



Developing pipeline, criteria and needs assessment

Session 5B

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San Jose, Costa Rica
9-10 November 2015





- **Why do we need criteria?**
 - Maximize impact of the programme (RF)
 - Transparency in allocation of limited funds
 - Potentially accelerate pipeline development
 - Identify leading proposals / opportunities

Background – 2012 UN-REDD criteria for National Programmes *

- **To guide invitations to countries**
- **Preconditions**
 - Partner countries
 - Regional balance for support
- **Criteria**
 - Contribution to national readiness – no support or where value added coordinated with other initiatives
 - Effective engagement of UN agencies at country level –national readiness process, UNDAF & country priorities, past experience
 - REDD+ potential (% of land area, rate of change, forest & poverty)
- **Other requirements**
 - Commitment (human rights, UN-REDD operational guidance, UN-REDD social and environmental principles and criteria)

Balance

Relevance

Engagement

Potential
benefits

- **Revised criteria:**
 - Expression of interest open to all countries without NP (i.e. no regional balance)
 - EOI should include *inter alia*:
 - REDD+ Vision
 - Readiness status
 - Absorptive capacity
 - Funding needs
 - Niche for the UN-REDD programme
- **In addition, selection made on the basis of:**
 - Ability to complete submission by end 2015, emission reduction potential, participation in performance based mechanisms.

Relevance

UN-REDD
niche

Potential
benefits

Needs &
absorptive
capacity



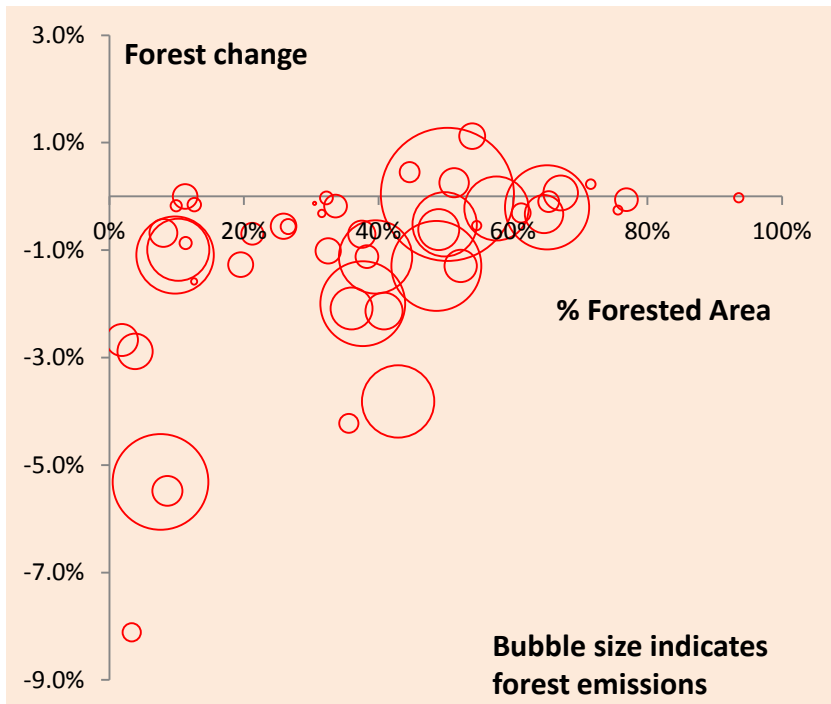
- **Additional considerations for new UN-REDD phase**
 - UN-REDD has many partners, most of them aspiring to substantive support
 - More complex landscape: initiatives, specificity of needs > risk duplication
 - More tailored UN-REDD support overlapping geographically
 - Diverse progress towards readiness
 - Extension of support beyond readiness into implementation of PAMs
 - Readiness funding limitations

