

## PGA for REDD+ pilots: Overview for 2012

### Indonesia

**Funding allocation 2012:** USD 300 K

**Implementing partner:** UNDP Indonesia (upon request by Head of Presidential REDD+ Task Force/ Head of UKP4)

#### Objectives for 2012:

1. baseline information about the governance level of Indonesia's preparedness in implementing REDD+ and policy recommendation on governance issues requiring attention during the REDD+ readiness and implementation phase in Indonesia are formulated for key decision makers
2. REDD+ governance roadmap and collaborative programme to define and describe the best ways to strengthen forest and REDD+ governance structure and practices particularly ensuring rights and access of indigenous people and local community are developed
3. REDD+ PGA tool is improved and established as a monitoring and evaluation instrument for the REDD+ implementation in Indonesia

#### Management structure:

- **Advisory Group:** Ministry of Forestry, the Presidential Working Unit for Supervision and Management of Development (UKP4), and the National Planning and Development Agency (BAPPENAS)
- **Expert Panel:** Prof. Dr. Hariadi Kartodihardjo (from the Bogor Agriculture Institute and President of National Forestry Council), MAS Achmad Santosa (Deputy UKP4, Head of Working Unit on REDD+ Law and Policy Framework of Presidential REDD+ Task Force), Dr. Sunaryo (senior advisor to the Ministry of Forestry), Abdon Nababan (Secretary General of Indigenous Peoples Alliance of the Archipelago/AMAN), Dr. Mryna Safitri (Director of Epistema, a civil society think tank on natural resource management), Josi Katarina, SH, LLM (legal advisor to the REDD+ Working Group), Prof. Sofian Effendi (senior advisor to UNDP Indonesia),
- **Provincial Level REDD+ Working Groups in PGA location assessment**
- **Coordination unit/ core team within UNDP:** Abdul Wahib Situmorang (Project Manager) and Clara Widyasari (Finance and Admin)

#### Geographical scope at the country level:

The targeted REDD+ PGA cover national level actors, provincial level actors in Aceh, Riau, Jambi, South Sumatra, West Kalimantan, Central Kalimantan, East Kalimantan, Central Sulawesi, Papua and West Papua and two districts level actors in respective province.

#### Main governance issues identified and prioritized for the PGA pilot:

Law and Policy Framework, REDD+ Actors' Capacity (Government, Civil Society, Indigenous/Local Community, Business Entity) and Implementation aspects in the following issues: spatial and forestry planning, rights regulation, forest organization, forest management, controlling and oversight and REDD+ infrastructure

#### Key milestones/ activities planned for 2012 and deadline:

1. The development of REDD+ PGA tools including indicators and data collection instrument by April 2012
2. Third Party to Conduct data Collection by July 2012
3. Development of PGA report including policy recommendations using data collected by the third party by September 2012
4. Policy dialogs on the REDD+ governance roadmap and collaborative programme to gain political commitment to implement the road map and support collaborative programmes by October
5. Policy dialogs on the established REDD+ PGA Framework adopted by Presidential REDD+ task Force/UKP4 as governance monitoring and evaluation instrument for the REDD+ implementation in Indonesia by December 2012

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### Vietnam

**Funding allocation 2012:** USD 116 K

**Implementing partner:** A UNDP component in the UN-REDD Vietnam Programme, implemented by VNForest under the Ministry of Agriculture and Rural Development.

**Management structure/ participants involved:**

Group/ team set-up, their mandate and role and participants involved

Research Team – responsible for the implementation of the PGA on a day-to-day basis. Will consists of a sub-contracted national NGO to drive the PGA process in the pilot province together with UNDP/FAO focal points and UN-REDD PMU Project Coordinator for PGA. The Research Team, with strong knowledge on the assessment issues, will be responsible for reviewing and improving the overall design of the assessment, finalizing the instrument/methodologies, conducting data analysis, formulating findings and developing recommendations.

Advisory Group – small group to facilitate decision-making composed of representative from government, governance experts from academia and civil society from national and provincial level. This group will endorse the process, as well as the findings. It will provide advice to the Research Team to strengthen the assessment design based on actual experiences. The VNForest co-chair of the STWG on Governance would preferably be member of this group.

Sub-technical working group (STWG) on Governance under the National REDD+ Network – planned PGA consultation meetings at national levels will be convened through the STWG on Governance, which Forest Protection Department in VNForest co-chairs together with Forest and Fauna International.

Local stakeholder consultations – as the pilot province is selected, the Research Team will map PGA for REDD+ stakeholder in the province and make sure they are invited to and able to participate in local consultations on the PGA.

Being set-up through the UN-REDD Programme, all activities and deliverables need to be technically approved by VNForest and UNDP.

