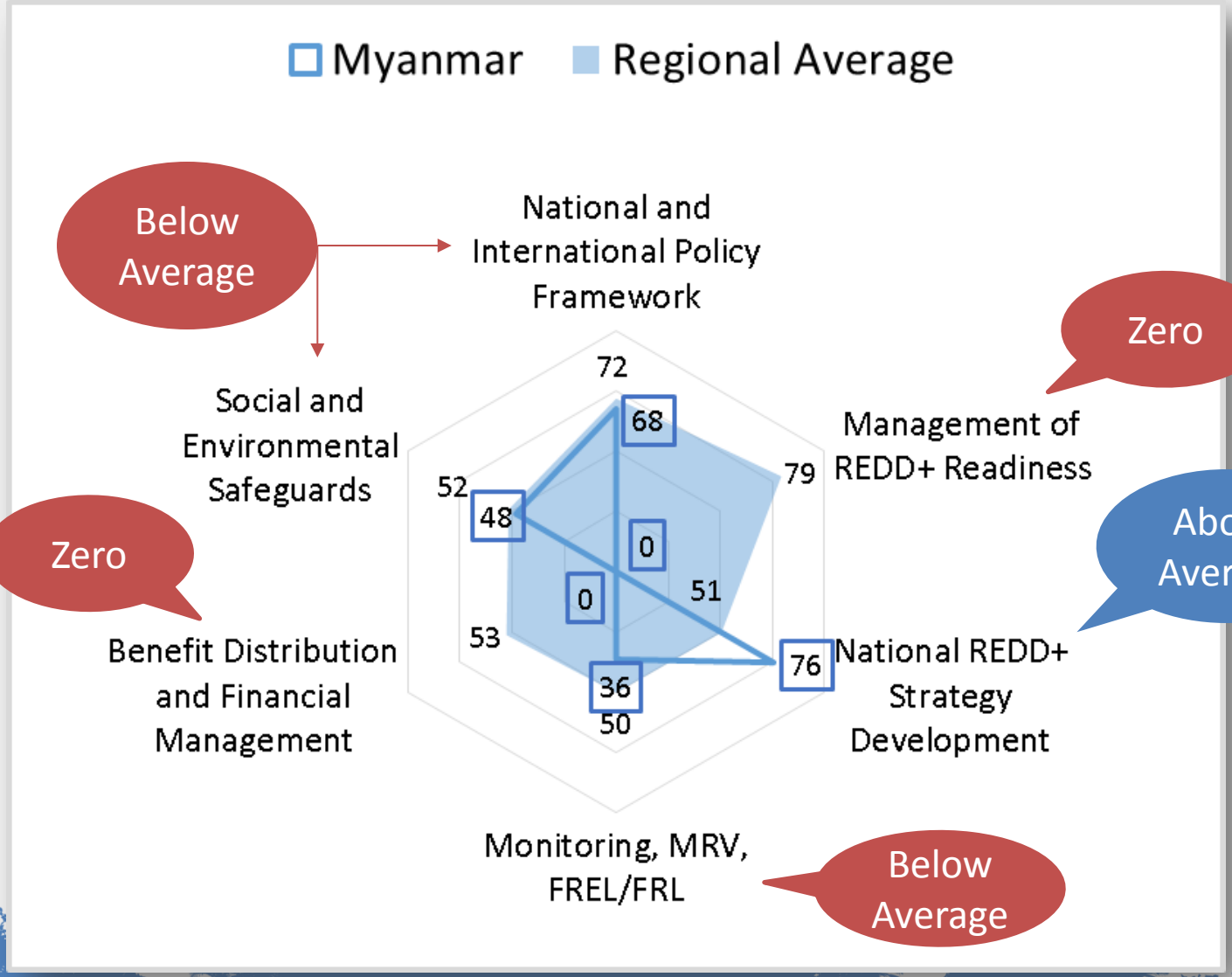