 - Greater clarity on REDD readiness requirements

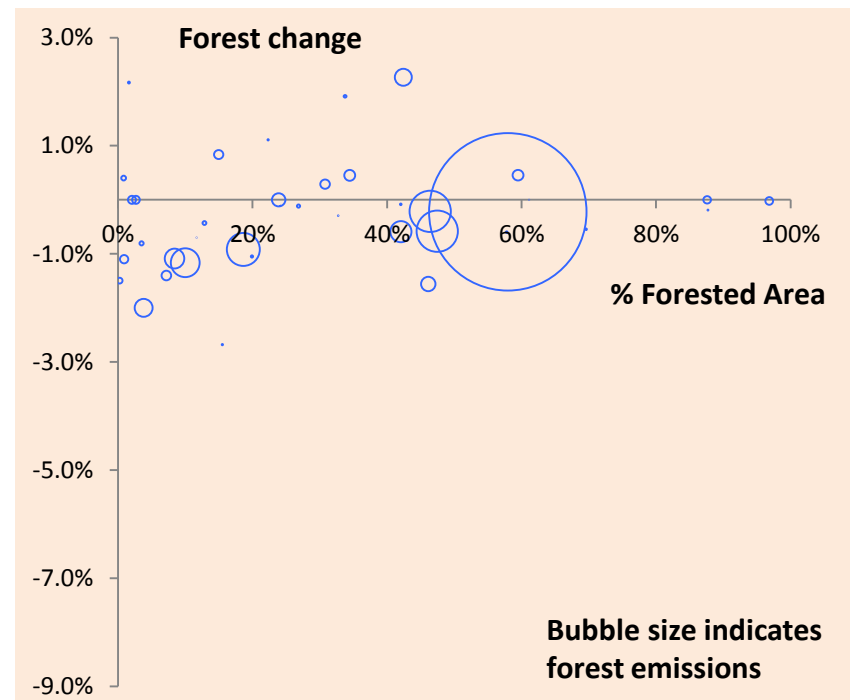
Greater number of partner countries

- 64 UN-REDD partner countries:
 - 55% of forests in developing countries
 - More than 3/4 of deforestation and related forest emissions
- Combined emissions of top 4 global emitters is equivalent to next top 20 combined.