**Geographical scope at the country level:**

One pilot province, which will be determined shortly. Criteria for selection of a pilot province were agreed at the national kick-off workshop 6 March 2012 in Hanoi:

- Commitment and interest from local stakeholders
- Province with REDD+ activities
- Large forest coverage
- Provinces with forest loss
- Large presence of marginalized and vulnerable groups
- Easily accessible

**Main governance issues identified and prioritized for the PGA pilot:**

- Insufficient access to information, especially for local forest dependent communities, on issues such as:
  - On REDD+ generally
  - Willingness of local authorities to make information available
  - Technical knowledge among local communities on forest protection
- Insufficient participation of relevant stakeholders at local level
  - Lack of consultations in the allocation of forest land
  - Gender perspective often missing
- Not taking into account traditional knowledge
- Decision-making is not consultative

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Vietnam continued:

### Key milestones/ activities planned for 2012:

1. Identify and convene relevant stakeholders
  - Informal consultation with the STWG on Governance 21 November 2011
  - National kick-off workshop 6 March 2012
2. Identify the priority areas to be assessed
  - Governance challenges and criteria for selection of pilot province agreed at Naiotnaol kick-off workshop
  - Establish Research Group and Advisory Groups guided by the inputs from today's workshop by April 2012
  - Do local stakeholder consultations in the selected pilot province to validate the exercise May 2012
3. Agree indicators, data identification and methods of collection
  - Research Team & Advisory Team finalize a draft version of indicators by July 2012
  - Local stakeholder consultation in the pilot province on the draft indicators by August 2012
  - National stakeholder consultation on progress with the STWG on Governance by August 2012
4. Data collection and analysis
  - Data collection in the pilot province conducted by Research Team by September 2012
  - Analyze data by October 2012
5. Data presentation and dissemination
  - Share findings from the data analysis by December 2012
6. Utilization of findings of the PGA
  - Training of both state and non-state actors on how to act upon the findings end of 2012 and forward
  - Use of findings to inform the National REDD+ Programme end of 2012 and forward

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### Nigeria

**Funding allocation 2012:** USD 75K

**Implementing partner:** UNDP Nigeria, in close collaboration with the National Technical REDD+ Committee and the Cross River State Forestry Commission

#### Objectives for 2012:

- Preparatory research is conducted to inform the design of the PGA methodology and the planning of the assessment process
- A Governance Working Group is established at Cross River State level, and a PGA Research Team is formed (with experts from CRS, other states and from the federal level)
- A communication strategy is developed around governance issues related to REDD+, and to explain to the public how the PGA is meant to help track & mitigate these issues
- A PGA methodology is developed by the Research Team, in close consultation with stakeholders from federal and state levels
- Data collection instruments are field-tested in Cross River state and possibly one other state

#### Management structure/ participants involved:

- **Governance Working Group at Cross River State level:** This Group (5 members) will be created to provide overall coordination and championship of the PGA process in CRS, with membership from the CRS Forestry Commission and from the existing CRS Stakeholders Forum (incl. civil society & private sector)
- **Research Team:** Experts (5) from the following institutions could be approached: Building Nigeria Response to Climate Change (BNRCC), NISER, University of Calabar (Climate Change Working Group), University of IFE (Gender focus?), Federal University of Agriculture, Nigerian Youth Climate Coalition, and National Board of Statistics
- **Coordination unit/ core team within UNDP:** A National PGA Coordinator (hired by UNDP Nigeria) and Muyiwa Odele (Environment Focal Person, UNDP Nigeria)

#### Geographical scope:

- The preparatory phase may involve up to 5 'potential REDD+ candidate' states, in order to ensure broad-based participation in the design of the methodology, and to secure ownership of the PGA process beyond Cross River state (which is the lead REDD+ state in Nigeria at the moment.)
- The piloting of the assessment methodology will initially be carried out in Cross River state (the pioneer 'demonstration model' for REDD+) and perhaps in one other state to allow for a comparative analysis.

#### Main governance issues identified and prioritized for the PGA pilot:

- Policy and legislation concerning REDD+ and democratic governance
- Institutional capacity of government agencies at federal, state and local levels
- Anti-corruption strategy for REDD+
- Opportunities for civil society and forest-dependent communities to participate in decision-making processes related to REDD+
- Benefit distribution system for REDD+

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### Key milestones/ activities planned for 2012:

- Establish a Governance Working Group at Cross River State level and a PGA Research Team
- Conduct preparatory research (REDD+ stakeholder analysis, identification of 'key governance issues & risks' for REDD+, mapping of traditional means of communication at local level)
- Develop a PGA communication strategy, building on the insights gained through the preparatory research
- Convene a national training workshop on governance assessment methodologies relevant to REDD+ (to be attended by the PGA Research Team, the CRS Governance Working Group, other key REDD+ stakeholders from both federal and CRS levels, and stakeholders from other REDD+ candidate states)
- The Research Team refines the draft methodology developed at the workshop
- Organize stakeholders' consultations at CRS level to seek feedback on the draft indicator set and proposed data collection process
- Field-test of data collection instruments in Cross River state and one other state, assessment of training needs of data collectors & training programme for data collectors
- *[Pilot of the PGA methodology in Cross River state and possibly one other state planned for early 2013]*

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### Ecuador

**Funding allocation 2012:** USD 105 K

**Implementing partner:** A UNDP component in the UN-REDD Ecuador Programme, implemented by the Ministry of Environment of Ecuador through its National Mitigation Directorate.