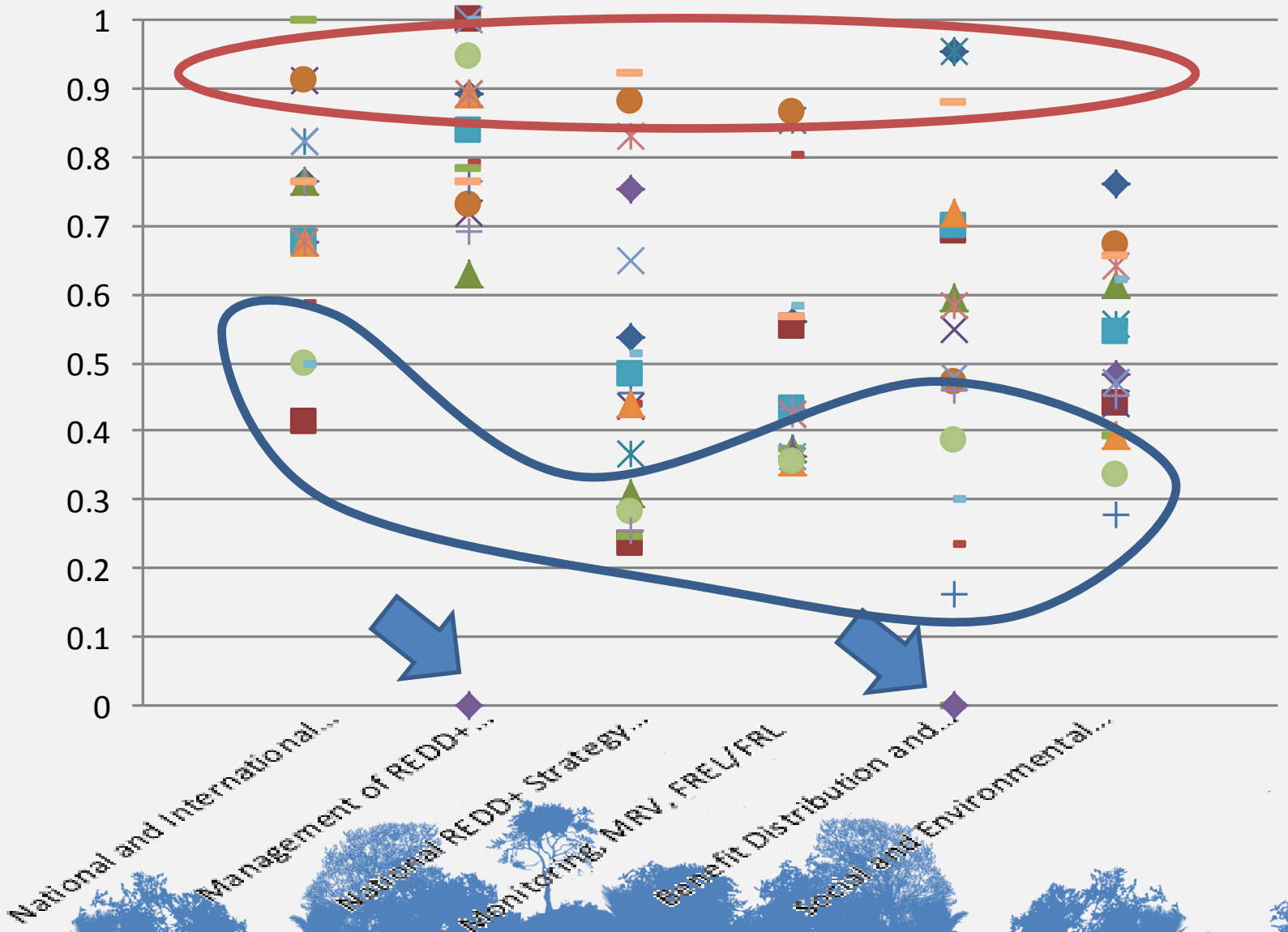
Viet Nam



