



# PROPOSAL

A REDD+ Demonstration Project Informing the National REDD+ Roadmap



Submitted to: UNDP Small Grants

Submitted by: Pact Institute

Revised and resubmitted: November 28, 2011



# **PROPOSAL SUMMARY**

Project Title	A REDD+ Demonstration Project Informing the National REDD+ Roadmap
Project Site	Oddar Meanchey, Cambodia (including 13 community forestry areas, 64,318 ha, and 58 villages)
Proponent	Pact Institute
	A US-based non-profit organization which focuses on livelihoods, natural resources management and health within the framework of capacity development, engaging markets, and better governance. See below for more details.
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Cooperating	Forestry Administration
Organizations	Dr. Keo Omaliss
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	Children's Development Association
	Mr. Chee Boreth
	Tel: 855-97 822 981
	E:mail: <u>cdacambodia@yaho.com</u>
	Community Forestry Network
	Tel: 855-97-982-2981
	E:mail: cdacambodia@yahoo.com
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Project Dates	1 January – 31 August, 2012
Total Project Cost (USD)	USD\$389,237
Amount	US\$150,000
Requested from	
UNDP (USD)	

DP									
Project Objective	The objective of the project is to bring the Oddar Meanchey CF REDD+								
	Project to the market while analyzing and documenting experience to								
	contribute to the national REDD+ Roadmap process.								
Brief Project         The Oddar Meanchey Community Forestry REDD+ Project was initiat									
Description	January 2008, and is the first REDD+ project in Cambodia. Under a "mosaic approach", thirteen CF groups in Oddar Meanchey province in the country's northwest protect a group of forests covering 64,318 hectares, or approximately 31-percent of the province's total forest cover. The Cambodian Forestry Administration (FA) acts as the seller of carbon on behalf of the Royal Government of Cambodia (RGC) and the participating communities. The project's aim is to secure sustainable financing for forest protection and to improve livelihoods among the poor in participating villages. Pact and local NGOs facilitate project preparation and implementation in partnership with the FA as part of a national Project Team. Terra Global Capital, LLC, based in the United States, developed the project methodology and is responsible for brokering the sale of carbon credits for the RGC. Under support from the UNDP REDD+ Small Grants, Pact will further develop the project to address some of the key issues in REDD+ design and implementation including contractual and legal constraints, controlling drivers of deforestation, and community-based MRV systems and capacity								
	development.								

#### **1. RATIONALE AND CONTEXT**

Pact proposes to support the Community Forestry Reducing Emissions from Deforestation and Degradation initiative in Oddar Meanchey, Cambodia (OM CF REDD+), as a demonstration site to build capacity and inform Cambodia's national REDD+ Readiness. The project has clustered 13 community forestry sites across a landscape to seek carbon credits for forest protection and livelihood improvement. The preparation phase for this project was initiated in February 2008 under support from Danida, and with the endorsement of the Council of Ministers (Government Decision No. 699), and significant progress has already been made. The project has completed methodology validation through the Verified Carbon standard (VCS) and has submitted Project Documents (PDs) to the Verified Carbon Standard and the Climate Community Biodiversity Alliance (CCBA) which are currently undergoing validation. Pact will continue to work closely in implementation with the Forestry Administration, the local NGO Children's Development Association, the Monks Community Forestry Association, and Terra Global Capital, the project partner in carbon assessment and brokerage.



The project will employ the REDD+ mechanism and carbon credits to boost community livelihoods primarily through employment in forest protection and regeneration during the lifetime of this REDD+ project. Carbon revenues are projected to begin to flow in mid-2012<sup>1</sup>. In preparation for these revenues, the project will establish the framework for community involvement in future transparent revenue flow management.

Throughout its development, the OM CF REDD+ project has provided a learning platform for local capacity development and field testing of a range of REDD+ strategies. The lessons learned from the experience in Oddar Meanchey are providing key inputs to the development of Cambodia's REDD+ Roadmap and a range of required systems and mechanisms as well as learning opportunities throughout the region. The field experience and capacity from the OM CF REDD+ project are particularly important for Cambodia's recently launched national REDD+ program.

The goals and objectives of the project closely complement the objectives of UN-REDD+ to facilitate Cambodia's National REDD+ Program and participation in global climate change mitigation efforts. This project seeks to create tangible social benefits such as poverty reduction and increased community participation. The small grant will ensure the project takes a significant step forward in REDD+ readiness; as a result, project participants will be able to join in gainful discussions with other regional countries participating in UN-REDD+-funded activities.

## 2. ORGANISATION DESCRIPTION

Pact is an international development organization based in Washington D.C. with programs in 58 countries and 24 offices around the globes. Pact's mission is to build empowered communities, effective governments and responsible private institutions that give people the opportunity for a better life. Pact has been involved in forest conservation since the early 1990s and has implemented a number of projects in community-based management of forest resources, conservation, and zoning of forest corridors in Bolivia, Cambodia, Democratic Republic of Congo, Ethiopia, Ecuador, Kenya and Madagascar.

Pact established an office in Cambodia in 1991 and currently has a staff of 19, 17 of whom are local nationals. The office has an annual budget of approximately \$4.9 million and has managed over 400 subgrants to local NGOs and the government. Pact's core competencies in Cambodia include the development of REDD+ projects, community forestry, climate change adaptation and mitigation, good governance and anti-corruption, decentralization, women's empowerment, advocacy, and HIV/AIDS. Pact has a Memorandum of Understanding (MOU) with the Ministry of Foreign Affairs in Cambodia which allows Pact to legally operate in the country.

The Community Forestry Partnership (CFP) program houses Pact's expertise in community forestry REDD+ project development and implementation. Over the past 5 years, the CFP program staff have

<sup>&</sup>lt;sup>1</sup> Carbon revenues will not be sufficient to cover project costs in the first several years of implementation. Thus, some donor funding is critical for the effective start-up of activities.



supported the establishment of more than 150 CF groups throughout the country resulting in more than 150,000 hectares under CF management and initiated 20 community-based non-timber forest product (NTFP) enterprises. Since early 2008, CFP has been engaged in REDD+ project implementation. The CFP program has developed modules for CF land tenure establishment and several case studies on the OM REDD+ project that document methodology, lessons learned and best practices. Pact has a seat on the government's Technical Working Group for Forestry and the Environment and the National Community Forestry Program Coordination Committee. Pact also leads an informal national NGO REDD+ working group that allows implementers to share lessons learned and best practices.

Since early 2008 Pact staff have been implementing the OM REDD+ project.<sup>2</sup> They have solid technical knowledge of REDD+ project implementation, policy frameworks, forest assessments, and voluntary market requirements. Several Pact staff are trained foresters with skills in forest inventory, GIS, and silviculture techniques. The Team Leader has more than 13 years experience working on environment issues in Cambodia. Pact staff is well versed in approaches related to CF establishment, bio-mass measurement, GIS mapping for REDD+ sites, data collection for carbon measurements, capacity building of local communities for CF monitoring and facilitation of land tenure for REDD+ projects. Through close collaboration with the private sector carbon developer, Terra Global Capital (TGC), Pact has greatly enhanced its understanding of development of methodologies for carbon accounting, voluntary market mechanisms, and monitoring requirements of carbon offsets. Pact in collaboration with TGC and the FA, developed participatory measurement standards operating procedures to guide local communities in conducting the required biomass inventories for the VCS Project Document (PD). At the global level, Pact is expanding its on-the-ground REDD+ work to Madagascar and Kenya, while also serving as an advisor to a BNP Paribas REDD+ capital investment fund.

Pact's annual budget in Cambodia in 2010 was \$4,927,407. Please see the latest audited financial statement attached.

## **3 PROJECT DESCRIPTION**

## **Objective, Outcomes, Planned Outputs:**

**Project Objective**: To bring the Oddar Meanchey CF REDD+ Project to the market while analyzing and documenting experience to contribute to the national REDD+ Roadmap process

## Outcome 1.0: The Project Documents for CCBA and VCS are drafted

Output 1.1: Complete biodiversity assessment

<sup>&</sup>lt;sup>2</sup> Visit CCB's site for the Oddar Meanchey REDD+ Project Document: www.climatestandards.org/projects/index.html



Output 1.2: Draft Project Documents for CCBA and VCS

## Outcome 2.0: OM CF REDD+ is successfully validated and FA is supported to negotiate offers

Output 2.1: The Corrective Action Requests (CARs) and Clarification Requests (CRs) in the validation report are sufficiently addressed and the project is validated by both VCS and CCBA.

Output 2.2: Cambodian legal counsel is provided to analyze constraints for REDD+ contracts under Cambodian law

Outcome 3.0: OM CF REDD+ activities contribute towards project verification

Output 3.1 116 additional forest inventory plots are conducted

Output 3.2 100 household surveys are conducted

Output 3.3 Additional remote sensing images are purchased and analyzed

Outcome 4.0: Mechanisms to resolve land disputes and internal CF management issues are put in place

Output 4.1 The FA mobile enforcement unit is planned (membership, TOR) and agreed upon

Output 4.2 The CF Network facilitates resolution of internal disputes within a few CFs

Outcome 5.0: A comprehensive REDD+ project monitoring system is designed

Output 5.1 The project monitoring and evaluation system is further defined through the development of monitoring tools and protocols and consultation

Output 5.2 Training materials for community MRV systems are designed

Output 5.3 An SMS trial for collecting patrol data is expanded to 13 CF sites (10 additional sites)

Outcome 6.0: Project field implementation informs national and international REDD+ policy

Output 6.1 Regular quarterly newsletters on the project are circulated

Output 6.2 Three lessons learned / policy briefs are drafted: 1) Legal constraints (including CF), 2) Community based MRV systems, and 3) Community Awareness Raising and Consultation Experience

Output 6.3 Project staff participate in national REDD+ meetings and discussions to share experience



## **Explanation on Outcomes and Outputs**

# Outcome 1.0: The Project Documents for CCBA and VCS are drafted

Under this outcome, the biodiversity assessment was completed and Project Documents for the Verified Carbon Standard and the Climate Community Biodiversity Alliance have been drafted. These activities were already completed in 2011. The US Department of State co-financed the biodiversity assessment and Terra Global Capital provided in-kind support in drafting of the PDs.

# Outcome 2.0: OM CF REDD+ is successfully validated and FA is supported to negotiate offers

The OM CF REDD+ project went through validation in August 2011 and subsequently a list of 91 Corrective Action Requests and Clarification Requests were received for action. Approximately 60 percent of these have already been addressed and closed, but a number of CRs and CARs remain. Over the next 2 to 3 months, Pact, the FA, and Terra Global Capital will work together to close all of the CRs and CARs.

Furthermore, Pact will support the FA in developing its knowledge on carbon transactions. A Cambodian lawyer will be contracted to support the project team to better understand the terms and conditions of the contract with regards to Cambodian law. This support complements the pro bono legal counsel from SNR Denton on carbon transactions in general.

# Outcome 3.0: OM CF REDD+ activities contribute towards project verification

Under this outcome, Pact's team in collaboration with the FA and local community will conduct remeasurement of forest biomass inventory plots (116) as well as household surveys (100). Two additional remote sensing images will also be purchased. By analyzing the data from these surveys and images, the project will be able to determine how many credits have been earned by the project. This is a key step towards the project verification. Once the project is verified, these credits will be registered and converted to revenues for the Royal Cambodian Government. Though the first credit earnings will not be sufficient to support community development objectives, support to communities for their forest protection activities such as patrolling, demarcation, and village meetings will be prioritized.

Pact already has Standard Operating Procedures and an experienced and qualified team to lead the forest plot re-measurement activities and conduct the household surveys.

# Outcome 4.0: Mechanisms to resolve land disputes and internal CF management issues are put in place

Under the project's long term workplan, a mobile enforcement unit will be established to support forest law enforcement in the 13 community forestry areas. With support from the Forestry Administration, Pact will facilitate discussions to decide on the membership and Terms of Reference for this group. Once project revenues from the sale of credits are in place, this body can begin to fully function.



There are number of disputes in several of the CF areas which require facilitation and resolution. The CF Network shall receive support to visit these communities and mediate these conflicts. Some conflicts are relatively minor (an internal dispute between members) and some will require longer term engagement. The CF Network shall produce a report to update on the management status of each of the CFs facing problems.

# Outcome 5.0: A comprehensive REDD+ project monitoring system is designed

The project requires comprehensive reporting to the VCS and CCBA. Pact has already started to develop a monitoring framework but this framework requires more definition in terms of protocols and forms for use in the field. In addition, Pact will design training materials to train the 13 community monitors so that they will be able to efficiently and accurately collect the data needed for the reports. An SMS trial for collecting field data by SMS messaging shall be expanded from the existing three sites to the remaining 10 sites, for a total of 13. This system allows the project managers to collect and compile field patrol data on a regular basis. Information on illegal activity, biodiversity, and fire is included in the Khmer forms which CF leaders send to a central database.

# Outcome 6.0: Project field implementation informs national and international REDD+ policy

The project team will continue to produce and circulate a quarterly project electronic newsletter to update all stakeholders and interested members of the public on the project. In addition, three project briefs will be produced to support the REDD Roadmap process. These shall cover: 1) Legal constraints under REDD transactions, 2) Community based MRV systems, and 3) Community Awareness Raising and Consultation Experience. Finally, the project team members shall participate regularly in national REDD meetings and dialogues to support the process.

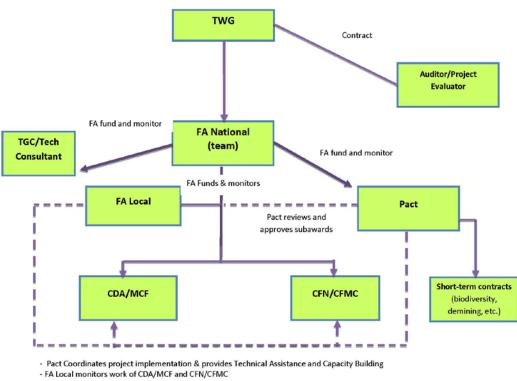
# Strategy

Management Structure

A *draft* management structure for the overall OM CF REDD+ project has been designed as shown below. Pact reports to the Forestry Administration on all activities. According to the Government Decision No. 699 (May, 2008), REDD+ revenues are channelled through the Technical Working Group on Forestry and Environment. Pact operates under an existing Memorandum of Understanding with the Forestry Administration and is currently in the process of finalizing a project Memorandum of Agreement to define Pact's responsibilities under the project over the coming five years. Pact will second one staff to be based part-time in the FA to facilitate communication and coordination.



