

# PGAs for REDD+ : Research to (early) Actions

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# UN-REDD Governance Support “Products”

- Guidelines on stakeholder engagement
- Participatory Governance Assessments
- Guidance Framework
- Social and environmental Principles and Criteria
- Social Risk identification and Mitigation Tool
- Guidance Anti-corruption for REDD+
- ...





# Principles of PGAs for REDD+

- PGAs for REDD+ emphasize **the inclusion of various stakeholders from the very beginning** to ensure that there is a **broad-based agreement on the governance targets and indicator framework**
- PGAs
  - increase the **legitimacy** of the process and of the information generated
  - act as **an accountability** mechanism
  - stress **transparency** through unbiased access to information about the assessment process and results

# Objectives


- Identify REDD+ governance challenges and risks
- Provide robust evidence base to recommend responses for overcoming them
- Facilitate sustainability of long-term policy reform through ownership
- Provide a framework for a participatory process at the country level for sharing information on how (governance) safeguards are promoted, addressed and respected





# Where we are

- Nigeria: 1<sup>st</sup> brainstorming workshop concluded
- Indonesia : Preparatory Phase
- Ecuador and Viet Nam's PGAs for REDD+ will start later this year



# Building on existing knowledge and experience- Indonesia & Nigeria

- **In Indonesia**

- UNDP together with BAPPENAS (the Planning Commission) and Kemitraan facilitated a governance assessment aimed at assisting local authorities to monitor progress in 33 provinces using the nationally adopted Indonesia Democracy Index (IDI)
- BAPPENAS has now taken over the work with the IDI, together with the National Statistics Office, and included the provision of information on the agreed targets/ indicators as part of their daily management

- **In Nigeria**

- **The 1<sup>st</sup> Good Urban Governance (GUG)** assessment just concluded
- PGA for REDD+ start up workshop was conducted back to back with finalization GUG workshop



# Some lessons learned in Nigeria GUG


- **Multiple data sources** are needed
- **Pilot test** a methodology before starting data collection...
- ...while involving all states early on
- **Start small** – expand later (need for prioritization)




## (More) lessons learned

- **Investing early on in the institutionalization of the data collection process**
- **Communication** is key – throughout the process (advocacy missions to states, stakeholders' workshops to validate drafts, dissemination of results, etc.)





# Current areas to assess (as identified nationally)



Although PGAs for REDD+ in Indonesia and Nigeria are at the preparatory phase, key objectives/areas have been identified:

- To assess the **quality of policies/legislations** which are directly related to the implementation of REDD+
- To measure **institutional capacity** of provincial /state governments to implement REDD+
- To identify important elements of an **anti-corruption strategy** for REDD+ (using UNCAC as a guidance framework)
- To map out existing capacity levels/ challenges & opportunities of IPs and **forest-dependant communities** with regards to their **participation** in REDD+
- ***Equitable benefit distribution systems***




# Nigeria's PGA for REDD+


- First brainstorm conducted as GUG concluded
- 5 issues identified
- Discussions now underway to have a 'PGA sub-group' attached to the National Technical REDD+ Committee
- Cross River State + another state as pilots



# Indonesia PGA : Stakeholders

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- **National level:** Instead of a Steering Committee, there will be a working group meeting at regular intervals to discuss the way forward and key areas of relevance for the PGA.

These participants are:

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- **BAPPENAS** (National Planning Commission)
  - **REDD+ Task Force** (Inter-ministerial working group)
  - **Ministry of Forestry**
  - **AMAN** (Leading national network of Indigenous Peoples and their rights)
  - **WALHI** (Indonesia Forum for Environment)



# Panel of Experts



Assisting the national working group, a range of experts in the following areas:

- Research (qualitative & quantitative data collection methods)
- REDD+
- Local governance
- Legal development
- Access to justice
- Gender
- Human resource management
- Anti-corruption


# National *and* local levels

- The PGA in Indonesia will look at governance structures and systems at *both the national and local levels*.
- *Provincial Working Groups* in each one of the 11 pilot provinces (from IDI experience)
- Provinces that have been suggested so far are:  
Sumatra: Aceh, Riau and Jambi,  
Kalimantan: West , South, East and Central Kalimantan  
Papua: West Papua and Papua  
Sulawesi: Central and Southeast Sulawesi





# Indonesia PGA Methodology

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- 1. Design of the framework:** working group (with Panel of Experts) identify key areas to be monitored
  - 2. Design of data collection tools – by Panel of Experts, using the following methods:**
    - Document review
    - Stakeholder opinion survey
    - In-depth interview
    - Focused group discussion



# Indonesia PGA Process

## 3. Data collection

- First trip: admin data / doc review / survey → *Report on initial pointers emerging from quantitative data*
- Second trip: FGDs / interviews → *More in-depth research (using qualitative methods) to investigate initial pointers*

## 4. Data processing / report writing

## 5. Validation with National Working Group & Provincial Working Groups

## 6. National launch



# What a PGA for REDD+ builds on

- In-country experiences in other governance assessments
- In-country REDD+ institutional architecture
- An emerging body of REDD+ governance frameworks (GFI, guidance framework on provision of information on governance, anti-corruption guidance frameworks..) as pointers
- UN-REDD's stakeholder engagement activities and guidelines
- Ownership of the REDD+ agenda by state and non-state actors





# For more information



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Indonesian framework	REDD+ “Social principles”
1. Policies & legislations	<ul style="list-style-type: none"> <li>•Criterion 1</li> <li>•Criterion 2</li> <li>•Criterion 3</li> <li>•Criterion 7</li> </ul>
2. Institutional capacity of provincial governments	<ul style="list-style-type: none"> <li>•Criterion 1</li> <li>•Criterion 2</li> <li>•Criterion 3</li> </ul>
3. Anti-corruption strategy for REDD+	<ul style="list-style-type: none"> <li>•Criterion 1</li> <li>•Criterion 2</li> </ul>
4. Participation of forest-dependent communities in REDD+	<ul style="list-style-type: none"> <li>•Criterion 3</li> <li>•Criterion 4</li> <li>•Criterion 5</li> <li>•Criterion 6</li> </ul>