Myanmar


Myanmar
Regional Average
Below Average
National and International Policy Framework
Zero
Management of REDD+ Readiness
Social and Environmental Safeguards
Zero
Benefit Distribution and Financial Management
Above Average
National REDD+ Strategy Development
Monitoring, MRV, FREL/FRL
Below Average
72
68
79
52
48
0
0
51
53
76
36
50

South-South Opportunities?



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South-South Opportunities?

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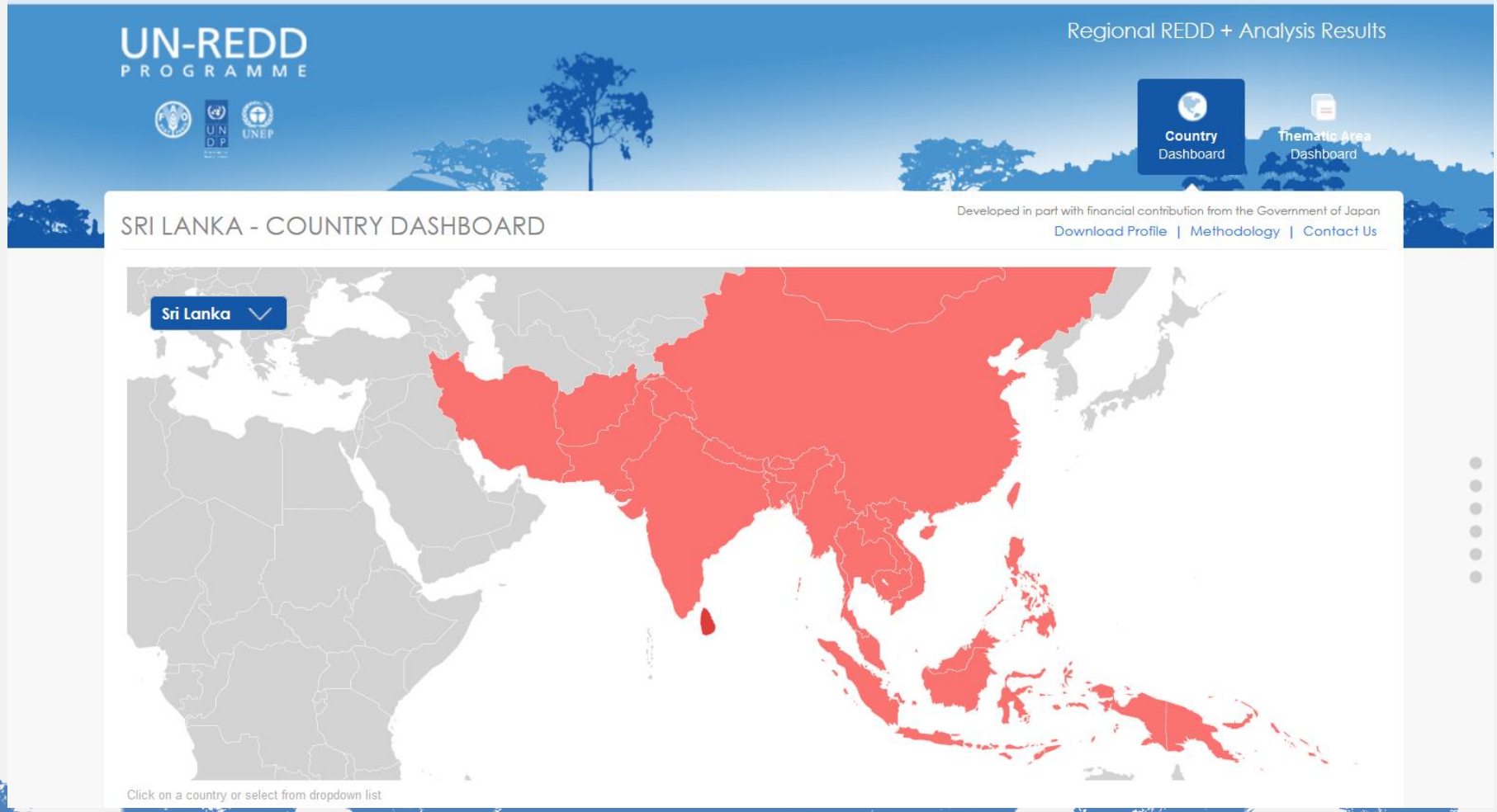
Results:
Stakeholders' Knowledge and Perception

Multi-Stakeholder Survey

Country Dashboard

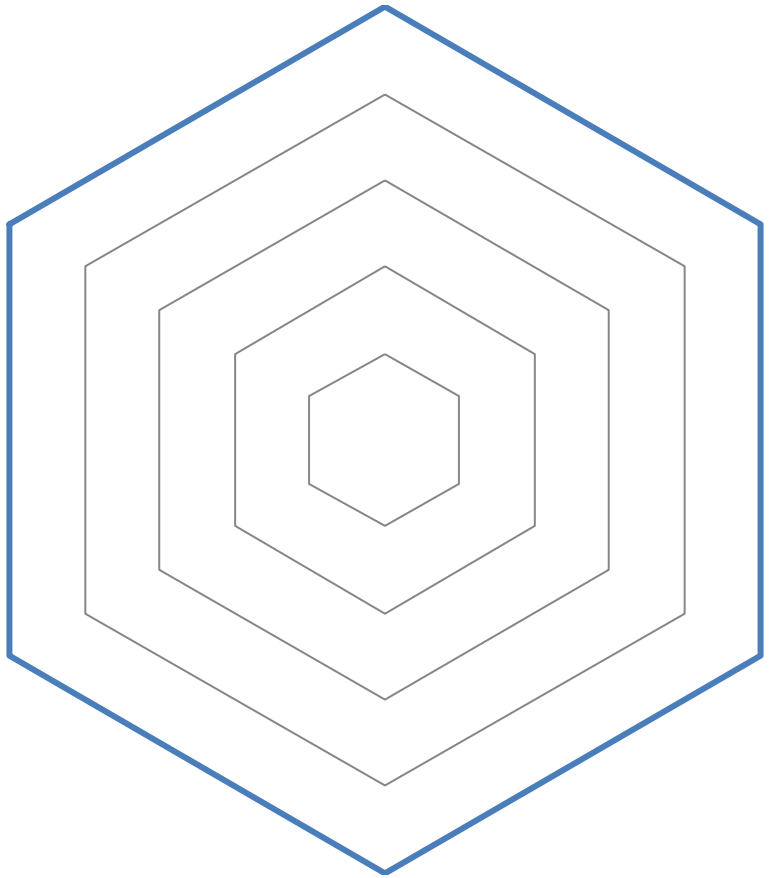
http://dataforall.org/dashboard/un_redd/