Proposed OM REDD Organization Structure



Relationship and Responsibilities of Proponent and Project Partners •

Pact has already established strong partnerships for implementation with several project partners. Their roles are defined below.

Partners	Role
Forestry Administration	Project Proponent and Carbon "Seller". The FA plays the leading role in the project and has overall responsibility. On the ground, the FA plays a role in technical forestry work, guarantees tenure security of CF areas, and manages forest law enforcement, etc.
Pact	Project Implementing Partner. Pact is a service provider to the project facilitating the project activities, as well as general coordination with the Forestry Administration and other stakeholders. Pact also engages in planning, monitoring, and problem-solving from the local to the international level.
13 Community Forestry	Project Beneficiaries and Implementers. Communities are responsible
Management Committees &	for forest protection, assisted natural regeneration, fire protection,
Representative CF	forest management planning and monitoring, livelihood development

Federation	planning and implementation.
Terra Global Capital	Carbon Development and Offset Marketing. Brokering of REDD+ project, technical support of carbon assessment and liaising with VCS and CCBA.
Children's Development	Local Implementing Partners. Facilitation with local communities,
Association & Monk's CF	problem-solving
(local NGOs)	
SNR Denton	Legal Advisor. An American law firm which provides pro bono legal advice on the ERPA
The William J. Clinton	Supporting Partner. The Clinton Climate Initiative has provided financial
Foundation	support for the project implementation and validation.

Project sustainability

The project has sustainability at its core with a 30-year timeframe of sustained carbon revenues assuming effective forest protection. Under written agreements, the Forestry Administration has committed to allocate *at least 50 percent* of net revenues to participating communities.<sup>3</sup> Minimizing its own draw on implementation funds, Pact will seek to ensure that these funds are delivered to the local level and used with transparency and accountability while building the long-term capacity for local planning, resource monitoring, communication, effective problem-solving and conflict resolution. Improved governance of resources and revenues will transform the incentive structure to motivate protection rather than destruction of forests. The project will also seek to integrate with national policy frameworks and REDD+ policy development as Cambodia joins UN-REDD+ and the Forest Carbon Partnership Facility, implements the National Forest Program, develops a national REDD+ accounting system, and participates in the international dialogue on a post-Kyoto REDD+ policy framework.

• Risks and Barriers

There are several risks foreseen in implementation of the project. These include:

o Lack of sufficient market interest in the project

The project is currently seeking investors; however, the carbon market fluctuates widely and it is difficult to assess the level of interest in the project when it comes time for a sale. While the project partners are confident in identifying interested buyers, there is a risk that interest could wane following

<sup>&</sup>lt;sup>3</sup> According to the project financial scenario, carbon revenues in the first 3-5 years of the project will be sufficient only to cover project costs, while in later years there will be a net, the majority of which will be delivered to support community development in the 13 communities. Project costs include benefits to community in the form of support to patrols, assisted natural regeneration, boundary demarcation, employment as monitors, and replanting. Communities have already been informed and consulted on the financial scenario of the project. A report is available.

the COP 17 in Durbin. The revenues generated by a carbon sale might not be sufficient to cover all of the anticipated project costs.

# • The Royal Government of Cambodia is unable to make a decision on bids submitted within a reasonable timeframe

Since the OM CF REDD+ project is the first REDD+ project in Cambodia, this will be the first time that the RGC is making long-term a decision on selling forest carbon. Though the Government Decision No. 699 is in place, there is some uncertainty as to the internal government process and signatory authority which could take some time to sort out. Private sector buyers may not be willing to wait.

# o <u>Irresolvable conflicts within some of the project sites</u>

Population continues to grow rapidly in Oddar Meanchey primarily due to migration. Military camps and mining concessions are also not well coordinated with the project. There is a risk that some of the intractable problems will not be solvable during the project timeframe.

o The project cannot be verified due to technicalities

Since this will be the first REDD+ project verified in Cambodia, there is some risk associated with the uncertainty of how the project will be judged by an external verifier.

# Contributions to National REDD+ Roadmap Outcomes

It is important that all of the lessons learned and capacities developed in Oddar Meanchey are utilised for the benefit of the national REDD+ Roadmap development process. Here are some of the ways that the project will contribute to the National REDD+ Roadmap outcomes:

- A better understanding within the government on legal issues related to REDD+ such as liability, dispute resolution, signatory authority and so on, especially related to community forests.
- An indication of the effectiveness of community forestry groups in controlling deforestation based on the first verification which will assess change in forest cover since the project start date in 2008.
- Increased capacity among government staff at the national and cantonment level with regard to REDD+ development and implementation issues.
- Documentation on community consultation experience and lessons learned to inform future implementation.
- Documentation on community MRV systems and experience to inform the national MRV systems.



#### **Monitoring and Evaluation**

Monitoring will be designed to meet the goals and objectives of the UNDP Small Grant commitments. Pact has a Monitoring Evaluation Learning and Reporting (MERL) unit which will assist in designing the project data collection and management system. Additionally, an elaborate Monitoring Framework has been designed to meet the requirements of the Voluntary Carbon Standard (VCS) and Climate Community Biodiversity Alliance (CCBA) standards using a more elaborate field monitoring system and requiring training and employment of local community monitors. Data will be translated to English and compiled in reports. The collection of regular data will allow project managers to identify problems or bottlenecks to be addressed.

Below, please find the project Timetable which includes the Outcomes, Outputs, and Means of Verification.



Outcomes	Means of Verification	J	F	Μ	Α	Μ	J	J	Α	S			
Outcome 1.0: The Project Documents for CCBA and VCS are drafted.		Completed in 2011											
Output 1.1: Complete biodiversity assessment	Biodiversity assessment report	Со	mple	ted ir	201	1							
Output 1.2: Draft project documents	Project Documents for CCBA and VCS	Со	Completed in 2011										
Outcome 2.0: OM CF REDD+ is successfully validated and FA is										Τ			
supported to negotiate offers													
Output 2.1: The Corrective Action Requests (CARs) and Clarification Requests (CRs) in the validation report are sufficiently addressed and the project is validated by both VCS and CCBA	Documentation of project validation												
Output 2.2: Cambodian legal counsel is provided to analyze constraints for REDD+ contracts under Cambodian law	Cambodian legal counsel recruited and contracted												
Outcome 3.0: <i>OM CF REDD+ activities contribute towards project verification</i>			Γ										
Output 3.1 116 additional biomass inventory plots are conducted	Biomass inventory datasheet												
Output 3.2 100 household surveys are conducted	Household survey datasheet									+			
Output 3.3 Additional remote sensing images are purchased	Satellite images									+			

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+

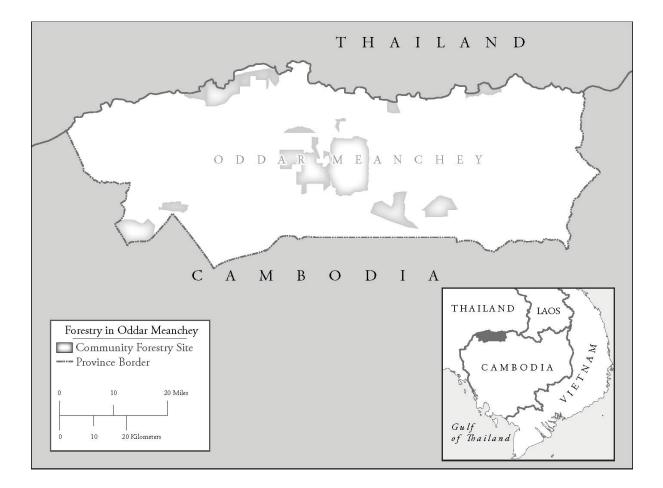
()) U N D P

and Consultation Experience						
Output 6.3 Project staff participate in national REDE	+ Final report documenting					
meetings and discussions to share experience	participation					



#### **5 EXHIBITS/ATTACHMENTS**

- a) Project Location Map
- b) Cost Proposal
- c) Latest Financial Audit Statement
- d) Curriculum Vitae of Key Management Staff (Amanda Bradley, Program Director and Yeang Donal, Senior Carbon Officer)
- e) Co-financing Documentation
- f) Pact Organizational Structure Chart



#### 4.0 PROJECT COSTS AND OTHER SOURCES OF FUNDING

			Budget Items		Amount requested	Amou	nt from nunity	Amount from other org	anization				
Outcome		Output	Output from CBA							-	Total		
			Descriptions	Costs	In Cash	In Cash	In kind	Name of Organization	In Cash	In	Kind		
1		I <b>tion of Project Documents</b> Draft the Project Documents						Pact Signature Initiative		\$	149,733	\$	149,733
	1.2	Complete the biodiversity assessment						US State Department's Regional Environmental Offices		\$	24,498	\$	24,498
2	OM CF	REDD is successfully validated and an Emissions Reduction Purc										1	
	2.1	The Corrective Action Requests (CARs) and Clarification Requests (CRs) in the validation report are sufficiently addressed and the project is validated by both VCS and CCBA	4.33 days x \$589.61 daily fee	\$ 2,555								\$	2,555
	2.2	Cambodian legal counsel is provided to analyze constraints for REDD contracts under Cambodian law	10 days x \$589.61 daily fee and \$10,000 for lawyer fees	\$ 15,896								\$	15,896
	2.3	Discussions are facilitated to review bids	5 days x \$589.61 daily fee	\$ 2,948								\$	2,948
	2.4	The FA selects a buyer of credits and signs an ERPA	5 days x \$589.61 daily fee	\$ 2,948								\$	2,948
3	<b>OM CF</b> 3.1	REDD is successfully verified 116 additional forest inventory plots are conducted	40 days x \$589.61 daily fee and \$27,516 for perdiem, accommodation, transportation, and materials	\$ 51,100								\$	51,100
	3.3	100 household surveys are conducted	35 days x \$589.61 daily fee and \$5,334 for perdiem, accommodation, transportation, and materials	\$ 25,970								\$	25,970
	3.4	Additional remote sensing images are purchased and analyzed	2 days x \$589.61 daily fee and \$1,800 for 10 mobile phones and monthly cellcard support	\$ 2,979								\$	2,979
4	Mechan	isms to resolve land disputes and internal CF management issue	es are put in place										
	4.1	The FA mobile enforcement unit is planned (membership, TOR) and agreed upon	5 days x \$589.61 daily fee and \$550 for perdiem, accommodation, transportation.	\$ 3,498								\$	3,498
	4.2	The CF Network and other stakeholders develop a land dispute resolution procedure and the CF Network receives support to facilitate internal disputes within a few CFs	2 days x \$589.61 daily fee and and \$900 for CFN transportation to attend monthly CF meeting	\$ 1,979								\$	1,979
5	A comp	prehensive REDD project monitoring system is designed and imple	emented							<u> </u>			
	5.1	The project monitoring and evaluation system is defined through development of monitoring tools and protocols and consultation	20 days x \$589.61 daily fee	\$ 11,792				Stuart William Raetz (Australian Volunteer for International Development - AVID)		\$	26,000	\$	37,792
	5.2	Training materials for community MRV systems are designed	20 days x \$589.61 daily fee	\$ 11,792				Julien Brewster (Australian Volunteer for International Development - AVID)		\$	39,006	\$	50,798
	5.3	An SMS trial for collecting patrol data is expanded to 13 CF sites	5 days x \$589.61 daily fee and \$1,450 for 10 mobile phone and monthly cellcard support to 13 CFs	\$ 4,348								\$	4,348
6	Project	field implementation informs national and international REDD pol	licy									1	
	6.1	Regular quarterly newsletters on the project are circulated	5 days x \$589.61 daily fee	\$ 2,948						<u> </u>		\$	2,948
	6.2	Three lessons learned / policy briefs are drafted: 1) Legal constraints (including CF), 2) Community based MRV systems, and 3) Community Awareness Raising and Consultation Experience	10 days x \$589.61 daily fee	\$ 5,896								\$	5,896
	6.3	Project staff participate in national REDD meetings and discussions to share experience	5 days x \$589.61 daily fee and \$401 for FA Mobile Force Meeting in OMC	\$ 3,349								\$	3,349
Grand Tot	al			\$ 150,000						\$	239,237	\$	389,237

# Pact, Inc. and Affiliate

Supplementary Financial Report September 30, 2010

# Contents

Independent Auditor's Report On The Supplementary Information	1 – 2
Balance Sheet – Pact Institute, Inc.	3
Statement Of Activities – Pact Institute, Inc.	4
Statement Of Cash Flows – Pact Institute, Inc.	5
Statement Of Functional Expenses – Pact Institute, Inc.	6
Schedule Of Program Expenditures And Cash Received – Pact Institute, Inc.	7



## Independent Auditor's Report On The Supplementary Information

To the Board of Directors Pact, Inc. Washington, D.C.

We have submitted, under separate cover, the combined financial statements of Pact, Inc. and Affiliate (Pact) for the year ended September 30, 2010, and our report thereon, dated June 16, 2011, is as follows:

We have audited the accompanying combined balance sheet of the Pact as of September 30, 2010, and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of Pact's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the combined financial statements referred to above present fairly, in all material respects, the financial position of Pact, Inc. and Affiliate as of September 30, 2010, and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with *Government Auditing Standards*, we have also issued our report, dated June 16, 2011, on our consideration of Pact's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our tests of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.

Our audit was made for the purpose of forming an opinion on the basic combined financial statements taken as a whole. The accompanying supplementary information is presented for purposes of additional analysis and is not a required part of the basic combined financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic combined financial statements and in our opinion is fairly stated, in all material respects, in relation to the basic combined financial statements taken as a whole and should be read in conjunction with those financial statements and related notes.

The supplementary information submitted herewith is as follows:

- 1. Balance Sheet Pact Institute, Inc.
- 2. Statement Of Activities Pact Institute, Inc.
- 3. Statement Of Cash Flows Pact Institute, Inc.
- 4. Statement Of Functional Expenses Pact Institute, Inc.
- 5. Schedule Of Program Expenditures And Cash Received Pact Institute, Inc.