#### Objectives for 2012:

A number of short-term objectives have been identified:

- To assess the existence and implementation of adequate policies and legislations necessary for the effective implementation of REDD+ and sustainable forest management.
- To assess the capacity of provincial and national governments at both institutional and individual levels to implement the REDD+ Programme and sustainable forest management.
- To assess the existence of mechanisms established for effective participation of key stakeholders including indigenous people and other forest dependants with regards to their abilities to undertake effective and meaningful participation.
- To assess challenges and opportunities for effective participation.
- To identify policies and legislations which are necessary for the effective implementation of the REDD+ mechanism in Ecuador

Short-term objectives will be reviewed during the 'inception phase of the PGA ' as well, and long-term objectives will be defined as a result of this process, which will be developed through a participatory process.

#### Management structure/ participants involved:

Drawing upon UNDP guidance and Ecuador's REDD+ existing participation structures and experience, three main components have been defined for the implementation of the PGA:

- Facilitation team/Technical Working Group, Ministry of Environment (Undersecretary of Climate Change-Undersecretary of Natural Heritage -Legal Department) and UNDP and FAO National Offices  
Role: provide background information, logistical support and technical inputs as well as coordinate activities.
- Research team, independent consultants with experience in governance/indicators/evaluation processes.  
Role: Develop the concept note for the 'inception phase' of the PGA. Support and provide technical advice to the Interim Group on the design of the PGA methodology and concept note.
- PGA's Interim Group, including members of the Standards National Committee and UN-REDD Advisory Board<sup>1</sup>. Knowledge of the REDD+ process in Ecuador and governance issues. For the

<sup>1</sup> The UN-REDD Advisory Board is formed by representatives from government, civil society, communities, peoples and nationalities, was established. The purpose of the Board was to monitor the reviewing process of the UN-REDD Joint National Programme proposal, verifying

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next phase of the PGA, there will be a **REDD+ Governance Board**, which will be part of the REDD+ Working Group, to be conformed, according to the 'Citizen Participation law' (*Ley de Participación Ciudadana*) representing the different sectors.

Role: Support the consultant to discuss and identify the areas of governance to be addressed and provide guidance for the assessment process. Consult with and report to the people/organisations they represent.

UNDP Regional Office and UNDP Oslo Governance Centre provide support to the initiative in Ecuador.

Outcomes of the 'inception phase' to develop the concept note of the PGA will lead to a review of the management structures and participants involved in a next phase.

### Geographical scope at the country level:

The 'inception phase' of the PGA process is targeted national and local level actors (Government, Civil Society and Local Communities and Indigenous Peoples).

### Main governance issues identified and prioritized for the PGA pilot:

Based on the Ecuadorian context, a number of key governance issues for REDD+ have been identified. The scope of the PGA will be discussed and specified with stakeholders to determine which issues will be addressed. Some suggested areas are:

- Land tenure
- Forest law enforcement
- Transparency
- Participation: IP and CSO capacities to participate in REDD+ decision – making processes
- REDD+ funding and expenditure
- Government capacity
- Accountability

### Key milestones/ activities planned for 2012:

Key activities planned so far, in order to accomplish the objectives identified for the 'inception phase' of the PGA in Ecuador for 2012, are:

- By the first week of April a consultant will be hired to develop, in a participatory process, the concept note for that PGA in Ecuador which will provide inputs to review short-term objectives and identify long-term objectives. The development of the concept note will include local workshops and interviews with right-holders and stakeholders and analysis of primary and secondary information and the expected outcomes of this process are:
  - To have an information system to report on governance for REDD+ as part of the information system on social and environmental safeguards.
  - A policy paper on the critical governance issues that need to be addressed for the effective implementation of the REDD+ mechanism in Ecuador and a set of recommendations to improve governance based on the results of the policy paper.
  - Dissemination of the results of the PGA to key stakeholders using appropriate tools

that the inputs/comments received had been processed by the Ministry of Environment in a new version of the document for submission to the UN-REDD Programme. The work with the Advisory Board continues during the inception phase.

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according to stakeholders (online communication, workshops, others.)

- A formal participation platform at national level to discuss governance issues related to REDD+, based on the areas agreed for the PGA
- Replicable capacity-building programme on governance including the results of the PGA.
- To conform an 'Interim Group' with representatives from the Government (Ministry of Environment, National Planning and Development Secretariat, Secretariat for Peoples, Social Movements and Citizen Participation, Civil Society (ONGs, private sector, academic sector, youth) and from the local communities and indigenous peoples organizations. This interim group will include representatives from existing and former participation groups that previously have worked on REDD+ issues (UN-REDD proposal document review process, and REDD+ SES national interpretation process), in order to have an active and informed group.
- To conform a unique participation and involvement *Working Group for REDD+*, with representatives from the Civil Society (ONGs, private sector, academic sector, women, youth) and from the local communities and indigenous peoples (from organizations and local communities' level from different geographic regions), which will support the next phase of the PGA.
- Use the PGA's concept note development to assess and strengthen issues related to transparency, accountability, effective participation and 'good governance' objectives for the National REDD+ Programme and REDD+'s implementation in Ecuador.