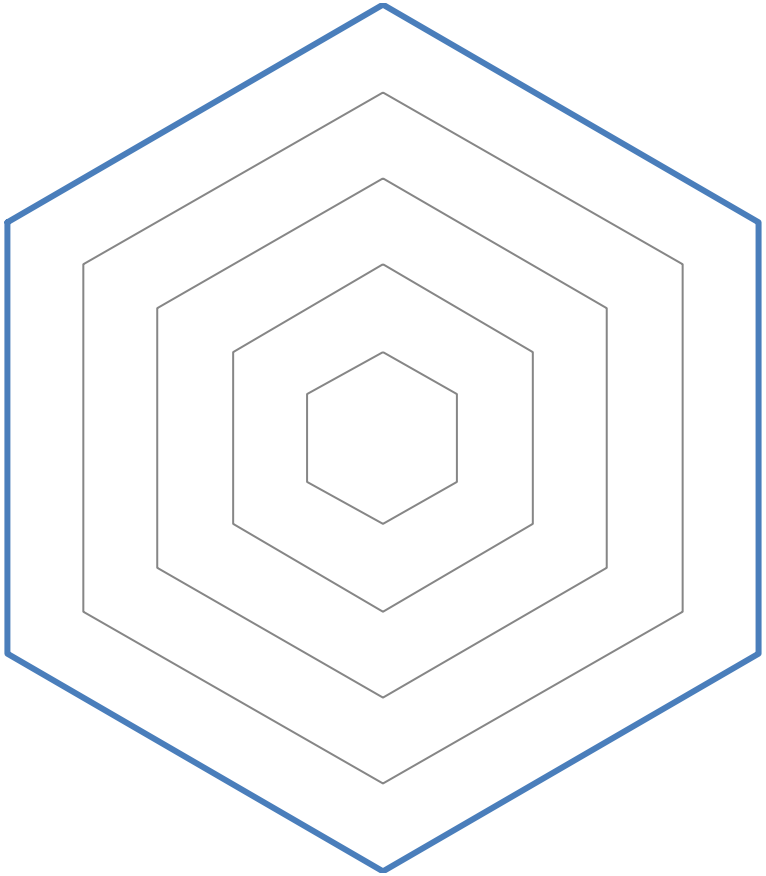
Next Step

1. Revise categories and indicators (five categories from six; removal of three indicators, addition of two new indicators)
2. Expert review and new weighs
3. Contact updates (NFPs and stakeholders)
4. Conduct NFP and stakeholder survey to 24 countries (TBD, Nov to Dec)
5. Update the dashboard (TBD, Mar 2015)