McGladrey & Pullen, LLP

Vienna, Virginia June 16, 2011 Balance Sheet - Pact Institute, Inc.

September 30, 2010

Assets	
Cash and cash equivalents	\$ 1,089,790
Other grants receivable	729,644
Agency fund: micro-finance	
loans receivable	41,161,691
Advances and other receivables	38,694
Prepaid expenses and deposits	41,270
Due to related party	185,137
	\$ 43,246,226
Liabilities and net assets Liabilities	
Accounts payable and accrued expenses Agency fund: micro-finance	\$ 223,057
refundable advances	41,161,691
Refundable advances – other	1,290,138
	42,674,886
Net assets – unrestricted	571,340
	\$ 43,246,226

# Statement Of Activities - Pact Institute, Inc.

# Year Ended September 30, 2010

Support and revenue:	
Grants and contracts	\$ 3,300,044
Contributions	100,137
Investment income	3,306
Other revenue	(2,792)
Total support and revenue	3,400,695
Expenses:	
Program services	2,920,162
Total program services	2,920,162
Management and general	541,822
Unrestricted general expenses	3,809
Fundraising	
Total supporting services	545,631
Total expenses	3,465,793
Change in net assets	(65,098)
Net assets:	
Beginning	636,438
Ending	\$ 571,340

# Statement Of Cash Flows - Pact Institute, Inc.

# Year Ended September 30, 2010

Cash flows from operating activities	
Change in net assets	\$ (65,098)
Adjustments to reconcile change in net assets to net cash	
used in operating activities:	
Changes in assets and liabilities	
(Increase) decrease in:	
Other grants receivable	(253,700)
Micro-finance loans receivable	(17,470,769)
Advances and other receivables	(107,714)
Prepaid expenses and deposits	26,762
Increase (decrease) in:	
Accounts payable and accrued expenses	(649,730)
Micro-finance refundable advances	17,470,769
Refundable advances – other	(797,989)
Net cash used in operating activities	 (1,847,469)
Net decrease in cash and cash equivalents	(1,847,469)
Cash and cash equivalents	
Beginning	 2,937,259
Ending	\$ 1,089,790

# Statement Of Functional Expenses - Pact Institute, Inc.

# Year Ended September 30, 2010

	Program Services	Management and General	Unrestricted General Expenses		Total Expenses
Salaries and related expenses	\$ 1,009,820	\$ 52,057	\$ -	\$	1,061,877
Fringe benefits	205,867	16,542	-	T	222,409
Allowances	64,399	-	84		64,483
Consultant fees	205,381	128,126	-		333,507
Travel	316,854	13,249	134		330,237
Occupancy	113,245	-	-		113,245
Supplies and other	90,895	-	3,571		94,466
Training and conferences	122,285	-	20		122,305
Vehicle and equipment	143,862	424	-		144,286
Banking and professional fees	5,872	331,424	-		337,296
	 2,278,480	541,822	3,809		2,824,111
Subgrants and subcontracts	641,682	-	-		641,682
	 2,920,162	541,822	3,809		3,465,793
Allocation of management					
and general	 541,822	(541,822)	-		-
	\$ 3,461,984	\$-	\$ 3,809	\$	3,465,793

# Schedule of Program Expenditures and Cash Received – Pact Institute, Inc. Year Ended September 30, 2010

Funding Agency	Pact Ref.	Program or Project	Expenditures	Cash Received
Bundesministerium Zusammenarbeit (BMZ) bass-through from World Wildlife Fund WWF)	Z3051	BMZ-Salonga Lukenia Sankur	\$ 13,961	\$ 58,438
Chevron Corporation	Z4049	WORTH and Health	59,599	37,788
Danish Embassy	Z4048	Action for Child Health and Community Improvement Project (ACHCI) II	73,887	75,746
Department for International Development (DFID)	Z3057	PROMINES Artisanal and Small Scale Mining Sector Review	161,249	80,234
Embassy of Ireland, Royal Danish Embassy, Embassy of Sweden pass-through from rish Aid	Z3004	Parliamentary Reform Project, Stage II (PRP II)	1,140	-
Fondation Ensemble	Z4046	CFP Fondation Ensemble	61,341	-
nternational Tin Research Institute (ITRI)	Z3059	iTSCi Scheme	80,072	37,565
Ministry of Foreign Affairs of Denmark	Z4025	Community Action for Improved Education Outcomes	154,202	-
Nike Foundation	Z3053	Girls Empowerment Program II	220,943	399,611
Dak Foundation	Z3042	Girls Empowerment Through Sexual Exploitation Termination (GETSET)	54,437	48,090
Dak Foundation	Z3048	CPWSEE Programme	147,011	128,418
Dak Foundation	Z3050	CPWSEE Programme	82,933	128,418
Dyu Tolgoi	Z4051	Community Health, Safety & Security Program	185,103	169,979
Swedish International Development Agency SIDA)	Z4037	Reform In Cambodia	53,633	202,498
Swedish International Development Agency SIDA)	Z3026	Comprehensive Church and Community HIV/AIDS Prevention-Phase II	238,415	68,095
J.S. Agency for International Development bass-through from Open Society Foundation	Z4036	Economic Policy Reform and Competitiveness Project (EPRC)	20,953	46,816
J.S. Agency for International Development bass-through from Development Alternatives, Inc. (DAI)	Z4040	CFP Forest Management Program	56,159	18,000
Jnited Nations Children's Fund (UNICEF)	Z3041	Male Plus pmTCT Champions Project	55,803	61,744
Jnited Nations Development Programme UNDP)	Z4030	Integrated Community Development Project	27,734	-
Jnited Nations Development Programme UNDP)	Z4039	Sustainable MicroFinance to Improve the Livelihoods of the Poor	548,076	207,000
Jnited Nations Office for Project Services UNOPS)	Z4018	Sustainable MicroFinance	5,500	158,62
Inited Nations Office for Project Services UNOPS)	Z4022	Enhancing Capacity for AIDS Prevention	3,613	-
Jnited Nations Office for Project Services UNOPS)	Z4050	LIFT Project / Pyapone Cyclone Response	447,509	342,797
Jnited Nations World Food Programme	Z4028	Assisting Vulnerable Families in Myanmar	150,357	127,143
Jnocal Myanmar Offshore Co., Ltd.	Z4023	Strengthening Community Responses to Diseases (SCR)	500,917	280,000
Vorld Wildlife Fund (WWF)	Z4044	CFP Rattan Management	11,627	-
All Other Funders			45,812	-
Total program expenditure	e and ca	ab received	\$ 3,461,986	\$ 2,677,007

# AMANDA BRADLEY: REDD+ PROJECT DEVELOPMENT SPECIALIST

CITIZENSHIP:	American/Irish (dual citizenship)
Education:	M.A. International Relations, Concentrations in International Environmental Policy and Development Economics, The Fletcher School of Law and Diplomacy, Tufts University, 1994 - 1996. Awarded Barnes Prize for distinguished scholarship on Latin America.
	Certificate of Completion, Intensive program for Japanese language fluency, Yokohama, Japan, 1990 – 1991.
	B.A., French Literature, Amherst College, MA, USA, 1986 - 1990.
LANGUAGE:	English: native speaker Khmer: fluent Japanese: advanced proficiency French: advanced proficiency Spanish: proficient
Countries of Work Experience:	Japan, England, Cambodia

## **EXPERIENCE SUMMARY**

My strengths are in designing, developing, and managing community-based natural resource management (CBNRM), Reduced Emissions from Deforestation and Degradation (REDD), and environmental education projects. I am most interested in work which includes direct contact with rural communities and opportunities for creativity, teamwork, and action to effectively address environmental and livelihood challenges in a developing country context. I have 15 years of overseas work experience.

## **PROFESSIONAL EXPERIENCE**

## Pact Cambodia, Phnom Penh Cambodia

Community Forestry Partnership Program Director, Mar 2009 - Present Responsible for overall management of Cambodia's first REDD+ demonstration project (Oddar Meanchey Community Forestry REDD+ project), including coordination with government, private sector, and local NGOs, Project Document development, biomass inventory, social and biodiversity assessments and project planning. Supervise community forestry training and non-timber forest product enterprise development activities to support local communities in five provinces.

# Community Forestry International (CFI), Phnom Penh, Cambodia

Country Director, 2006 – 2009 Program Coordinator, 2004 – 2005 Served as Chief of Party for a USAID-funded program to support community-based natural resource management in collaboration with more than 16 local NGO partners and 50 community-based organizations. Designed a series of modules to support community forestry development. Facilitated the introduction and preparation phase of the Oddar Meanchey CF REDD+ project.

# Mlup Baitong (local environmental NGO), Phnom Penh, Cambodia

Technical and Management Advisor, 2002 – 2004

Coordinator, 2000 – 2002 Established the first environmental radio show in Cambodia. Managed a staff of 40 and an annual budget of approximately \$200,000. Led the localization of the project "Mlup Baitong" to become a registered local NGO.

# Global Witness, Phnom Penh, Cambodia

Project Coordinator, 1998 – 2000 Managed a project to support environmental awareness raising in Cambodia, which eventually became the local NGO Mlup Baitong (above). Supported the Global Witness campaign to prevent illegal logging.

# Parliament of Japan, Tokyo, Japan

International Affairs Aide to Upper House Member Akiko Domoto, 1996 – 1997 Prepared regular environmental policy briefing papers for Japanese politician. Served as North East Asia IUCN (World Conservation Union) coordinator responsible for regional activities and consultations between IUCN Secretariat and Japanese members. Managed communications with a range of international associates.

# National Audubon Society, New York, USA

Marketing and Licensing Administrative Assistant, 1995 – 1996 Responsible for administration related to the organization's publications.

# SANYO Electric Trading Company, Osaka, Japan

Legal Affairs Department Officer/Translator, 1992 – 1993 Provided support for the company's environment initiatives, correspondence with overseas offices, and translation of regular marketing reports.

# SELECT PUBLICATIONS

Bradley, Amanda et al. "Community-based Ecotourism in Cambodia: Networking and Developing the Concept", *The Development of Community Based Natural Resources Management in Cambodia*, Phnom Penh, Cambodia; CBNRM Learning Institute, 2005.

Bradley, Amanda, Natural Resources Networking in Cambodia: Status, Lessons Learned, and Future Possibilities, Phnom Penh, Cambodia, Community Forestry International, 2005.

Bradley, Amanda. "Communities & Carbon, Developing a Community Forestry REDD Project in Cambodia", Phnom Penh, Cambodia, Pact, 2009.

Bradley, Amanda. Review of REDD Readiness in Cambodia, Phnom Penh Cambodia, Institute of Global Environmental Strategies, 2010.

<u>Co-Author of Training Manuals</u> Environmental Education Manual for Schoolteachers in Cambodia Participatory Mapping for Community Forestry, A Training Manual

<u>Producer/Scriptwriter for Videos</u> Cambodia's Forest Stewards. Describes the struggles of two communities to protect their forest resources (26 minutes, 2007)

Buddhism, Community Forestry, and Cambodian Heritage. A story of the role of monks in community forestry (24 minutes, 2007).

Oh Kirirom. A story of Kirirom National Park (22 minutes, 2000).

*Chambok Community-based Ecotourism*. A story of the process of community-based tourism in one local community (12 minutes, 2003).

# PRESENTATIONS

"Community Perspectives on REDD" (Joint Presentation with the Venerable Bun Saluth) Second Forum for People and Forests (Bangkok, Thailand), August, 2011.

"Is Community Forestry a Suitable Platform for REDD? A Case Study from Oddar Meanchey, Cambodia." Land Tenure Center, Nelson Institute, University of Wisconsin (Madison, Wisconsin, USA), October, 2011.

"Oddar Meanchey CF REDD: Bringing Cambodia's First REDD+ Project to Market." Institute for Global Environmental Strategies (Yokohama, Japan), July 2010.

"Lessons Learned in Voluntary Carbon Offset Projects Linked to Communities" United Nations Food and Agriculture Organization (Chiang Mai, Thailand), October, 2010.

"Experience in REDD Project Development in Cambodia" Community Development REDD Workshop (Quezon City, Philippines), May, 2009.

# **PROFESSIONAL AFFILIATIONS AND ACTIVITIES**

RECOFTC Consortium Member for Manual on Free Prior Informed Consent, Bangkok, Thailand (2010 – Present)
UNDP Small Grants National Steering Committee Member, Phnom Penh, Cambodia (2006 – Present)
Cambodia Community-based Ecotourism Network (CCBEN), Phnom Penh, Cambodia, Elected and serving as Special Advisor (2003 – 2007)
Culture and Environment Preservation Association (CEPA), Phnom Penh, Cambodia, Member of Board of Directors (June 2002 – October 2005)
Save Cambodia's Wildlife (SCW), Phnom Penh, Cambodia
Member of Board of Directors (1999 – 2000)
Royal University of Phnom Penh (RUPP), Phnom Penh, Cambodia Advisor, Internship and Seminar Program for Environment Science students (Jan – Nov 2002) International Institute for Environment and Development (IIED), London, UK Participatory Learning and Action Library Assistant (Sep 1997 – Jan 1998)

# DONAL YEANG | SENIOR CARBON PROGRAM OFFICER

# CITIZENSHIP:CambodianEDUCATION:Masters of Forest and Nature Conservation Policy, Wageningen University<br/>and Research Center (Netherlands), 2010. Thesis: "Tenure Rights and<br/>Benefit Sharing Arrangements for Reducing Emission from Deforestation<br/>and Forest Degradation (REDD): A Case Study of Two REDD Pilot<br/>Projects in Cambodia"M.A., Agriculture and Forestry, University of Eastern Finland, 2010<br/>B.A., English for International Business, Royal University of Phnom Penh,<br/>2007Bachelor of Forestry Science, Royal University of Agriculture, 2006LANGUAGE:Khmer (Native Speaker), English (Fluent)

**COUNTRIES OF WORK EXPERIENCE:** Cambodia and France

# **EXPERIENCE SUMMARY:**

Mr. Donal Yeang holds two Masters Degrees in forestry and conservation, and has more than three years of field experience in forestry including research, analysis, organizing and training local communities and forestry officers on biomass inventory, REDD+ workshops related to tenure rights and benefit sharing, and social assessments. In addition, Donal conducted a study on social safeguards in the context of REDD+ implementation in Cambodia and presented the results at the 2011 Development and Research Forum (DRF): Symposium on Research and Policy Response to Cambodia's Recovery and Development in Phnom Penh, Cambodia.