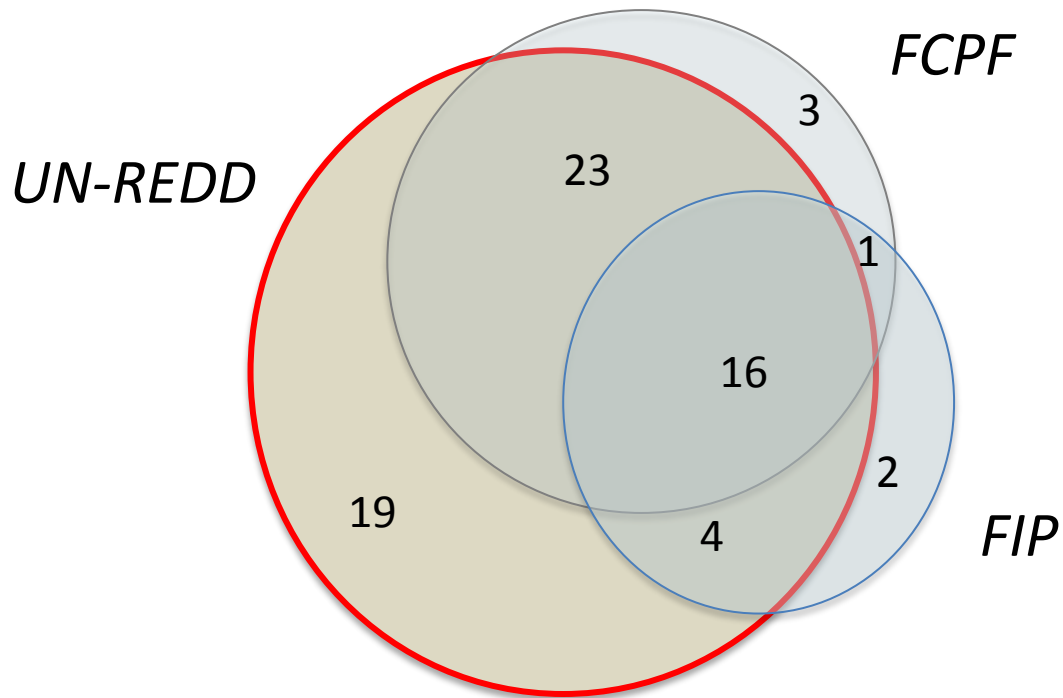
UN-REDD partner countries



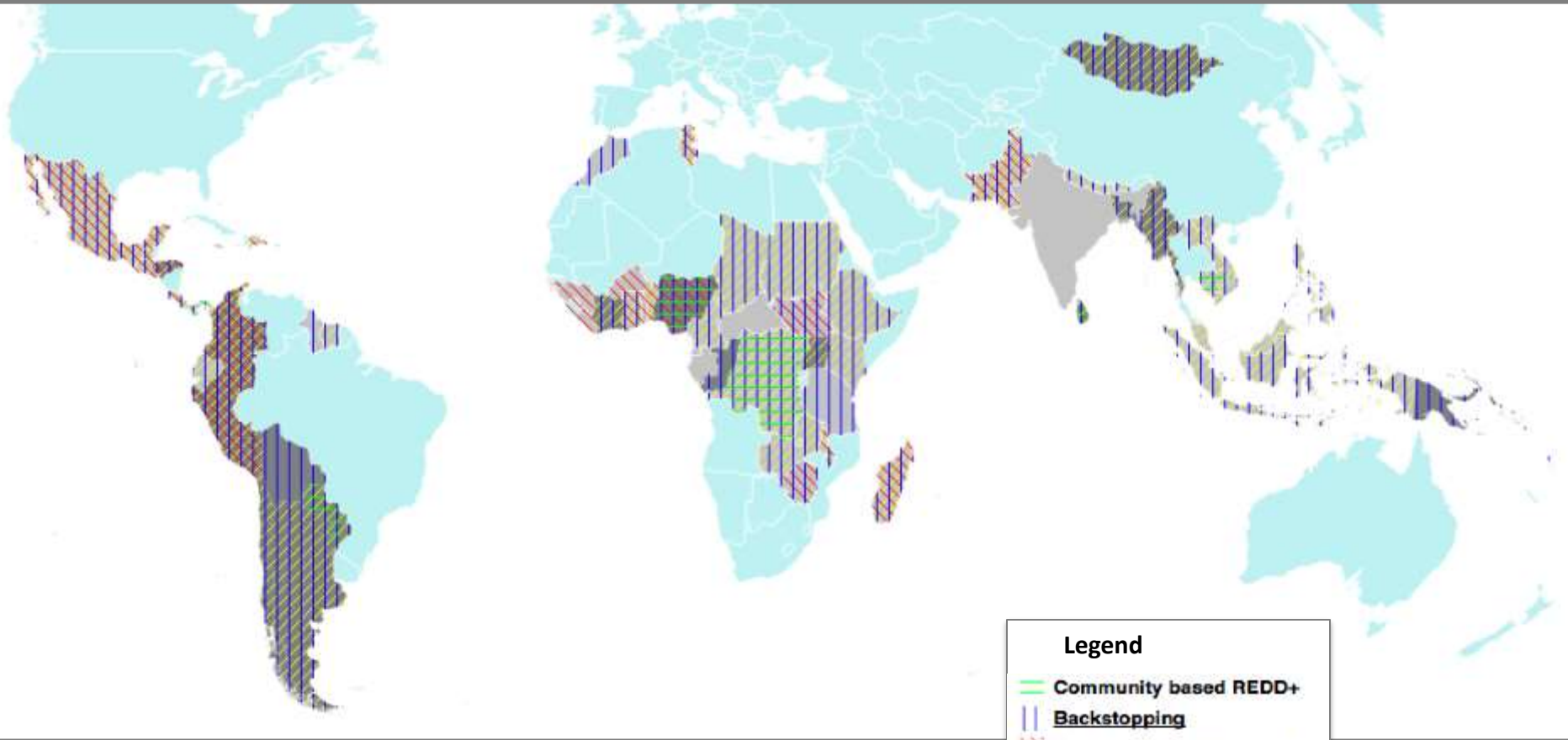
Non UN-REDD partner countries



Significant overlap across initiatives

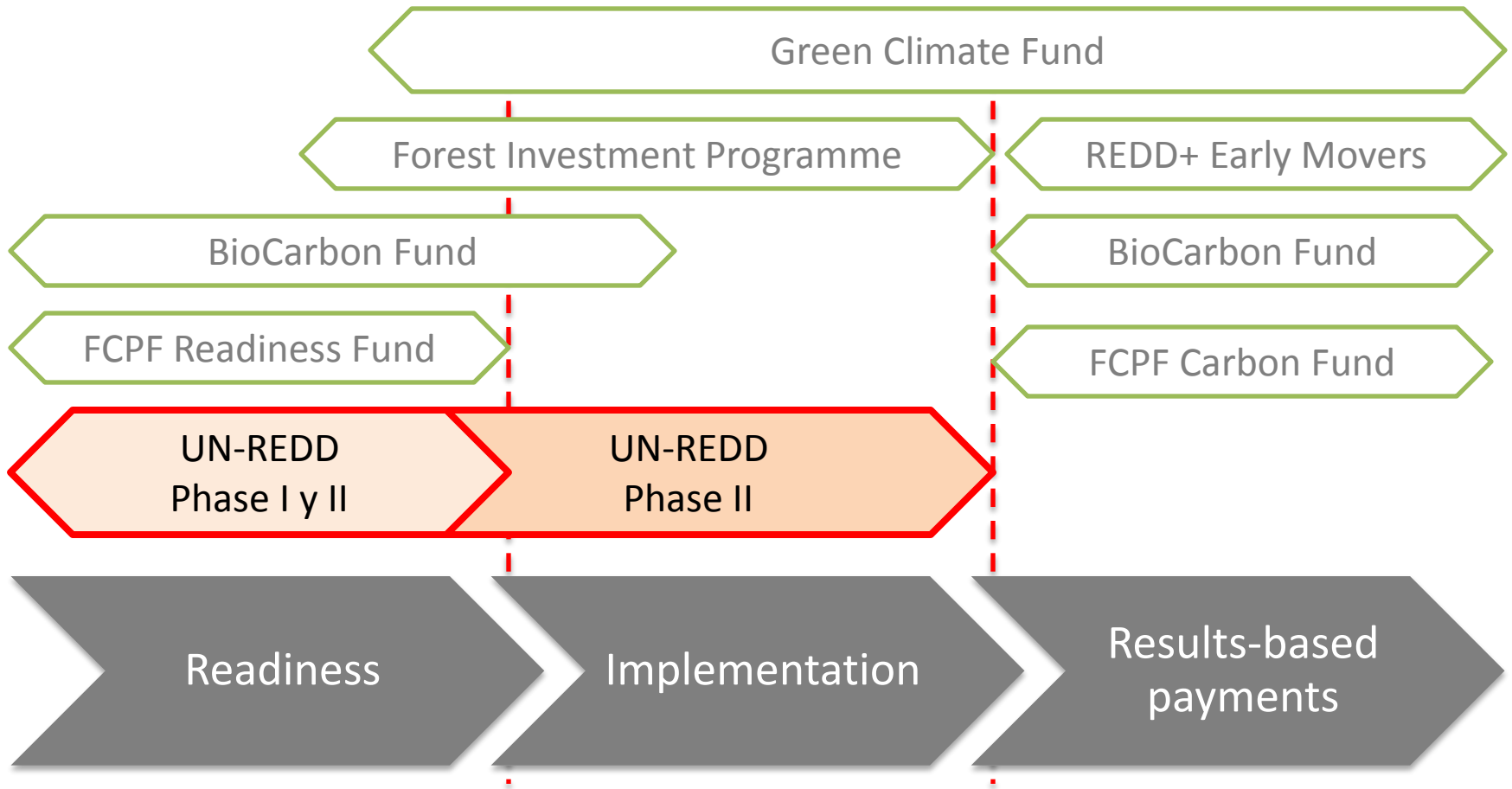


Geographical overlap in UN-REDD modalities of support

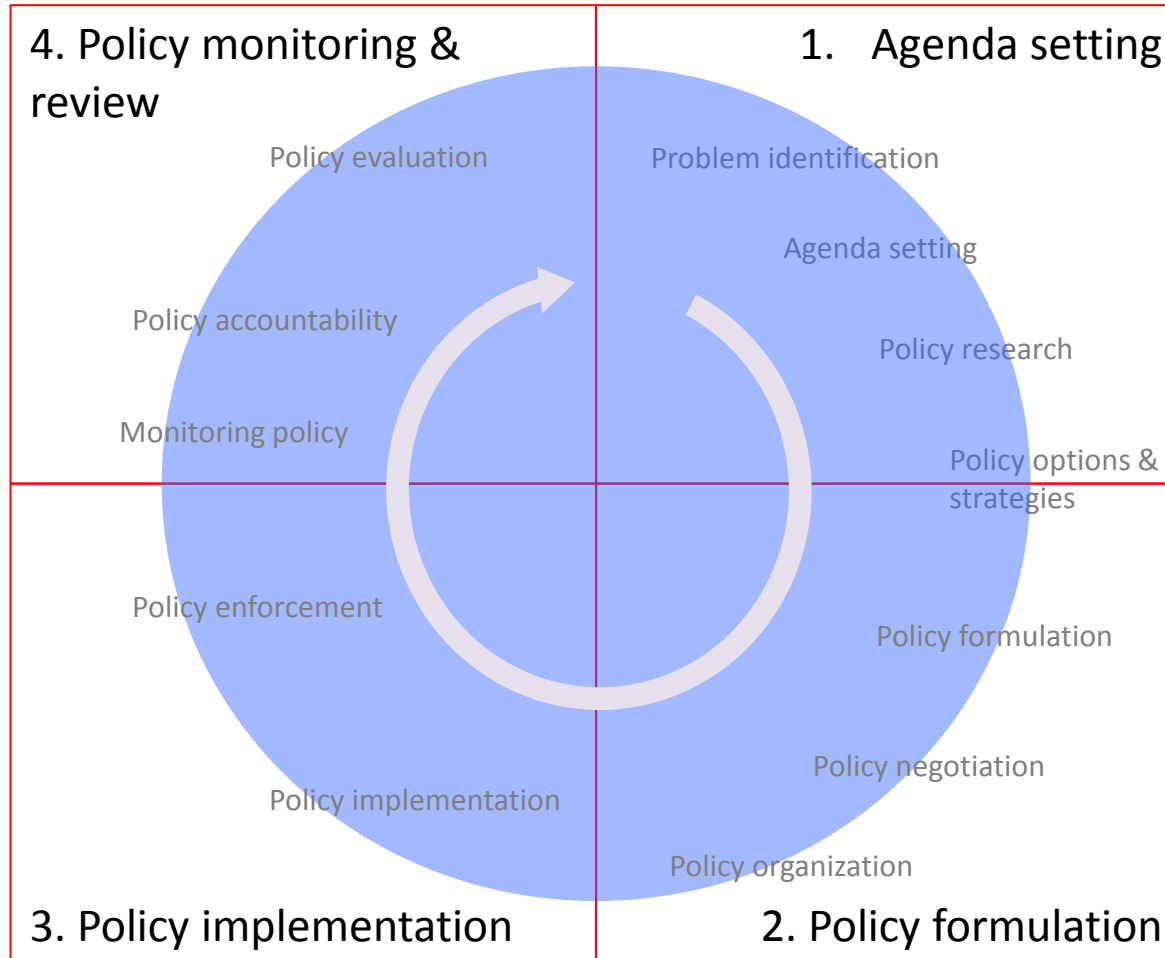


Increasing number of countries and modalities of support

Extending support to PAMs



Policy cycle



Which criteria is relevant for PAMs: experience from the Forest Investment Programme *

- **To select country or regional pilots**

- **Criteria**

- Potential emission reductions, enhancement of stocks, protect biodiversity whilst supporting rural livelihoods
- Contribution to FIP objectives and adhere to FIP principles