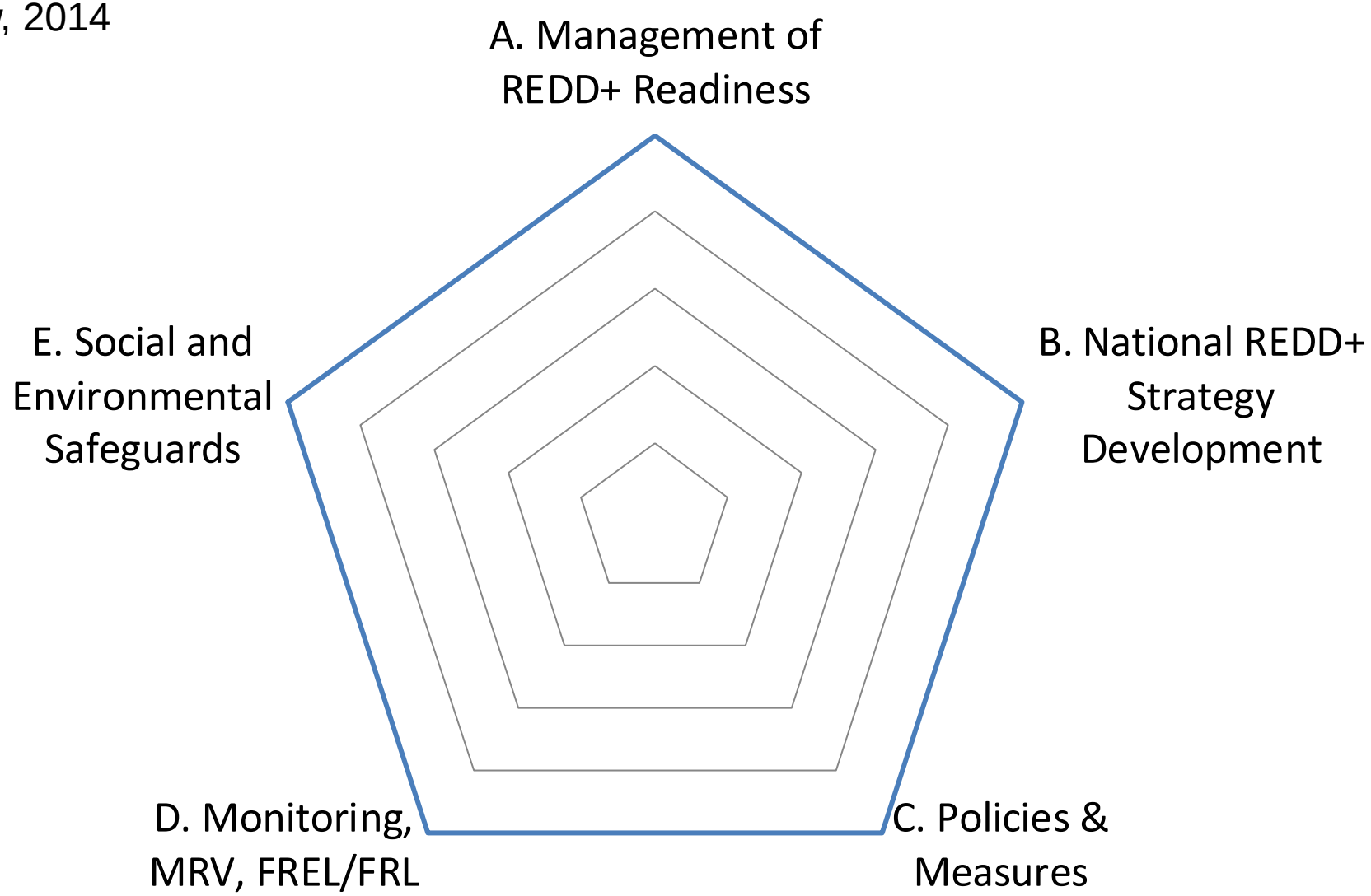
Original, 2013



Proposed changes



New, 2014



- *Delete most of the indicators on ratification status of international conventions*
- *Add new indicator "REDD+ Fund" (Did you establish? No:0, Designed: 0.5, Established:1) in Category "Policies & Measures"*
- *Category "Safeguards": Add new indicator "SIS" (Did you develop SIS?)*

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Thank you!

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Hiroshi Nakata (JICA Cambodia), Tom Clements (WCS Cambodia), Barry Flaming (USAid), Karl-Peter Kirsch-Jung (GIZ), Björn Hecht (GIZ), Tom Evans (WCS), Jim Stephenson (RECOFTC)

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FAO FIAT PANIS

UNDP Empowered lives. Resilient nations.