# **PROFESSIONAL EXPERIENCE:**

Senior Carbon Program Officer, Pact Cambodia, Phnom Penh, Cambodia, May 2011- Present Assist Program Director in project planning and managing project implementation and capacity building of Government staff as requested. Serve as the technical focal point for coordination between Pact and the FA and as a professional representative of the Pact/Community Forestry Partnership (CFP) program at public forums, workshops and meetings with stakeholders. Responsible for building good cooperation with the Forestry Administration, Ministry of Environment Climate Change Office, and other partners; communicating and collaborating with the Forestry Administration (FA) on agreement with buyers and other parties and developing a national REDD strategy; developing lessons learned from field activities; and identifying and building linkages and/or partnerships between CFP and other community forestry stakeholders at national provincial and levels to address issues related to carbon program.

**Research Intern, Laboratory of Forest Policy,** Nancy, France, October 2008- December 2008 Conducted comparative analysis of forest policy and institutional reform in Western Balkan countries: Albania, Bosnia & Herzegovina, Croatia, Macedonia, Montenegro, and Serbia

# **Training Officer/Assistant to Operations Manager, Carbon Jungle Development,** Kamakor Village, Battambang, Cambodia, September 2007- May 2008

Prepared management plans and the plantation maps with ArcGIS. Direct report to the Operations Manager and Managing Director.

Technical Translator, German Development Service (DED), Phnom Penh, Cambodia, November 2006 - August 2007

Translated documents and datasheets from English to Khmer and collected tree 'species' photos for the "Digital Compendium of Forestry Species of Cambodia" Project

# **SELECT PUBLICATIONS:**

Donal Yeang. (2010, October). Are Carbon Credits the Next Investment Opportunity? *Khmer Property Magazine*, 32, 34-35.

Donal Yeang. (2010). Tenure Rights and Benefit Sharing Arrangements for Reducing Emission From Deforestation and Forest Degradation (REDD): A Case Study of Two REDD+ Pilot Projects in Cambodia. Forest and Nature Conservation Policy Group. GRIN Publishing. ISBN (eBook): 978-3-640-69286-6, ISBN (Book): 978-3-640-69301-6, <u>http://dx.doi.org/10.3239/9783640692866</u>.

Donal Yeang. (2009). Barriers and Opportunities in Management and Conservation of Protected Areas in Cambodia. GRIN Publishing. ISBN (eBook): 978-3-640-59549-5, ISBN (Book): 978-3-640-59583-9, Available at: <u>http://dx.doi.org/10.3239/9783640595495</u>

# PAPERS PRESENTED

Donal Yeang and Ingrid J.Visseren-Hamakers. (2010). Tenure Rights and Benefit Sharing Arrangements for REDD: A Case Study of a REDD+ Pilot Project in Cambodia. Paper Presented at the 2010 Development and Research Forum (DRF): Symposium on Research and Policy Response to Cambodia's Recovery and Development, 09-10 September 2010, Phnom Penh, Cambodia.

## **PROFESSIONAL AFFILIATIONS AND ACTIVITIES**

Digital Species Production Team - member since 2006.

Erasmus Mundus Students and Alumni Association Cambodia (EMA-Cambodia) - member since 2008.

The Development and Research Forum (DRF): Symposium on Research and Policy Response to Cambodia's Recovery and Development, 09-10 September 2010, Phnom Penh, Cambodia. Guest Speaker.

## SPECIAL TRAININGS AND SEMINARS ATTENDED

Third Country Training Programme on Energy Conservation to Reduce Global Warming for Association of Southeast Asian Nations (ASEAN) Countries (Bangkok, Thailand) Conducted by Japanese International Cooperation Agency (JICA), Department of Alternative Energy Development and Efficiency (DEDE), Ministry of Energy and Thailand International Development Cooperation Agency (TICA); 14-25 February 2011

2011 Asia-Pacific Leadership Program on Environment for Sustainable Development: Low-carbon Development and Urban Sustainable Development (Shanghai, China) Conducted by United Nation Environment Programme (UNEP), Institute of Environment for Sustainable Development (IESD), and Tongji University; 05-10 June 2011

Regional Workshop 2: Model Forest Development Learning Tour- Linking Communities in Southeast Asia to Forestry Related Voluntary Carbon Markets (Banda Aceh, Indonesia) Conducted by Food and Agriculture Organization of the United Nations (FAO), LATIN; 17-20 October 2011



Australian Volunteers

18 October 2011

Ms. Amanda Bradley Pact Cambodia Building A, 3rd Floor, Phnom Penh Center Corner of Sihanouk and Sothearos Boulevards Phnom Penh, Cambodia

V11COEN32

Dear Ms. Amanda Bradley,

Thank you for your application to host an Australian Volunteers for International Development (Australian Volunteers) volunteer. We are pleased to advise you that the Selection Team has recommended Julien Brewster for the position of REDD Project Support Officer.

Please find included:

- Candidate Application and CV
- Host Organisation Assignment Agreement and Managing Contractor Assignment Statement
- Assignment Proposal Form

Please complete the following steps to progress to the next stage of the process:

- Review the application to ensure that the recommended candidate meets with your requirements.
- Please note that the recommended candidate will be advised of their successful application shortly. We will advise Julien to contact both you and our In-Country Manager (ICM) to discuss the proposed assignment. They may contact you by telephone or email. The Host Organisation and the recommended candidate need to agree to the Host Organisation Assignment Agreement and Australian Volunteers Agreement.
- 3. Australian Volunteers encourages you to interview the recommended candidate/s. Your ICM will help you to organise an interview and you can use the attached Interview Guidelines to assist you in this process.
- 4. To accept the recommended candidate, please read the Host Organisation Assignment Agreement, and if you agree to the terms and conditions, sign the Agreement and return it to the ICM. Please keep a copy of the Agreement for your records. The Australian Volunteers program and the Volunteer will not enter into any other agreement regarding this assignment.

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5. If you do not wish to accept Julien Brewster as the recommended candidate please notify the ICM as soon as possible.

Australian Volunteers reserves the right to change or withdraw assignments at any time and for any reason. Australian Volunteers will not be held responsible for any inconvenience caused if an assignment does not proceed.

Yours sincerely

Helperto

Sally Brokensha Program Manager - Australian Volunteers Austraining International



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# V11 Mobilisation

#### Assignment Code - V11COEN32

- Details of the in-country organisation agreeing to host this assignment: Name of Host Organisation: Pact Cambodia Address: Building A, 3rd Floor, Phnom Penh Center, Corner of Sihanouk and Sothearos Boulevards, Phnom Penh Country: Cambodia Contact Person: Program Director, Ms. Amanda Bradley Phone: 855 23 214 409 Email: abradley@pactworld.org
- Details of the Australian volunteer recommended for this assignment : Title: Mr
   First Name: Julien
   Family Name: Brewster

   Address: 28/ 63 Vale Street, QLD 4059, Australia

E-mail: julien.brewster@uqconnect.edu.au



# Australian Volunteers Host Organisation Assignment Agreement

Name of Australian Volunteer:	Julien Brewster
Assignment Code:	V11COEN32
Assignment Duration:	18 months
Country:	Phnom Penh
Host Organisation:	Pact Cambodia
Host Organisation Contact:	Ms Amanda Bradley
Host Organisation Contribution:	N/A

Declaration

We acknowledge, understand and accept the statements outlined in the Assignment Agreement regarding our roles and responsibilities associated with the hosting of an Australian Volunteer through Austraining International.

Signed on behalf of the Host Organisation by:

Name:	Ern Berg	the W			
Signature:	Fri Kngt	hill	Date: _	25-10.	-1/
Position in th	e Host Organisation:	Country	Di	rector	



Australian Volunteers

# Host Organisation Assignment Agreement

We, Pact Cambodia agree to the roles and responsibilities as detailed below when hosting an Australian Volunteer through the Australian Volunteers for International Development program.

#### 1. Pre-departure preparations

1.1 Where appropriate, we will support the Australian Volunteer to obtain a visa to travel to the host country.

#### 2. In-country orientation

- 2.1 We will provide assistance to the In-Country Manager (ICM) in finding suitable and affordable accommodation for the Australian Volunteer.
- 2.2 We will provide a local area orientation for the Australian Volunteer.

#### 3. Assignment support

- 3.1 We will provide an appropriate workplace induction, which will include the provision of a safety and evacuation plan.
- 3.2 We will ensure that the tasks set for the Australian Volunteer are appropriate to the assignment and the Volunteer.
- 3.3 We will nominate a supervisor for the Australian Volunteer who will be available to assist them on a daily basis. The nominated supervisor shall also be available to discuss the Volunteer's progress with the In-Country Manager throughout the duration of the Assignment.
- 3.4 We will ensure that counterpart staff or other support mechanisms, as outlined in the assignment proposal form, are available to the Australian Volunteer on a daily basis.
- 3.5 Where any conflict arises between us and the Australian Volunteer, we will undertake to try to resolve the matter with the Volunteer quickly and in good faith, in an open and honest manner. We will inform the In-Country Manager about any problems as soon as possible after they arise.

#### 4. Holiday and sick leave

- 4.1 We will provide sick leave to the Australian Volunteer in accordance with the Australian standards. Australian Volunteers are allowed up to ten days sick leave in a 12 month period which will accumulate over the period of the assignment if untaken. Leave taken on two or more consecutive days will require a medical certificate (or equivalent) confirming illness.
- 4.2 We acknowledge and that the Australian Volunteer is entitled to one week of recreation leave for every three months of service at our organisation and that we may choose to offer the Volunteer additional leave where it is consistent with our organisation's leave policy. We shall negotiate a mutually agreeable time for the Volunteer to take any such leave entitlement. We acknowledge that the Volunteer is entitled to local public holidays and major international holidays.



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#### 5. Resources

- 5.1 We will provide a suitable working environment for the Australian Volunteer to carry out the tasks given to them during the assignment. This shall include a workstation and access to a computer.
- 5.2 We will ensure that the Australian Volunteer is provided with the resources as agreed in the Assignment Proposal Form.

#### 6. Reporting and monitoring

- 6.1 Where we become aware of any problems regarding the Australian Volunteer, the terms of the assignment, or other factors likely to affect the outcome of the assignment, we will inform the Volunteer and the In-Country Manager as soon as possible.
- 6.2 We agree to provide an 'End of Assignment Report' using the proforma provided and any other reports as requested by the ICM.

#### 7. Security and Emergencies

- 7.1 We will ensure that the environment in which the Australian Volunteer works is made as safe as possible.
- 7.2 In the event of an emergency, we will take all steps to ensure the ICM is kept informed of the situation and respond to any directions given to us by the ICM in relation to the Australian Volunteer.
- 7.3 If applicable, we will issue all United Nations (UN) Volunteers with a Radio and coordinate participation in regular Radio Drills (applicable to UN agencies only).
- 7.4 If applicable, we will ensure that all United Nations (UN) Volunteers comply with the five UN security phases (applicable to UN agencies only).

#### 8 Travel

8.1 We shall ensure all work related costs are not borne by the Australian Volunteer. This includes travel, transport and accommodation as well as business visas and/or issuing of UN Passports expenses (passport expenses applicable to UN agencies only).

#### 9. Contributions

- 9.1 As negotiated with Austraining, the HO agrees to provide the contributions as listed in the Declaration.
- 9.2 The contribution reflects the contribution that was offered in the Assignment Proposal Form. Any variation to this must be negotiated with Austraining.
- 9.3 The HO will be invoiced for the value of the agreed contribution. One-off contributions will be invoiced in a lump sum, shortly after volunteer mobilisation. Ongoing contributions will be invoiced on a quarterly basis.
- 9.4 If the contribution is Gift In Kind (GIK), please state this clearly. GIK contributions will not be invoiced.

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9.5 Ongoing contributions will be invoiced for the agreed amount on a quarterly basis. One-off contributions will be invoiced in a lump sum shortly after volunteer mobilisation.

#### 10. Child Protection

10.1 We will ensure we comply with the United Nations Convention on the Rights of the Child and take actions as appropriate.

#### 11. Acknowledgements

- 11.1 The Assignment Proposal Form shall set out the terms of the Assignment as agreed between Austraining, the Australian Volunteer and we the Host Organisation. We understand that any amendments to the assignment must be negotiated with the Volunteer and the ICM.
- 11.2 Austraining has the right to cancel the assignment for any reason whatsoever at any time during either the selection process or the term of the assignment. If the assignment is cancelled, we shall have no claim against the Managing Contractor (Austraining International) or the Commonwealth of Australia in respect of any losses or costs the Host Organisation may incur as a result of the cancellation.
- 11.3 We acknowledge that at any time prior to or during an assignment, circumstances may arise in the host country, which may impact on the assignment including but not limited to:
  - (i) The personal safety of the Australian Volunteer
  - (ii) The viability of an assignment
  - (iii) The national interests of the Australian Government



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# Managing Contractor Assignment Statement

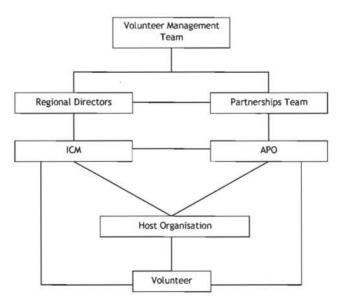
Austraining International Pty Ltd (ABN 83 054 575 567) is the Managing Contractor for the Australian Volunteers for International Development program under contract to Australian Agency for International Development (AusAID).

In its role as the Managing Contractor, Austraining International is responsible for the sourcing and management of all assignments and for the welfare and safety of the Australian Volunteers while on assignment overseas. It is also responsible for all payments, contractors, service providers and managerial processes that in combination comprise the Australian Volunteer program.

This Statement sets out the roles and responsibilities of Austraining International in relation to the Australian Volunteers program.