- Potential of mainstreaming in ongoing policy framework
- Country preparedness, ability and interest to undertake REDD initiatives
- Distribution across regions and biomes, ensuring lessons to scale up with respect to:
 - Curb high rates of deforestation and forest degradation
 - Conservation of existing carbon stocks
 - Enhancement of carbon forest stocks
 - Building capacities for SMF

**Potential
(multiple
benefits)**

**Contribution to
FIP objectives**

**Mainstreaming
potential**

Preparedness

**Learning
potential**

*/ FIP/SC.1/5/Rev 1 <https://www->

[cif.climateinvestmentfunds.org/sites/default/files/fip_1_5_rev1_criteria_selecting_country_regional_pilots_111209_0.pdf](https://www-climateinvestmentfunds.org/sites/default/files/fip_1_5_rev1_criteria_selecting_country_regional_pilots_111209_0.pdf)



- **Considerations for the development of specific criteria for the UN-REDD Programme**
 - Experience with criteria for readiness support
 - Objectives/expected results as per New RF
 - Need for rapid pipeline development
 - What PAM support entails (policy cycle)

UN-REDD Programme 2016-2020, schematic Theory of Change based on UNDG guidelines

Development
Goal
10-15 Years

Programme
Impacts
5 Years

Programme
Outcomes
1-5 Years

Cross-cutting
Themes

Reduce forest emissions and enhance carbon stocks in forests while contributing to national sustainable development.

Countries implement actions that deliver both carbon and non-carbon benefits from forests in accordance with UNFCCC decisions.