UNEP

Appendices

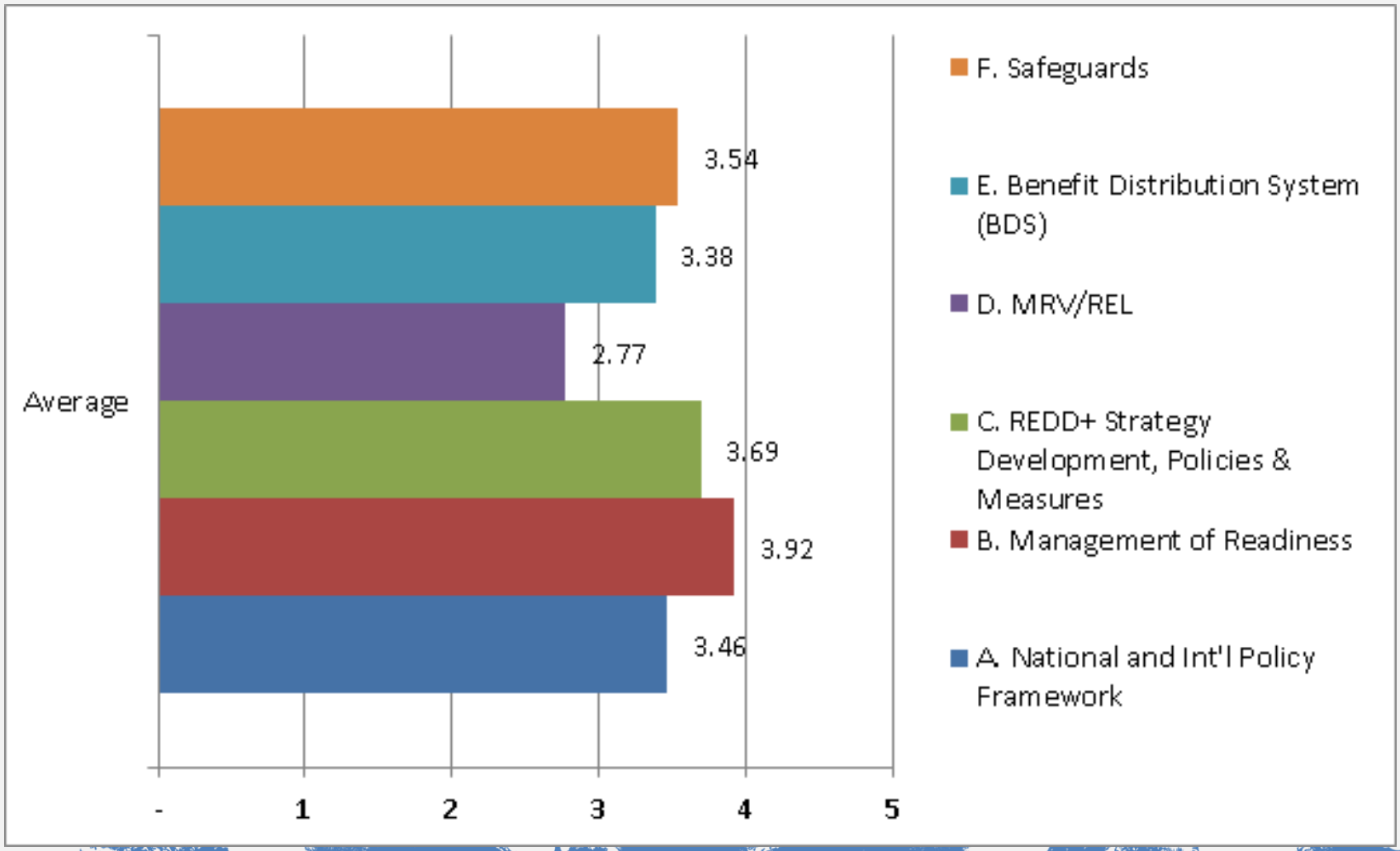
Experts Self-Assessment

1. We asked the experts to self-assess their level of expertise for each category (1-5, 5 indicating highly competent in assessing that component)
2. The weights are adjusted based on their self-assessment, and the median values are used as final weights

e.g. Expert X has given 15% for one indicator where he self-assessed "3" for his level of competency in that category

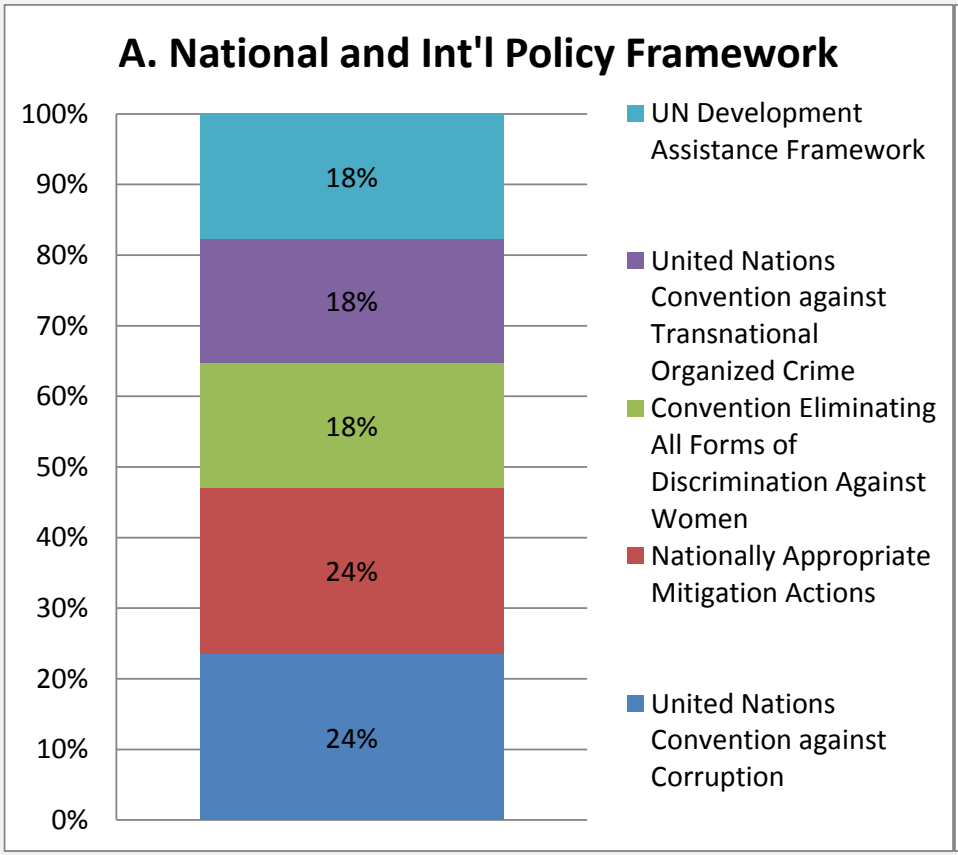
$$weight \times \frac{expertise}{5} = 15\% \times \frac{3}{5} = 9\%$$