#### 1. Organisation of Austraining International

1.1 Austraining International has the following organisational and communication/reporting structure to effectively manage the Program:





Australian Volunteers

#### 2. General responsibilities of Austraining International

- 2.1 Engaging and managing all contractors and service providers.
- 2.2 Monitoring, evaluating and reporting on Program performance and the effectiveness of assignments in meeting Program objectives.
- 2.3 Ensuring progress of each Australian Volunteer and their compliance with the Code of Conduct.
- 2.4 Providing managerial and procedural support for Australian Partner Organisations (APOs), Host Organisations (HOs) and Volunteers involved with the Volunteer Programs.
- 2.5 Assisting and monitoring the participation of HOs, APOs, and monitoring the performance of contractors and service providers.

#### 3. Pre-Departure Briefing (PDB) and preparation

- 3.1 The Program will assist Australian Volunteers to obtain a visa or work permit, where required, for their host country destination.
- 3.2 The Program will arrange for the provision of Pre-Departure Training (PDB), covering the objectives of the Volunteer Program, AusAID briefing, medical (including mental health), insurance, travel, cross-cultural training, security and procedural issues. The Program will organise and pay for all domestic travel (including flights where necessary) accommodation and meals necessary to attend Pre-Departure Briefing.
- 3.3 Austraining will provide all Volunteers with information to prepare them for mobilisation including country specific information and Program information, procedures and relevant forms.

#### 4. In-Country Orientation and arrival

- 4.1 Austraining will organise and pay for one return trip from Australia to and from the place of the volunteer assignment.
- 4.2 Austraining will organise temporary accommodation for the Volunteer upon arrival in their host country.
- 4.3 Austraining will provide an in-country orientation program on arrival in the host country, covering personal risk and security information, in-country communications and support mechanisms, basic language training, accommodation, workplace introduction, local customs and services and introductions to AusAID post in-country.

#### 5. Post-assignment debriefing

5.1 Austraining will arrange a post-assignment debriefing for the Australian Volunteer following their return to Australia. Austraining will organise and pay for all domestic travel (including flights where necessary) accommodation and meals necessary to attend the post-assignment debriefing.



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#### 6. Medical and Travel Insurance and Security

- 6.1 Prior to departure, Austraining will pay for and provide vaccinations and authorise medical clearance for each Australian Volunteer.
- 6.2 Austraining will provide medical and travel insurance and services for the Volunteer during PDB and the assignment. This will cover general and emergency medical situations, political and natural disaster evacuation services for the Volunteer, and a 24 hour emergency contact.
- 6.3 Upon the Volunteer's return to Australia, Australing will provide a post-placement health assessment. The cost of the health assessment including referrals for pathology testing will be covered by Australing.
- 6.4 Decisions regarding evacuation will be made by Austraining on the advice of the Department of Foreign Affairs and Trade; the Australian Diplomatic Mission within the particular country; and the AusAID post in-country. In the event an evacuation is deemed necessary, Austraining is responsible for the management of the evacuation.

#### 7. Payments

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- 7.1 Austraining will pay the following regular allowances to the Volunteer while in-country:
  - (i) Living Allowance
  - (ii) Accommodation Allowance
- 7.2 Austraining will arrange for the following payments to be made to the Volunteer (where eligible):
  - (i) Settlement Allowance
  - (ii) Assignment Support Allowance, on application and subsequent approval
  - (iii) Dependant Support Allowance for volunteers on long-term assignments on application and subsequent approval
  - (iii) Resettlement Allowance, on completion of End of Assignment Report and Post-Placement Medical Assessment



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#### FIRST AMENDMENT TO AGREEMENT

#### between Pact Institute and William J. Clinton Foundation, Clinton Climate Initiative regarding

#### Siem Reap REDD Demonstration project: Phase I of Project Design Document (PDD) preparation for VCS and CCBA validation, Cambodia

The Clinton Climate Initiative (hereinafter "CCI"), a program of the William J. Clinton Foundation (hereinafter "Foundation), and PACT Institute (hereinafter "PACT") enter into this First Amendment to an MOA between PACT and CCI dated 4 May 2011 (hereinafter the "Agreement.")

WHEREAS, the parties desire to amend the Agreement as set forth below.

NOW, THEREFORE, in consideration of the mutual promises of Foundation and PACT, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by both, the parties, intending to be legally bound hereby, agree as follows:

The Agreement is hereby amended as follows:

1. Amend the timeline and budget as indicated in the attached letter from PACT to CCI dated August 31, 2011.

2. Extend term of contract from 15 November to 31 December 2011.

3. Increase the final payment by \$9,885 in accordance with attached budget.

Except as specifically amended or supplemented by this First Amendment, all terms and conditions of the Agreement shall remain in full force and effect.

**Pact Institute** Bv:

Chito Padilla Director, Grants and Contracts Date: September 14, 2011

WILLIAM J. CLINTON FOUNDATION Bv:

Chris Johnson Finance Director, Clinton Climate Initiative Date: October 3, 2011

#### Annex A

From:	Eric Bergthold [ebergthold@pactworld.org]
Sent:	Wednesday, August 31, 2011 6:24 AM
То:	Stephen Devenish
Cc:	Amanda Bradley
Subject:	request regarding CCI funded Siem Reap REDD project
Attachments:	Pact-CCI Budget FY12 (1.5 months).pdf

#### Dear Stephen,

We are happy to report that the field work (biomass inventory) in Siem Reap has finally started and is in full swing. This follows a rather arduous process of review on the workplan, which was finally approved on 11 August. I think it was not for lack of effort on all of our parts that this process took so long, but it is simply the nature of working with government here. Due to the late start in the activities, I would like to ask you about the possibility of extending and supplementing the funding support for the Siem Reap project until the end of December. This would give us plenty of time to complete the household survey, PRA, and data entry. I'm afraid that without this extension we would not be able to complete all of the activities due to the delay of more than 3 months (Our MOA was signed 4 May). We can push the team to finish the HH survey and PRA, but we won't have time for data entry, analysis, and PD development.

In order to extend from 15 November to 31 December, we've calculated that we would need a supplement of \$9885 to keep us working. We would still ensure that you receive the final report by 31<sup>st</sup> December in order to meet your obligation to the Rockefeller Foundation. Note that although our start-up was late, we did do a lot of preparation and start-up (staff time) and that's why we need additional funding to complete all the activities.

The delay did have one silver-lining. Our team went through the validation process on OM REDD (with Tuv Suud and TGC) and we learned a lot, improved our technique and we have incorporated the lessons learned in to our SOPs for Siem Reap (we are now on version 6 of the SOPs for SR and on OM REDD, version 11). I understand the forest in SR, while smaller, is denser and so we want to take extra time to make sure we do this right. We've got a great team and they're fresh from re-measuring 13 plots in OM for the validation.

Please let me know if you can do this or want to discuss it further or if you need us to provide the information in a different format. As you can see from the summary, the additional cost will be for staff time, office costs and overhead needed to complete these activities.

Thank you,

Eric

Eric Bergthold Pact/Cambodia Country Director

ebergthold@pactworld.org 855 23 217 855 (office) 855 12 406 842 (cell)

#### Annex B

#### Summary Budget: Pact Institute

#### Proposal to: The Clinton Foundation Project Name: Siem Reap REDD Demonstration Project

\$9,885

\$9,885

P	roject	
Anticipated Period of Performance:	16-Nov-11 -	31-Dec-11
from through Cost Category	<u>Year 1</u> Nov '11 Dec '11	TOTAL
Salaries	\$4,533	\$4,533
Fringe Benefits	\$1,278	\$1,278
Overseas Allowances	\$438	\$436
Travel / Meetings / Workshops	\$0	\$1
Equipments	\$0	\$4
Consultants	\$0	\$1
Other Direct Costs	\$2,104	\$2,10
Subtotal Direct Costs	\$8,351	\$8,35
Subcontracts & Subgrants	\$0	\$1
Overhead on Subawards	\$0	\$4
Direct Overhead	\$0	\$4
Pact Institute Overhead	\$1,534	\$1,53
Subtotal Indirect Costs	\$1,534	\$1,53

**Total Request from The Clinton Foundation** 

# Memorandum of Agreement

between

#### Pact Institute (Pact) and the

#### William J. Clinton Foundation, Clinton Climate Initiative-Forestry regarding

## Siem Reap REDD Demonstration Project: Phase I of Project Design Document (PDD) preparation for VCS and CCBA validation, Cambodia

THIS MEMORANDUM OF AGREEMENT (this "Agreement") is made by and between the Clinton Climate Initiative ("CCI"), a program of the William J. Clinton Foundation (the "Foundation"), with a business address at 383 Dorchester Avenue, Suite 400, Boston, MA 02127 USA and Pact Institute (Pact) whose headquarters are located at 1828 L St. NW, Suite 300, Washington DC 20024 (the "Parties").

#### **Background:**

The Royal Government of Cambodia has targeted one of the world"s first avoided deforestation projects in Siem Reap Province, Cambodia with the goal of generating, registering and selling carbon credits. The project involves around 35 community forestry groups and will protect and manage approximately 17,523 hectares of forest land. This is based on the development of a new REDD methodology and "bundled" community forestry project already validated using both the Voluntary Carbon Standard (VCS), and the Climate, Community Biodiversity Alliance (CCB) Standards. The project is expected to sequester several million metric tons of CO2 over 30 years. The initiative aims to provide long-term sustainable financing through the voluntary carbon market to prevent deforestation and forest degradation with maximum benefits flowing to local communities in order to improve livelihoods and ensure the sustainable management of Cambodia"s forests.

This Agreement is established within the collaborative framework established through a Memorandum of Understanding between CCI and the Forestry Administration (FA) of the Royal Government of Cambodia signed on February 5th 2010. This Agreement also complements the MOA signed on January 16, 2009 between the Technical Working Group on Forestry & Environment and CCI to support the VCS and CCBA validation of the Oddar Meanchey REDD demonstration project.

Whereas, the William J. Clinton Foundation has organized CCI to address issues of global warming and climate change, and CCI-Forestry is dedicated to making a difference in the fight against climate change in practical, measurable, and significant ways in Cambodia;

Whereas, Pact is a US based international NGO who has been active in community and rural development in Cambodia for 20 years. Pact was an important partner to CCI in the development of the Oddar Meanchey community based REDD project in Cambodia from 2008 to 2011. CCI now wishes to partner again with Pact and engage their services to replicate the Oddar Meanchey project in Siem Reap province.

Whereas, CCI, by partnering with Pact, is committed to complete the validation and sale of forest carbon credits for the Siem Reap REDD demonstration project with the full and Free Prior Informed Consent of local forest-dependent communities in Siem Reap Province, Cambodia;

Whereas, Pact acknowledges the importance of addressing climate change issues through partnership with FA, CCI, Terra Global Capital (TGC), and other local organizations will work towards building capacity within Cambodia to deal with this complex issue.

Now, therefore, The Parties agree to make use of their respective resources to achieve the following:

- 1. <u>Goal commitments</u>: The Scope of the Project, specifying the key activities and outputs is presented in Pact's proposal (pages 4 and 5) submitted here as Annex A:
  - 1.1 To address urgent issues affecting the integrity of the Community Forestry (CF) sites CF site integrity, including the drivers of deforestation, notably, encroachment, illegal logging and fire.
  - 1.2 To maintain local protection efforts of carbon stocks to ensure that sufficient carbon stocks will be available to sell on the voluntary market at project completion.
  - 1.3 To lay the foundation for monitoring the social and technical aspects of the project.
  - 1.4 To continue to facilitate relations between FA, TGC and CCI in bringing the project to carbon sale on the voluntary market.
  - 1.5 To maintain good relations and communications with all stakeholders.

#### 2. In support of the commitments outlined above, CCI-Forestry shall:

- 2.1 Provide financial support to Pact totaling \$ 66,491 in accordance with the Budget (Annex B) to enable them to work in cooperation with the FA at Cantonment, Division and Triage levels for a number of specific tasks specified in the Scope of Work and (second), continue to provide technical assistance to FA at both national and sub-national levels to ensure the integrity of all community forestry sites included in the Siem Reap REDD demonstration project.
- 2.2The manager of the funds will be Pact.
- 2.3 Provide technical advice to facilitate the future success in marketing and implementing the project with FA, TGC, local government and forest-dependent communities;

2.4Assist FA and local government officials to share and disseminate lessons learned.

2.5Conduct field monitoring by CCI National Coordinator and an officer from Pact. whenever necessary, to ensure the effectiveness and efficiency of the project.

#### 3. In support of the commitments outlined above, Pact shall:

- 3.1 Provide CCI-Forestry with a mid-term progress report by August 30, 2011.
- 3.2 Provide a final report to CCI by November 30, 2011.
- 3.3 Provide a final financial reconciliation report to CCI by November 30, 2011.
- 3.4 Upon request, Pact/CFP will allow CCI-Forestry to audit and inspect (at CCI expense) all financial records including accounting records, bank statements, and expense receipts, etc. which support the use of CCI-Forestry funds.
- 3.5 Provide the following deliverables (in accordance with Annex A):

3.5.1 Survey 50 biomass inventory plots with map references.



- 3.5.2 Train 10 FA staff and selected Community Forest Management Committee (CFMC) members in biomass inventory procedures
- 3.5.3 Review forest inventory standard operating procedures (SOP)
- 3.5.4 Conduct social assessments in13 sites using participatory rural appraisal (PRA) techniques
- 3.5.5 Train 175 CFMC members in social assessments
- 3.5.6 Draft preliminary VCS and CCBA project documents, in collaboration with Terra Global Capital (TGC)

#### 4. Budget:

- 4.1 The program will be implemented according to the Scope of Project (Annex A).
- 4.2 Upon receipt of invoice and approval by CCI project manager, disbursement of 60% (\$39,895 of total funds by the Foundation will be initiated following execution of this MOA.
- 4.3 20% (13,298) will be paid upon invoice, approval by CCI project manager and submission of a satisfactory mid-term progress report by August 30, 2011.
- 4.4 The balance of 20% (\$13,298) shall be paid upon receipt of invoice, approval by CCI project manager, and submission of a satisfactory final report by November 30, 2011, as specified in the Budget (Annex B), and the disbursement schedule, Annex C;
- 4.5 Any significant changes to the budget due to an increase/decrease in costs will be discussed by both parties, confirmed in writing, and attached as an amendment to this Agreement.