National contributions to the mitigation of climate change through REDD+ are **designed and adopted**, including the provision of additional social and environmental benefits, and in conformity with the UNFCCC's REDD+ safeguards.

National contributions to the mitigation of climate change through REDD+ are **measured, reported and verified** with the necessary institutional arrangements in place.

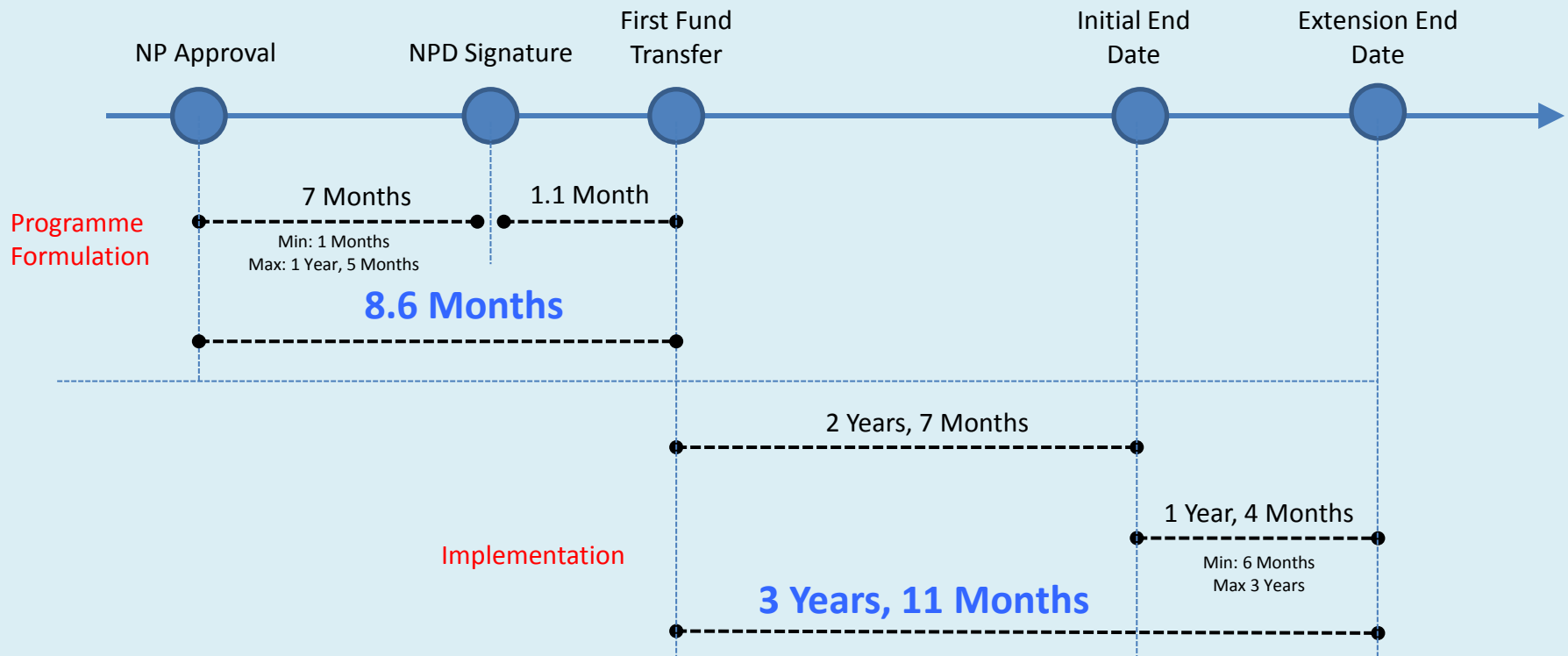
Drivers of deforestation and forest degradation are addressed through the **implementation of policies** and measures (results-based actions), with social and environmental safeguards addressed and respected.

Stakeholder engagement, Governance, Tenure and Gender equality

Balance needed on:

- Breath / Depth
- Carbon / other benefits
- Readiness / PAMs

Need to front-load Pipeline development: National Programmes



The development of the pipeline for the new Programme will need to be significantly front-loaded to prevent long programmes extending beyond the new cycle.