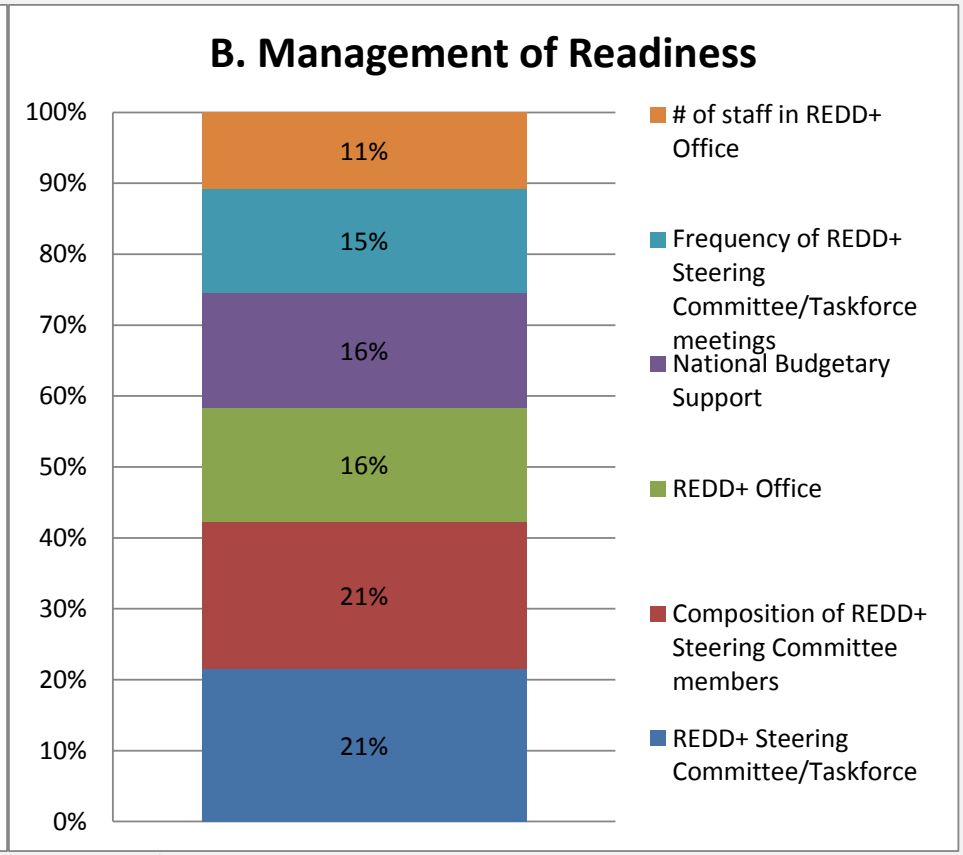
Experts Self-Assessment Results



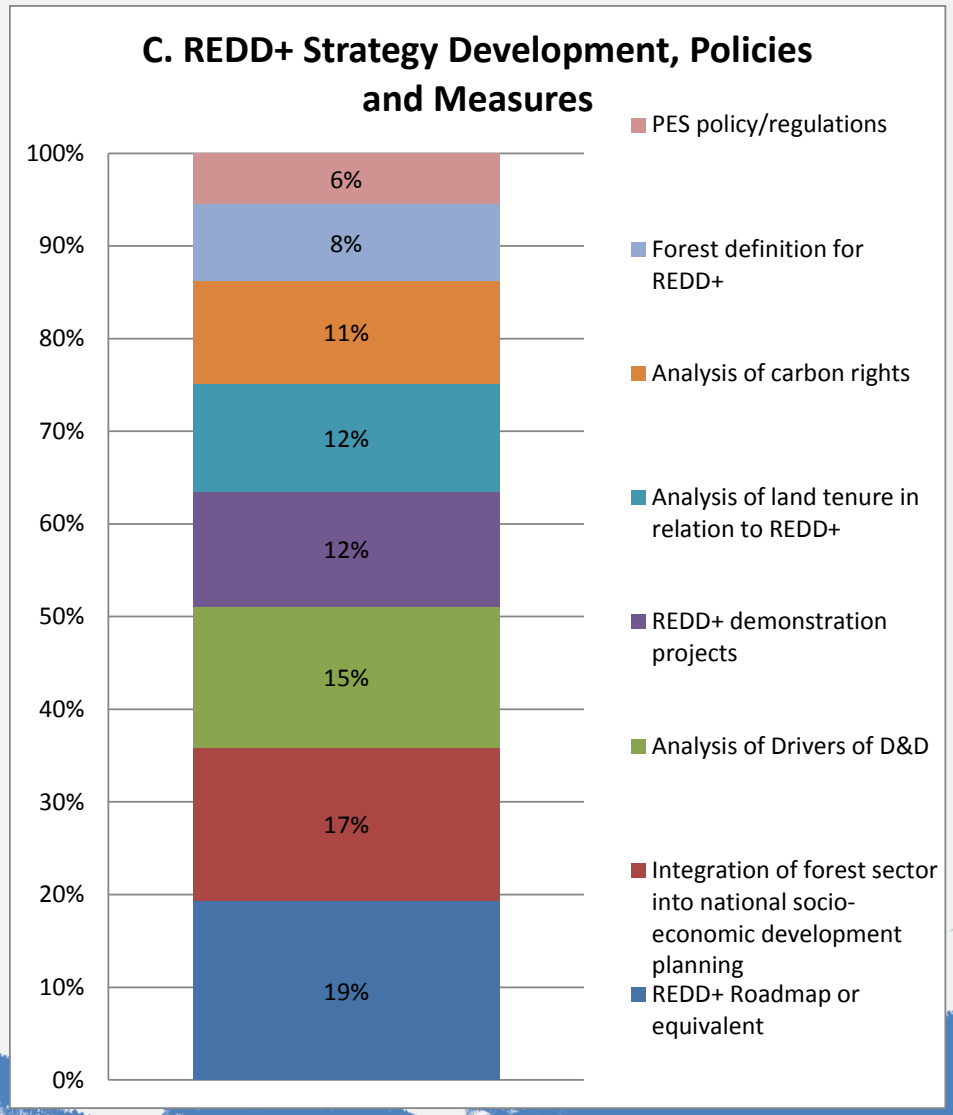
Note: No experts gave themselves "5" for Safeguards