#### 5. Allocation of funds:

- 5.1 The allocation of program funds will closely correspond to the monetary figures presented in the Budget (Annex B).
- 6. <u>Term/Termination</u>. The term of this Agreement shall commence on 15 May 2011 and shall continue until 15 November, 2011, provided, however, that: (i) termination shall not discharge any obligations of either party under Sections 10-12 of this Agreement; (ii) either party may terminate this Agreement if the other party fails to perform its obligations hereunder and such failure to perform is not cured within thirty (30) days following written notice from the complaining party of such failure to perform; and (iii) the Foundation may terminate this Agreement upon not less than sixty (60) calendar days prior written notice to Pact Inc. should the Foundation discontinue its work or make other significant programming changes requiring the termination of this Agreement.
- 7. <u>Press/Marketing</u>. Any public announcements through press releases, media advisories or other similar means regarding this Agreement or the work of the Parties shall require the prior written approval of the Parties prior to such announcements.
- 8. <u>Other Joint Efforts</u>. The Parties may from time to time choose to engage in additional efforts to enhance or support the work contemplated by this Agreement. Such additional efforts shall be separately agreed upon, in writing, by the parties and will be made a part of this Agreement by being attached as an addendum and/or amendment to this Agreement.
- Publicity and Publications. All publications referencing this agreement and/or the deployment of professionals to this program in Country will provide proper and equal credit to both parties, CCI and Pact, including but not limited to newspaper articles, press releases,



Page 3

abstracts, posters, interviews. Any material produced under this Agreement that is further developed for publication shall be submitted to CCI and Pact prior to its submission to a publisher. Both parties shall provide its review within a reasonable period.

10. <u>Intellectual Property</u>. Pact acknowledges the William J. Clinton Foundation's ownership of its name and logos, including images of President Clinton, in any format (the "Foundation Marks"). This Agreement shall not be construed to grant Pact any license to use the Foundation Marks except in reference to the parties" work as set forth in this Agreement, and in such form and manner as may be approved with the prior written consent of the Foundation. Any and all requests for use of the Foundation Marks, expressly including images or quotes of President Clinton, shall be submitted to the Foundation and will require the written approval prior to any such use.

#### 11. Confidentiality.

- 11.1 During the course of this Agreement, the Parties may make available to each other certain Confidential Information (as hereinafter defined) or one party may otherwise learn of Confidential Information belonging to the other party. For purposes of this Section, "Confidential Information" means any and all confidential or proprietary information regarding a party or its business, including, without limitation, all products, patents, trademarks, copyrights, trade secrets, processes, techniques, scientific information, computer programs, databases, software, services, research, development, inventions, financial, purchasing, accounting, marketing, fundraising and other information, whenever conceived, originated, discovered or developed, concerning any aspect of its business, whether or not in written or tangible form; provided, however, that the term "Confidential Information" shall not include information (i) which is or becomes generally available to the public on a non-confidential basis, including from a third party provided that such third party is not in breach of an obligation of confidentiality with respect to such information, (ii) which was independently developed by a party not otherwise in violation or breach of this Agreement or any other obligation of one party to the other, or (iii) which was rightfully known to a party prior to entering into this Agreement.
- 11.2 Except as otherwise provided herein: (i) the Parties shall hold in strictest confidence any of the other party"s Confidential Information; (ii) the Parties shall restrict access to the Confidential Information to those of their personnel with a need to know and engaged in a permitted use of the Confidential Information; (iii) the Parties shall not distribute, disclose or convey Confidential Information to any third party; (iv) the Parties shall not copy or reproduce any Confidential Information except as reasonably necessary to perform any obligations hereunder; and (v) the Parties shall not make use of any Confidential Information for its own benefit or for the benefit of any third party. The foregoing to the contrary notwithstanding, the Parties shall not be in violation of this subsection in the event that a party is legally compelled to disclose any of the Confidential Information, provided that in any such event the disclosing party will provide the other party with reasonably prompt written notice prior to any such disclosure so that the non-disclosing party may obtain a protective order or other confidential treatment for the Confidential Information, and in the event that a protective order or other remedy is not obtained by the nondisclosing party, the disclosing party will furnish only that portion of the Confidential Information which is legally required to be furnished.
- 12. Indemnification. Each party agrees to defend, indemnify and hold harmless the other from and against and to reimburse it for any and all claims, obligations and damages, any and all taxes and any and all liabilities directly or indirectly arising out of or in connection with any

breach of this Agreement or resulting or arising out of the activities of a party in connection with the work undertaken by it hereunder. For purposes of this indemnification, "claims" shall include all obligations, actual damages and costs reasonably incurred in defending any claim against the other party, including, without limitation, attorneys' and expert witness fees, court costs, other litigation expenses and travel expenses. This indemnity shall continue in full force and effect subsequent to and notwithstanding the expiration or termination of this Agreement.

- 13. <u>Severability</u>. If, for any reason, any part of this Agreement is held to be invalid, that ruling shall not impair the operation of such other parts of this Agreement as may remain otherwise intelligible.
- 14. <u>Waiver</u>. Any waiver granted by a party hereto shall be without prejudice to any other rights such party may have, will be subject to such party"s continuing review and may be revoked, in such party"s sole discretion, at any time and for any reason. No party shall be deemed to have waived any right, power or option reserved by this Agreement by virtue of any custom or practice of the parties at variance with the terms hereof; any failure, refusal or neglect of the parties to exercise any right under this Agreement or to insist upon exact compliance by the other with its obligations hereunder.
- 15. <u>Choice of Law and Venue</u>. This Agreement shall be governed by the substantive laws of the State of New York, which shall prevail in the event of any conflict of law. The parties agree that either may institute any action against the other in any state or federal court of competent subject-matter jurisdiction located in New York, New York, and the parties hereby irrevocably submit to the jurisdiction of such court and waive any objection that it may have to either the jurisdiction of or venue in such court.
- 16. <u>Binding Effect</u>. This Agreement is binding upon the Parties hereto and their respective executors, administrators, assigns and successors in interest and shall not be modified except by written agreement signed by the parties.
- 17. <u>No Assignment</u>. This Agreement may not be transferred or assigned to any other party without the express written permission of the other parties hereto.
- 18. <u>Counterparts</u>. This Agreement may be executed in counterparts, each of which shall be deemed an original but which together shall constitute one and the same instrument.

#### [Signatures appear below]

Acknowledged and agreed to this  $4^{th}$  day of May, 2011.

Pact Institute (Pact)

By:

Name: John Whalen Tifle: President, Pact Institute William J. Clinton Foundation

By:

Name: Chris Johnson Title: Finance Director Clinton Climate Initiative

# Annex A - Pact Proposal and Description of Services

#### **Overview on Proposed Activities**

Pact Institute intends to partner with the Clinton Climate Initiative to develop a new Community Forestry REDD+ project in Siem Reap province. The Pact team will bring three years of experience gained in Oddar Meanchey CF REDD+ project to support the efficient project preparation phase in Siem Reap.

Due to limited resources, Pact will focus on two primary requirements in this phase, namely 1) biomass inventories and 2) social assessments. Both of these activities are prerequisites for the Project Document.

The biomass inventories and social assessments will be completed within six months from April to September, 2011.

#### **Biomass Inventories**

Biomass inventory plots are essential for measuring the project's carbon. Drawing on an existing Standard Operation Procedures used in Oddar Meanchey, Pact will build local capacity to establish permanent plots and collect biomass inventory data with accuracy. Pact staff will lead four teams of six individuals to establish 50 plots<sup>1</sup> throughout the 35 CF areas. The teams will include Community Forestry Management Committee (CFMC) members and local FA (5 + 1). Prior to conducting the plot assessments, Pact will train 10 local FA staff so that they can play a partnership role in implementation in the field. Field training will be provided for all members of the team, including CFMC members. Pact staff will always be a part of these teams in order to guarantee data quality and usability. All plots will be clearly marked with tree tags, rebar (at the corners), and paint. All data sheets will be neatly stored and the information contained within will be compiled and entered into Excel. Pact will conduct the first round of Quality Assessment/Quality Control (QA/QC) on the data and then pass it on to Terra Global Capital for the next round of QA/QC.

The specific location of the plots will be guided by Terra Global Capital. Pact will also work together with CCI to identify and avoid any landmine risk areas, using GIS data provided by CMAA.

#### **Outputs:**

- 10 Local Forestry Administration officers and 175 (5X35) selected CFMC members will gain capacity through training and coaching on biomass inventory and will be able to join Pact staff to set up forest inventory plots.
- 50 original datasheets containing biomass inventory data
- Maps showing location of all plots overlaid with landmine data
- Compilation of all data in Excel
- Field Report on experience, including identification of possible future team leaders
- A reviewed SOP for Forest Inventory adapted to forest conditions in Siem Reap province '

#### Social Assessments

Social assessments are necessary in order to understand the local socio-economic situation in each CF area, set baselines, and gather essential information for the Project Document. Pact will draw on

Page 7

<sup>&</sup>lt;sup>1</sup> The number of plots (and budget) may need to be adjusted based on technical advice from TGC,

experience in Oddar Meanchey to conduct household surveys and PRA exercises in all 35 CF sites. In this case, Pact will rely on CFMC members to assist in conducting household surveys. Selected CFMC members with sufficient capacity will be coached to complete approximately 25 surveys in their community. A total of 875<sup>2</sup> (35 x 25) surveys will be completed. The PRA exercises will be conducted by Pact staff with assistance from local FA.

Pact will be responsible to compile the data from the surveys in a Microsoft Access database.

#### **Outputs:**

 At least 175 (5 x 35) CFMC members will be trained and coached on conducting social assessments and PRA exercises 2875 (25 x 35) original survey sheets 2 Microsoft Access database containing assessment results 2 PRA report on 13 sites

#### Pact's Team Members

- <u>Amanda Bradley</u> Amanda has 3 years experience in managing the project preparation phase of the Oddar Meanchey CF REDD+ project. She has 13 years working experience in the environment sector in Cambodia and a Masters degree in Environmental Policy from The Fletcher School in the United States.
- <u>Chhun Delux</u> Delux has more than one year of experience working on the preparation phase of the Oddar Meanchey CF REDD+ project and has managed field implementation for both the biomass inventory work and social assessment work. He has a Bachelors degree in Forestry Science from the Royal University of Agriculture and is currently pursuing a Masters.
- <u>Khieu Samnang</u> Samnang has been working on the Oddar Meanchey CF REDD+ project for 3 years as a Project Assistant. He has strong experience in biomass inventory work and community facilitation. He has a degree in Forestry Science from Prek Leap Agriculture University.
- <u>Net Channa</u> Channa is a GIS specialist. She also has experience in implementing biomass inventories in Oddar Meanchey. Channa has a Bachelors degree in Land Management and Land Administration from Royal University of Agriculture.
- Sao Moeun Moeun has been working as a community facilitator. He has strong communication skills. He has also been involved in conducting biomass inventories and social assessments. Moeun has a Bachelors degree in Management from the University of Management and Economics.

#### Other

In addition to the above activities, the Team Leader, Amanda Bradley will be actively engaged to support the fundraising needs of the project. The Opportunities Development section of Pact will also be available for backstopping support.

Amanda and Delux will also be available to coach the FA on PD development and editing along with TGC.

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<sup>&</sup>lt;sup>2</sup> The number of household surveys (and budget) may be adjusted based on technical advice from Terra Global Capital.

PHASE 1 Activities			and the second of the	Timeframe
Activities	Responsible organization	Resources Required	Remark	
1. Forest Biomass A	ssessment			
1.1 Train on t=0 classification of project areas and reference region	TGC	Workshop venue, trainer costs, per diems, staff time	All capacity building needs could be achieved during one visit by TGC staff (1.1, 1.4, and 1.5)	
1.2 Adapt Standard Operating Procedures (incl. sampling design/data analysis framework) from Oddar Meanchey	TGC	Staff time	Minor adjustments to existing SOP	
1.3 Conduct biomass inventories and enter data	Pact	Depends on # of plots required	Estimated 50 plots	May – September
1.3 First round QA/QC	Pact	Staff time		October
1.4. Training on and conduct second-round QA/QC	TGC	Workshop venue, trainer costs, per diems, staff time	Capacity building required	
1.5 Train on creating preliminary t=0 biomass estimates	TGC	Workshop venue, trainer costs, per diems, staff time	Capacity building required	
2. Social Assessmen	ts			
2.1 Adapt SOP and sampling design/data analysis framework from Oddar Meanchey	TGC	Staff time	Minor adjustments	
2.2 Conduct social assessments (household surveys & PRAs)	Pact	Staff time, travel, per diems – depends on # of surveys required	300 surveys were required for OM REDD	June – September
2.3 First round QA/QC on data	Pact	Staff time	r.	October
2.3 Second-round QA/QC on data + training on how to do t	TGC	Staff time – skype training call		A.
3. VCS & CCBA Proje	ect Documents			
3.1 Draft some sections of the CCBA PD	FA (Pact & TGC coach and edit)	Not sure how to support this if no salary supplement to FA	Includes: ?? (ask TGC to clarify)	September
3.2 Draft some sections of the VCS PD	FA (Pact & TGC coach and edit)	Not sure how to support this if no salary supplement to FA	Includes: Project details VCS Meth Monitoring Project Emissions	September