Selection criteria challenges: Questions to address

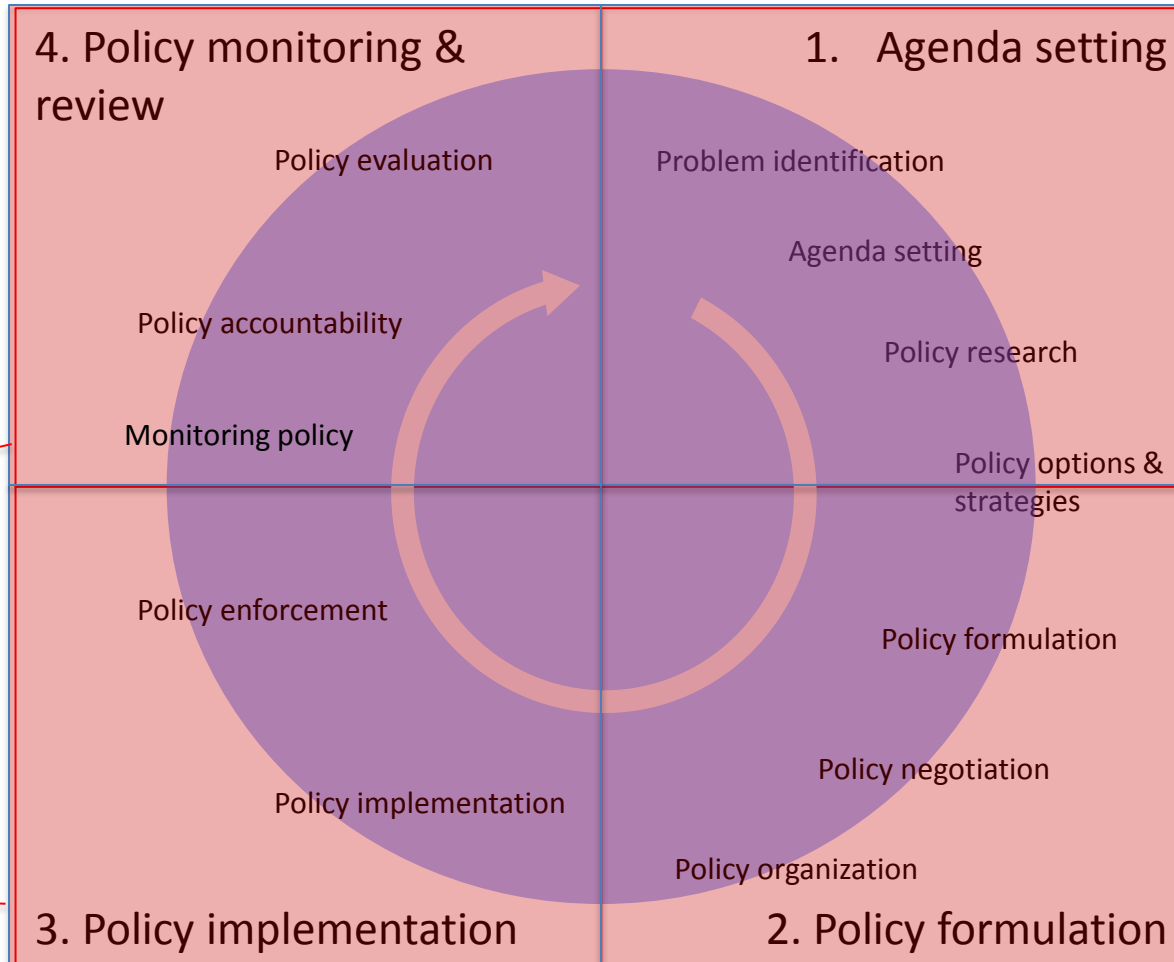
Can PAMs be monitored?

Can/will PAM be evaluated?

Operability of NFMS, status of FREL/FRL?

Relevance/learning potential?

Sustainability of PAMs (financial and otherwise)?



Is there a NS/AP?

Robustness of NS/AP process?

Consistency of PAM with NS/AP and national policy?

Commitment to implement PAM?

Enabling conditions?

Potential results/impact of PAM?



Final remarks

- Readiness support can be informed by past experience
- PAM needs for effective implementation (how ready is ready)
- Mainstreaming needs assessments into building pipeline.
- Need to get right substantive technical aspects of criteria
- Indispensable to use resources in a way that makes global sense
- Initial thinking that need rapid evolution to concrete definition



José Carlos Fernández

carlos.fernandez@un-redd.org

Gracias

Website: <http://www.un-redd.org>