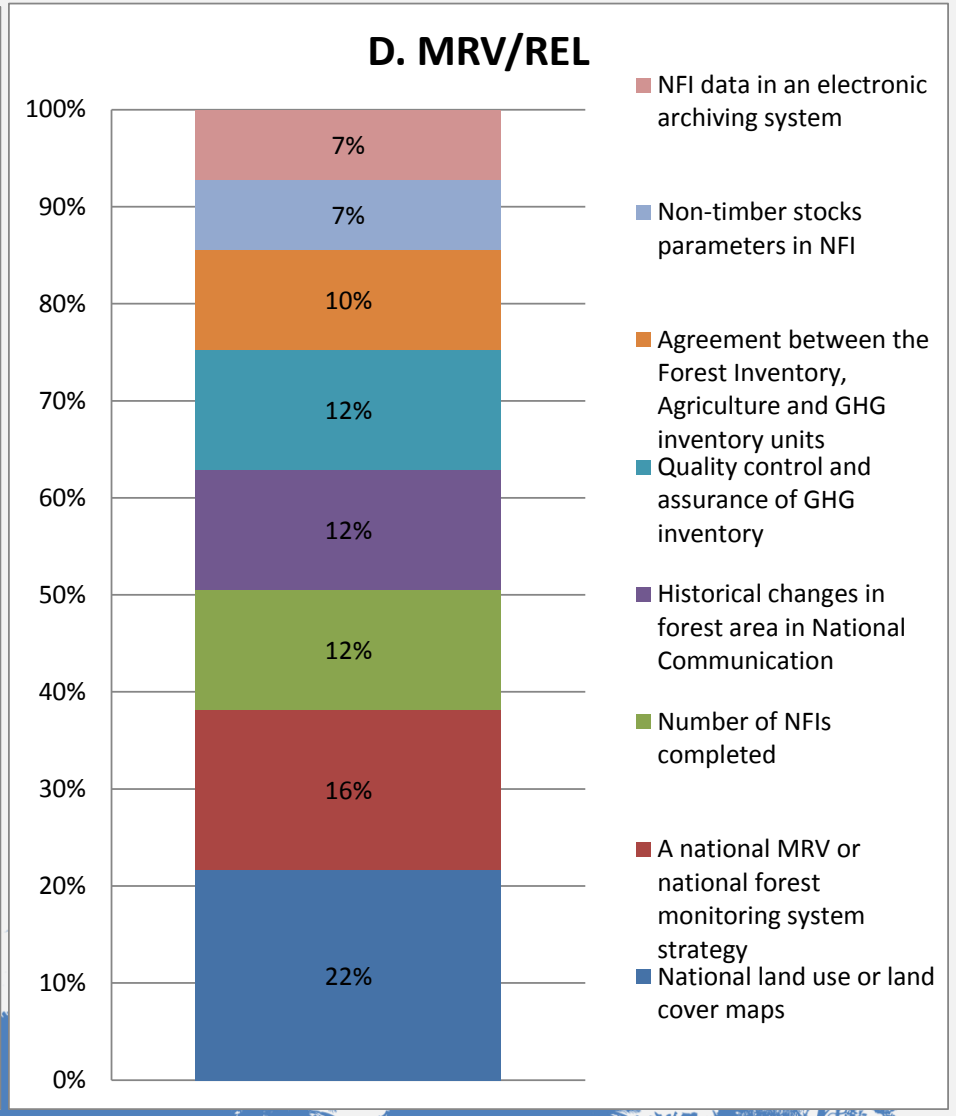
Final Weights (1)





Final Weights (2)





Final Weights (3)