# Key Activities and Responsible Partners for CF REDD+ Project Development in Siem Reap

# Workplan May - October, 2011

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This

PHASE 1 Activities				May	June	Ainf Ainf	Aug	Seb	Oct
Activities	Responsible	<b>Resources Required</b>	Remark						
1. Forest Biomass Assessment									
1.1 Train on t=0 classification of project areas and reference region	TGC	Workshop venue, trainer costs, per diems, staff time	All capacity building needs could be achieved during one visit by TGC staff (1.1, 1.4, and 1.5)						
1.2 Adapt Standard Operating Procedures (incl. sampling design/data analysis framework) from Oddar Meanchey	TGC	Staff time	Minor adjustments to existing SOP						
1.3 Conduct training on biomass inventory	Pact	Training	10 Local FA staff will be trained				1.000		
1.4 Conduct biomass inventories	Pact	Depends on # of plots required	Estimated 100 plots				and the local last	bard and and	
L.S Enter biomass data	Pact	Staff time	100 plot data sheets						
1.S First round QA/QC	Pact	Staff time		R. La					
1.6. Training on and conduct second-round QA/QC		Workshop venue, trainer costs, per diems, staff time	Capacity building required						
1.6 Train on creating preliminary t=0 biomass estimates	TGC	Workshop venue, trainer costs, per diems, staff time	Capacity building required	1					
2. Social Assessments		and the second se							
2.1 Adapt SOP and sampling design/data analysis framework from Oddar Meanchey	TGC	Staff time	Minor adjustments						1. (1999) (1. (1999) (1. (1999) (1. (1999)) (1. (1999)
2.2 Conduct and coaching selected CFMC and Local FA on HH-SOP and PRA	Pact	Training	Selected CFMC and Local FA will be trained						
2.3 Conduct social assessments (household surveys & PRAs)	Pact	Staff time, travel, per diems – depends 300 surveys were required for OM on # of surveys required REDD	300 surveys were required for OM REDD	10.00					
2.4 First round QA/QC on data	Pact	Staff time			5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
2.5 Second-round QA/QC on data + training on how to do it	TGC	Staff time – skype training call							
3. VCS & CCBA Project Documents				A PARTY AND	10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
3.1 Draft some sections of the CCBA PD	FA (Pact & TGC coach and edit)	Not sure how to support this if no salary supplement to FA	Includes: ?? (ask TGC to clarify)						
3.2 Draft some sections of the VCS PD	FA (Pact & TGC coach and edit)	Not sure how to support this if no salary supplement to FA	Includes:Project details VCS Meth Monitoring Project Emissions						

Page 10

# Annex B - Budget

## Summary Budget: Pact Institute

## Proposal to: Clinton Foundation Project Name: Siem Reap REDD

Anticipated Period of Performance:

15-May-11 -

15-Nov-11

Contraction of the second s	Year 1	TOTAL
from	May '11	
through	Nov'11	
Cost Category		
Salaries	\$14,663	\$14,663
Fringe Benefits	\$4,194	\$4,194
Overseas Allowances	\$2,006	\$2,006
Travel / Meetings / Workshops	\$31,403	\$31,403
Equipments	\$600	\$600
Other Direct Costs	\$3,306	\$3,306
Subtotal Direct Costs	\$56,172	\$56,172
Pact Institute Overhead	\$10,319	\$10,319
Subtotal Indirect Costs	\$10,319	\$10,319
Total Request from Clinton Foundation	\$66,491	\$66,491

### Pact Institute Detailed Budget Project Name: Siem Reap REDD Anticipated Period of Performance: 15-May-11 -

15-Nov-11

Cost Category	Unit Cost	Unit	May '11 LOE	Year 1 thru Months	Nov '11 <b>\$ Amount</b>	Total Request to Clinton Foundation
Salaries						Construction of the
Expatriate Personnel		1			A1 050	\$1.053
Country Director - Eric Bergthold CFP Director - Amanda Bradley	\$105,315 \$65,000	year year	2% 20%	6 6	\$1,053 \$6,500	\$1,053
CFP Director - Analida Bradley	\$00,000	year	2070	U	¢0,000	¢0,000
Sub-total - Expatriate Personnel					\$7,553	\$7,553
Local Personnel						
Senior Carbon Program Officer - Chhun Delux	\$10,353	<ul> <li>Contraction</li> </ul>	40%	6	\$2,071	\$2,071
REDD Project Assistant - Khiev Samnang		year	30%	6	\$586	\$586
Junior GIS/ Graphic Officer - Net Channa	\$4,934	year	30%	6	\$740	\$740
Project Assistant - Sao Moeung	\$3,904	year	100%	6	\$1,952	\$1,952
Director of Finance & Operations	\$23,338	vear	5%	6	\$583	\$583
HR & Admin Officer		year	10%	6	\$560	\$560
Sr. Finance Officer		year	10%	6	\$618	\$618
Subtotal - Local Personnel					\$7,110	\$7,110
				10.04		
Subtotal - Salaries	and the second sec	Sector Sector			\$14,663	\$14,663
Fringe Benefits						
Ex Pat & Home Office Personnel		base salary			\$2,417	\$2,417
Local Personnel	25%	base salary			\$1,777	\$1,777
Subtotal - Fringe Benefits					\$4,194	\$4,194
Overseas Allowances						
Country Director - Eric Bergthold						
Housing Allowance	\$24,600	year	2%	6	\$246	\$246
Housing Utilities	\$2,400	year	2%	6	\$24	\$24
Annual Trip to Home	+	trip	2%	6	\$125	\$12
Education Allowance	1.5.5. B	dependent/y		6	\$137	\$13
Storage	\$2,400	year	2%	6	\$24	\$2
Subtotal Country Director - Eric Bergthold					\$556	\$55
CFP Director - Amanda Bradley						
Housing Allowance	\$9,600	100	20%	6	\$960	\$96
Housing Utilities		year	20%	6	\$240	\$240
Annual Trip to Home	\$2,500	trip	20%	6	\$250	\$250
Subtotal CFP Director - Amanda Bradley					\$1,450	\$1,450
						\$2,00

				Year 1		Total Request to
Cost Category	Unit Cost	Unit	May '11	thru	Nov '11	6
64.) 1897			LOE	Mantha	\$ Amount	Clinton
			LUE	Months	\$ Amount	Foundation
Travel, Workshops, and Meetings						
Subtotal - Domestic Travel					\$10,253	\$10,25
Subtotal - Trainings and Workshops					\$21,150	\$21,15
Subtotal - Travel, Workshops, and Meetings	and a state of the second	German and			\$31,403	\$31,40
Equipment - Initial purchase						
GPS	\$600	station	1		\$600	\$60
Subtotal Equipment Purchases					\$600	\$60
Other Direct Costs						
Software Maint & Support		month	5%	6	\$45	\$4
Vehicle General Maintenance (2 cars)	2019/00/201	month	5%	6	\$30	\$3
Vehicle Fuel (2 cars)		month	5%	6	\$120	\$12
Vehicle Repair (2 cars)		month	5%	6	\$60	\$6
Vehicle Insurance (2 cars)	\$400		5%	6	\$120	\$12
Equipment Maint & Repair		៣០៧វា	5%	6	\$30	\$3
Computer Maint & Repair		month	5%	6 6	\$5	\$
Office Rent	\$3,402		5%		\$1,021	\$1,02
Office Utilities	\$1,200		5%	6	\$360	\$36
Office Maintenance & Repairs		month	5%	6	\$15	\$1
Office Supplies	\$1,000		5%	6	\$300	\$30
Postage/Parcel/Courier	2	month	5%	6	\$45	\$4
Printing/Duplicating/Copying		month	5%	6	\$150	\$15
Communications	\$1,200	000000000000000000000000000000000000000	5%	6 6	\$360	\$36
Internet Access	\$1,000		5%	6	\$300 \$125	\$30 \$12
AuditExpenses	\$5,000	year month	5% 5%	6	\$125	\$12
Bank Fees		month	5%	6	\$150	\$15
Publications & Subscription Membership Fees		year	5%	6	\$25	\$2
Subtotal - Other Direct Costs		1-			\$3,306	\$3,30
			11111241123		450 470	450 47
SUBTOTAL DIRECT COST	5				\$56,172	\$56,17
ndirect Costs						
Pact Institute Overhead	18.37%				\$10,319	\$10,31
SUBTOTAL INDIRECT COST	S	1			\$10,319	\$10,31
ΤΟΤΑ			a supervised	Section 2 million	\$66,491	\$66,49

An

# Detailed Budget for Travel Transportation and Per Diem Siem Reap REDD

Period of Performance : 15-May-11 to 15-Nov-11

			Year	1	Total Donor
Cost Category	Unit Cost	Unit	Quantity	Amount	Request
Domestic Travel					
Biomass Inventory training, 2 staff/training					
Per Diem & Accommodation	\$25	Day	10	\$250	\$250
Transporation for 2 people (bus/taxi for PNP-SRP-PNP)	\$40	R-trip	1	\$40	\$40
In-country Ground Transportation	\$45	total	1	\$45	\$45
Plot Assessments (4 staff per trip)					
Per Diem & Accommodation	\$25	Day	50	\$1,250	\$1,250
Transporation for 4 people (bus/taxi for PNP-SRP-PNP)	\$80	R-trip	4	\$333	\$333
Transportation to 100 plots (SRP-Plot-SRP)	\$45	total	50	\$2,250	\$2,250
Social Assessment (4 staff per trip)					
Per Diem & Accommodation	\$25	Day	152	\$3,809	\$3,809
Transporation for 4 people (bus/taxi for PNP-SRP-PNP)	\$80	R-trip	4	\$700	\$700
Transportation to 35 sites (SRP-Site-SRP)	\$45	total	35	\$1,575	\$1,575
Subtotal - Domestic Travel				\$10,253	\$10,253

#### Detailed Budget for Training, Workshops and Conferences

Biomass Inventory training		Year 1	TOTAL
Duration of Training (days)		3	
Number of Training		1	
Number of Participants per Training to 10 local FAs		10	1(
	Unit Cost	Year 1	
Venue Rental per Training	01111 0001	\$0	SI
Backdrop and banners		\$0	
Participant Transportation & Accommodation	\$20	\$200	\$20
Perdiem & Accommodation Per Day for 10 local FAs	\$25	\$750	\$75
Transport to field	\$100	\$100	\$10
Refreshments per Participant Per Day	\$3	\$90	\$9
Training Materials and Supplies per Training	\$160	\$160	\$16
TOTAL		\$1,300	\$1,30
Plot Assessments		Year 1	TOTAL
Duration of Plot (days)		1	
Number of Plots		50	
Number of Participants per Plot		6	
	Unit Cost	Year 1	
Perdiem for 1 local FA	\$10	\$100	\$10
Perdiem for 5 CFMCs	\$5	\$2,500	\$2,50
Transport to fields		\$300	\$30
Refreshments per Participant Per Day	\$3	\$900	\$90
Plot Materials and Supplies per Plot	\$160	\$8,000	\$8,00
TOTAL		\$11,800	\$11,80
Social Assessment Training and Implementation for CFMCs		Year 1	TOTAL
Duration of Training (days)		4	
Number of Trainings, 35 CFs		35	3
Number of Participants per Training		5	
	Unit Cost	Year 1	
Perdiem for 1 local FA	\$10	\$1,400	\$1,40
Perdiem for 5 CFMCs,	\$5	\$3,500	\$3,50
Fransport to fields for 1 local FA	\$10	\$350	\$35
Refreshments per Participant Per Day	\$3	\$2,100	\$2,10
Fraining Materials and Supplies per Training	\$20	\$700	\$70
		\$8,050	\$8,05

Total for Training, Workshops and Conferences

\$21,150 \$21,150

# Annex C - Disbursement Schedule

Payments shall be made in accordance with main document, page 3. section 4.

First payment (60%) upon contract signature	US\$ 39,895
Second payment (20%) upon receipt of satisfactory mid-term progress report, due August 30, 2011	US\$13,298
Final Payment (20%) upon receipt of satisfactory final report, due 30 November, 2011	US\$ 13,298

C

AMENI		RD 3. F cient time to 197 6. t Me Bai 7. t Pac L. T.e. km	X       Grant       Vi         Cooperative Agreement         ward Amendment Number         S-TH200-10-GH         ederal Tax I.D./DUNS Num         07-770-:         accounting and Appropriation         72-901037001C-00-43160P         ssued By         idia and Cultural Section         ngkok, Thailand         Recipient Name, Address ar         ct, Inc. represented by M         Street, NW, Suite 300 W         L: 202-466-5666 Fax: 20:         acleod@pactworld.org	R-048-A001 ber 5911 h Data 048G-4316-2440-4121-35 , Embassy of the USA, hd Contact Information r. Kurt MacLeod 1828 fashington, DC 20036 2-466-5645 email:
		nendment (Check appropria	ate block(s))	
X Extend work completion time to Jan				
Revised estimated cost as follows:	Total Cost Prior To This Amendment	Add + \$	Deduct - \$	Revised Total Cost Estimate
U.S. Share of Cost				0.00
Recipient's Share of Cost				
Total Estimated Cost	0.00	0.00		Ū,00
<ul> <li>9. Statutory Authority</li> <li>Authorization</li> <li>X FAA (Foreign Assistance Act)</li> <li>FH (Fulbright-Hays)</li> <li>SM (Smith-Mundt)</li> <li>Appropriation</li> <li>CSH (Child Survival and Health Programs)</li> <li>D&amp;CP (PD)</li> <li>DA (Development Assistance)</li> <li>DF (Democracy Funds)</li> <li>11 Recipient Name, Title and Signature</li> <li>Mr. Kurt Mat</li> <li>Name</li> <li>Signatur</li> <li>Regional V.P. Asia and Eurasia</li> <li>Title</li> </ul>	Robert P. KI Serioz Gzan * Contry ds, M	Funds) t Act) Initiative) Law Enforcement) nd error., Demin., Related) c.Dem) 12. Grants Officer Na Mich Man oggr	c) PiO Specifics	cs am Specific Requirements /Requirements cifics/Requirements

04-2008

U.S. Department of State		1. X Grant Vo	oluntary Contribution
		Cooperative Agreement	
FEDERAL ASSISTANCE AWAR	KD.	2. Award Number	CB 049
0. 1		S-TH200-10- 4. U.S. Share of Cost	-GK-048
3 Award The Purpose Oddar MEANCHEY REDD biodiversity conservation project: promotion	n of forest	4. U.S. Share of Cost	\$24,498.00
protection; training and capacity building for management of protecte promotion of the effective involvement of civil society in environmen	d areas; and	5. Recipient's Share of Cost	
deliberations in Oddar Meacham province, Cambodia.	au poney		
Certify availability of tunds FMO, David H. Howard http://www.		6. Total Cost	\$24,498.00
fig-th post	8. Type of Recipi	ent - Check one that applies	φ24,190.00
US\$ 24.498	Foreign Ed	ucational Institution	Foreign NGO/PVO
1972-901037001C-00-43160P048G-4316-2440-4121-35	Public Inter	national Organization (PIO)	Foreign Government
9. Issued By	Foreign Ind		U.S. Individual
	U.S. Comm		U.S. Local Government
Media and Cultural Section, Bangkok 10. Project Period ( <i>mm-dd-yyyy</i> )		tional Institution	U.S. State Government Foreign Commercial
From 07-01-2010 Through 11-30-2010	U.S. Non-P	rofit Organization (501(c) (3))	Firm
11. Recipient Name, Address and Contact Information PACT, Inc. represented by Mr. Kurt MacLeod, 1828 L. Street, NW,	Quite 200 Was	12. Program CFDA	Number
DC 20036, Tel: 202-466-5666, Fax: 202-466-5645, email: kmacle	eod@pactworld	l.org;	N1/4
gbeans@pactworld.org 13 Recipient Federal Tax I.D /DUNS Number 14. Send Requests for F	Paimhursement to		N/A (Check all that apply)
John P. Schutte, Cu	ltural Affairs C	officer,	
DUNS # 07-770-5911 Media and Cultural S Bangkok	, Thailand	nbassy, a) X Award Spe	CITICS
15. Statutory Authority		b) Bureau/Pro	gram Specifics
Authorization Appropriation		Post Speci	fics
MRA (Migration/Refugee Act)         X       FAA (Foreign Assistance Act)         ECE (Educ. and Cult. I	Exch.)		
FH (Fulbright-Hays)	ort Funds)	c) Standard L and Condit	omestic Terms ions
SM (Smith-Mundt)			Overseas Terms
State Department Basic Authorities Act GHAI (Global HIV/AID.		ent) d) PIO Specif	
Appropriation MRA/ERMA (Migration			103
CSH (Child Survival and Health Programs NADR (Nonprolif, Anti-	-Terror., Demin.,	Related) e) Property S	pecifics
D&CP (PD) DA (Development Assistance) SEED (Support for E.E	Eur.Dem)	f) Fixed Oblig	ation Grant (FOG)
DF (Democracy Funds) Other			
17. Agreement. The recipient agrees to execute the work in accordance with t	the Notice of Awa	rd, the approved application inco	rporated herein by
reference or as attached, and the applicable rules checked below and any sub			
	CFR 230 (A-122)	X Award is not subjec	
2 CFR 220 (A-21)         22 CFR 145 (A-110)         22 0           18. Recipient Name, Title and Signature         110         110		r Name, Title and Signature	
	B. Grants Onice	Contraction Constraints	1
-Mr. Kurt Macleod up h. M.		Mr. John/Paul Schut	·//
Name		/ // PHH	9
the Leonard N. Williams		11 XM/W	/
EVP and CFo		Signatura	IIIN 1 4 2010
Regional V.P. Asia and Eurasia 6 - 30 - 2010	Cul	tural Affairs Officer	JUN [ 1 =
Title Date (mm-dd-yyyy)		Title	Date (mm-dd-yyyy)
<ol> <li>Recipient By signing this agreement, the recipient assures that it will comply with the</li> </ol>	e terms and cond	tions of this award. Recipient is r	required to sign and
return this document within 10 business days of the signature of the Gran	its Officer to the f	ollowing address:	,
Media and Cultural Section, U.	S. Embassy, Ba	ngkok, Thailand	
DS-1909			

11-2009

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# Award Specifics U.S. Department of State

Below are the Award Specifics that accompany the Department of State (DOS) federal assistance awards. The data elements below are required for all awards.

A DOS Notice of Award consists of the following four components:

- DS-1909 Federal Assistance Award Coversheet mandatory for all DOS Awards
- Award Specifics (below)
- Bureau/Program Specifics (domestic) or Post Specifics (overseas) Requirements, if applicable
- Standard Terms and Conditions (available either on-line or printed from the A/OPE/FA website <a href="http://fa.statebuy.state.gov">http://fa.statebuy.state.gov</a>)

Providing the Standard Terms and Conditions to a recipient is optional, but can be requested by the recipient.

# DATA ELEMENTS:

# **1. Standardized Assistance Instrument Identification Number:** S-TH200-10-GR-048

# 2. Amount of Award:

US\$ 24,498 (US Dollars Twenty Four Thousand Four Hundred and Ninety Eight only)

# 3. Purpose/Scope of Award:

Oddar Meanchey REDD biodiversity conservation project: promotion of forest protection; training and capacity building for management of protected areas; and promotion of the effective involvement of civil society in environmental policy deliberations in Oddar Meacham province.

This project will serve the U.S. goal of promoting sustainable economic growth and environmentally sound practices in Southeast Asia.

# 4. Grants Officer Contact Information:

John Paul Schutte Cultural Affairs Officer U.S. Embassy 95 Wireless Road, Bangkok 10330 Thailand Phone: (66-2) 205-4638 Fax: (66-2) 650-8924 Email: SchutteJP@State.Gov

## 5. Payment Method:

Payment shall be made by EFT to the Recipient after the grant is signed by all parties.

The final report should include three elements:

- 1) Narrative report
- 2) SF-425 Federal Financial Report
- 3) Detailed accounting of expenditures under the grant

The Standard Form 270 Request for Advance or Reimbursement Payments may be requested in the amounts required by the recipient to carry out the purpose of this award. The SF-270 must be certified by the appropriate person, numbered consecutively and identified for the period which payment is claimed. Each payment must be the amount of expenditures anticipated or actually incurred during the requested period less any unexpended funds remaining from prior payments. This information must be reflected on the Standard Form 270 submitted for payment.

When submitting a request for payment use the address provided on the DS-1909. Requests for payments must be submitted in sufficient time to allow at least fourteen (14) working days for processing.

## 6. Post-Award Compliance:

Department Of State Standard Terms and Conditions for Federal Assistance Awards are incorporated by reference and made part of this Notice of Award. Electronic copies containing the complete text are available at: <u>http://fa.statebuy.state.gov</u>, under Resources select Notice of Awards (T&Cs) to access the domestic or overseas terms and conditions applicable to the Recipient. The Recipient and any sub-recipient, in addition to the assurances and certifications made part of the Notice of Award, must comply with all applicable terms and conditions during the project period.

# 7. Authorized Budget

Budget Categories	Amount US\$
1. Personnel	6,218
2. Fringe Benefits	1,565
3. Travel	1,800
4. Equipment	n/a
5. Supplies	n/a
6. Contractual	10,051
7. Construction	n/a
8. Other Direct Costs	1,246
9. Total Direct Costs (lines 1-8)	20,880
10. Indirect Costs (reflect provisional, pre-determined rate and allocation base)	3,618
11. Total Costs (lines 9-10)	24,498
12. Cost-Sharing	n/a

Attached is the detailed budget narrative.

# 8. Reporting and Monitoring

The Recipient must within ninety (90) days from the completion of the project, submit a final certified financial report of all expenditures made from these Grant funds and a program report of accomplishments attributable to these expenditures to the Grant Officer.

Attached is the detailed budget narrative a more detailed budget is listed in the full proposal. Note that contract line item in the full proposal in now \$10,051 as opposed to \$10,500.

•

Anticipated Period of	1-Jul-	30-Nov-
Performance:	10	10
Cost Category		Total
Salaries	5,878	5,878
Fringe Benefits	1,565	1,565
Overseas Allowances	340	340
Travel/Meetings/Workshops	1,800	1,800
Other Direct Costs	1,246	1,246
Subtotal Direct Costs	10,830	10,830
Subcontracts & Subgrants	10,051	10,051
Subgrants/Subcontracts		
Overhead	315	315
Direct Overhead	3,303	3,303
Subtotal Indirect Costs	3,618	3,618
Project Total	24,498	24,498

			OMB APPROVAL	NO.		PAGE OF
DEQUEAT						1 PAGES
REQUEST			1.	a. "X" one or both boxes		2. BASIS OF REQUEST
OR REIM	BURSE	MENT			MENT	X CASH
			TYPE OF PAYMENT	b, "X" the applicable box		
(See inst	tructions on bac	k)	REQUESTED			
3. FEDERAL SPONSORING AGENCY AND				RANT OR OTHER IDENTIFYING		AL PAYMENT REQUEST
REPORT IS SUBMITTED U.S. Department of State				SIGNED BY FEDERAL AGENCY	NUME	ER FOR THIS REQUEST
Media and Cultural Section, U.S.	S. Embassy Ba	ngkok	S-TH200	0-10-GR-048		
6. EMPLOYER IDENTIFICATION NUMBER		CIPIENT ACCOUNT NUMBER OR DENTIFYING NUMBER	8.	PERIOD COVERED BY T		
DUNS#077705911			FROM (month, da	iy, year) /2010	TO (month,	, day, year) /30/2010
9 RECIPIENT ORGANIZATION				ere check is to be sent if different than		130/2010
Name Pact Inc.			Name	ere check is to be sent il different triar	ritem 9)	
Number 1828 L. St NW, Suite 300			Number			
and Street			and Street			
City, State Washington, DC 20036 and ZIP Code			Cily, State and ZIP Code			
	3					
11. COM		OF AMOUNT OF REIMBURS	SEMENTS/ADVA	NCES REQUESTED		
		(a)	(b)	(C)		(d)
PROGRAMS/FUNCTIONS/ACTI						TOTAL
			<u>.</u>			
a. Total program outlays to date	(As of date)					
b. Less: Cumulative program income						
c. Net program outlays (Line a minus lin	ne b)					
d. Estimated net cash outlays for advan	ce period	24,498.00				24,498.00
e. Total (Sum of lines c & d)						
f. Non-Federal share of amount on line	e		-			
g. Federal share of amount on line e						
h. Federal payments previously request	ied					
i. Federal share now requested (Line g	minus line h)					
j. Advances required by month,	1 <sup>st</sup> month					
when requested by Federal grantor agency for use in making	2 <sup>nd</sup> month					
prescheduled advances	3 <sup>rd</sup> month					
12.	ALT	ERNATE COMPUTATION F	OR ADVANCES	ONLY		
a. Estimated Federal cash outlays that will be	made during peri	od covered by the advance				24,498.00
b. Less: Estimated balance of Federal cash or	n hand as of begi	nning of advance period				
c. Amount requested (Line a minus line b)						

AUTHORIZED FOR LOCAL REPRODUCTION

(Continued on Reverse)

STANDARD FORM 270 (Rev. 7-97) Prescribed by OMB Circulars A-102 and A-110

13.	CERTIFICATION	
I certify that to the best of my knowledge and belief the data on the reverse are correct and that all outlays were made in accordance with the grant conditions or other agreement and that payment is due and has not been previously requested.	TYPED OR PRINTED NAME AND TITLE Mr. Leonard Williams, Vice President of Finance	DATE REQUEST SUBMITTED 30/2010 TELEPHONE (AREA CODE, NUMBER, EXTENSION) 202-466-5666

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden to the Office of Management and Budget, Paper Reduction Project (0348-0004), Washington DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

#### INSTRUCTIONS

Please type or print legibly. Items 1, 3, 5, 9, 10, 11e, 11f, 11g, 11i, 12 and 13 are self-explanatory; specific instructions for other items are as follows:

Item	Entry	Item	Entry
2	Indicate whether request is prepared on cash or accrued expenditure basis. All requests for advances shall be prepared on a cash basis.		programs, functions, or activities should be shown in the "total" column on the first page.
4	Enter the Federal grant number, or other identifying number assigned by the Federal sponsoring agency. If the advance or reimbursement is for more than one grant or other agreement, insert N/A; then, show the aggregate amounts. On a separate sheet, list each grant or agreement number and the Federal share of outlays made against the grant or agreement.	11a	Enter in "as of date," the month, day and year of the ending of the accounting period to which this amount applies. Enter program outlays to date (net of refunds, rebates, and discounts), in the appropriate columns. For requests prepared on a cash basis, outlays are the sum of actual cash disbursements for goods and services, the amount of indirect expenses charged, the value of in-
6	Enter the employer identification number assigned by the U.S. Internal Revenue Service, or the FICE (institution) code if requested by the Federal agency.		kind contributions applied, and the amount of cash advances and payments made to subcontractors and subrecipients. For requests prepared on an accrued expenditure basis, outlays are the sum of the actual cash disbursements, the amount of indirect
7	This space is reserved for an account number or other identifying number that may be assigned by the recipient.		expenses incurred, and the net increase (or decrease) in the amounts owed by the recipient for goods and other property
8	Enter the month, day, and year for the beginning and ending of the period covered in this request. If the request is for an advance or for both an advanced and reimbursement, show the period that the advance will cover. If the request is for reimbursement, show the period for which the reimbursement covers.		received and for services performed by employees, contracts, subgrantees and other payees.
Not e:	The Federal sponsoring agencies have the option of requiring recipients to complete items 11 or 12, but not both. Item 12 should be used when only a minimum amount of information is needed to make an advance and outlay information contained in item 11 can be obtained in a timely manner from other reports.	11b	Enter the cumulative cash income received to date, if requests are prepared on a cash basis. For requests prepared on an accrued expenditure basis, enter the cumulative income earned to date. Under either basis, enter only the amount applicable to program income that was required to be used for the project or program by the terms of the grant or other agreement.
11	The purpose of the vertical columns (a), (b), and (c), is to provide space for separate cost breakdowns when a project has been planned and budgeted by program, function, or activity. If additional columns are needed, use as many additional forms as needed and indicate page number in space provided in upper right; however, the summary totals of all	11d	Only when making request for advance payments, enter the total estimated amount of cash outlays that will be made during the period covered by the advance.
		13	Complete the certification before submitting this request.

STANDARD FORM 270 (Rev. 7-97) Back



# HOST ORGANISATION ASSIGNMENT AGREEMENT

Name of AYAD:	Stuart Raetz
Assignment Code:	29CORD01
Assignment Title:	Project Monitoring Officer for Community Forestry REDD
Assignment Duration:	12
Country:	Cambodia
Host Organisation:	Pact Cambodia
Host Organisation Contact:	Ms Amanda Bradley

#### DECLARATION

We acknowledge, understand, and accept the below statements regarding our roles and responsibilities associated with the hosting of an Australian Youth Ambassador for Development.

Signed on behalf of the Host Organisation by:

	(		B		A
Signature:		feoroja	Johan	Date:	10 august 2010

# Pact Cambodia Organizational Structure

