



## REPUBLIC OF ZAMBIA

UN-REDD  
PROGRAMME



### **Governance and Institutional Expert to Support REDD+ in Zambia:**

#### **Terms of Reference for an International Consultant (Firm/Institution)**

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##### **1. - BACKGROUND**

Zambia is among the first pilot countries for the climate-change mechanism **REDD+**, which stands for *reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries*. The readiness stage for this mechanism entails the participatory development of a national REDD+ Strategy, which will comprise policy reforms, investments and a related REDD+ implementation framework, with due monitoring and safeguard systems, in line with agreements and negotiations under the United Nations Framework Convention on Climate Change (UNFCCC).

In essence, REDD+ is an international mechanism that intends to enhance the value of standing forests and incentivize sustainable forest management through a multi-stakeholders approach and a green development perspective. Zambia is fully engaged in advancing REDD+ and a partner member of UN-REDD (a United Nations collaborative programme, comprising FAO, UNDP and UNEP).

A **UN-REDD Zambia national programme** for REDD+ is underway, with a financial allocation of US\$ 4.49 million, in order to advance the national REDD+ readiness process. The Programme's goal is to prepare Zambian institutions and stakeholders for effective nationwide implementation of the REDD+ mechanism. The Programme's objectives are:

- (a) to build institutional and stakeholder capacity to implement REDD+;
- (b) to develop an enabling policy environment for REDD+;
- (c) to develop REDD+ benefit-sharing model; and
- (d) to develop Monitoring, Reporting and Verification (MRV) systems for REDD+.

In addition, a total of six outcomes are envisaged: (1) Capacity to manage REDD+ Readiness strengthened; (2) Broad-based stakeholder support for REDD+ established; (3) National governance framework and institutional capacities for the implementation of REDD+ strengthened; (4) National REDD+ strategies identified; (5) MRV capacity to implement REDD+ strengthened; and (6) Assessment of Reference Emission Level (REL) and Reference Level (RL) undertaken.

The REDD+ readiness phase is on-going with a number of analytical commissioned, which would be conducted by national consultants. The outcomes of these assignments would feed into the formulation of the REDD+ strategy and future REDD+ implementation.

## **OBJECTIVE OF THE ASSIGNMENT**

The complexity of REDD+ issues has substantially evolved in the past year, partly in relation to the progress of negotiations with recommendations on how to build REDD+ systems with the requisite international-level requirements. In addition, experiences, lessons learned and best practices in the REDD+ domain has demonstrated the need for drawing on international experiences to inform and complement national level processes.

It is against this background that an international consultant is being recruited with the overall objective to provide guidance to the work to be undertaken by national consultants including on international approaches, methodologies and best practices on: a) safeguards, enforcement and governance; b) assessment on institutional roles and responsibilities for REDD+ implementation in Zambia; c) financing, incentives and benefit sharing mechanisms;

## **GENERAL DESCRIPTION OF TASKS AND OBJECTIVES TO BE ACHIEVED**

The International Consultant will carry out the following tasks in 40 working days staggered between 1<sup>st</sup> May and 15<sup>th</sup> August 2013:

1. Share expert knowledge with national consultants and UN-REDD Team on approaches and processes for addressing safeguards and propose methodological approaches and good practices for developing a country specific approach for Zambia based on international level experiences, including on the establishment of safeguard information systems;
2. Share expert knowledge with UN-REDD technical team and national consultants working on financing, incentives and benefit sharing mechanisms and provide a brief review of evidence based financing, incentive and benefit sharing options and considerations for REDD+ that are relevant to Zambia;
3. Share expert knowledge with the UN-REDD team and the national consultants on tools and instruments for conducting institutional analysis and assessments and recommend potential institutional arrangements/framework for a REDD+ in Zambia based on the on-going work on interim climate change secretariat;
4. Review reports from the national consultants and identify gaps that need to be filled, and where required, propose synergies and recommendations that need to be fostered to ensure complementarities and consistency in documentation;
5. Participate and contribute to key inception, validation workshops and national dialogue processes; and
6. Prepare a summary of findings and main recommendations/ an issues and options paper drawn from the studies to feed into the strategy development dialogue. This would be presented in a national high level dialogue on REDD+.

## **OUTPUTS AND DELIVERABLES**

1. Inception report on how the three consultancies will be supported including a capacity building plan and approaches that would support on-going analytical work;
2. Report on Assessing Safeguards, Enforcement and Governance on REDD+ in Zambia;
3. Report on Financing, Incentives and Benefit Sharing in Zambia;
4. Assessment of Institutional Capacities and Capacity Needs for REDD+ in Zambia;
5. Issues and Options Paper;
6. Based on Recommendations of High Level Meeting, finalise the Issues and Options Paper and the Roadmap and support the preparation of a high-level dialogue on REDD+.

## **PROPOSED TIME FRAME**

The assignment will have the duration of 40 working days. A proposed time frame follows.

### **Indicative Work Requirement**

<b>Activity</b>	<b>Indicative # days</b>
Orientation to the assignment, initial document review, design of surveys, and preparation/discussion of the Review Plan	2 days
Support to Safeguards, Enforcement and Governance Team	10 days
Support to Institutional Assessment Consultant	7 days
Support to Financing, Incentives and Benefit Sharing Team	10 days
Preparation of Finding and Recommendations/Issues and Options Paper and Support to High Level Meeting	8 days
Final Report Writing and Debriefing	3 days
<b>Total</b>	<b>40 working days</b>

<b>Deliverables</b>	<b>Payment Schedule</b>
Inception Report, following a SMART approach, and including assignment's work plan and methodological tools to be employed (e.g. questionnaires, checklists, list of interviews), together with a summary power-point presentation	To be submitted to the FD and UNDP-Zambia. Clearance triggers 1 <sup>st</sup> payment of 25%.
Draft Final Report	To be submitted to the FD and UNDP-Zambia. Clearance by FD and UNDP that report is acceptable triggers 2 <sup>nd</sup> payment of 50%.
Submission of finalised deliverables	Clearances by FD and UNDP release final payment of 25%

## **SUPERVISION AND REPORTING**

The consultant will receive direct technical supervision by the National REDD+ Coordinator and UN-REDD Technical Advisor, and overall guidance by the UNDP-Zambia Deputy Country Director (programmes). The consultant shall work cooperatively with the national REDD+ team.

## **REQUIRED EXPERTISE AND EXPERIENCE**

- Candidates are required to hold an advanced degree in forestry, financing, economics, land management, natural resources management or development-related subjects dependant on the technical requirements of the assignment
- At least 5 years of experience that aligns with the technical requirements of the assignment
- Traceable records of similar consultancies
- Experience, and knowledge of the REDD+ mechanism, and preferable evidence of similar work in other countries
- Experience with the United Nations system
- Good interpersonal skills
- Proven experience in drafting reports and action plans

## **EXPECTED STARTING DATE**

As soon as possible, and no later than 1 weeks after contract proposal.

## **Annex 1: Documentation and Helpful Resources**

1. PPP Policy
2. ZDA Investment Policies

### **Studies on**

3. Legal Preparedness for REDD+
4. Stakeholder Assessment and Engagement Plan
5. Preliminary Study on Drivers of Deforestation
6. Assessment of previous, ongoing and planned forest management activities with potential for REDD+
7. Drivers of Deforestation and threatened forest
8. Economic Context of REDD+
9. Past and current practices related to REDD+
10. Green House Gases Inventory and Reporting methodology
11. Development of Reference Emission Levels
12. Soil Survey Design and Methodology Development
  - a. Support for estimation soil organic carbon- linked to ILUA II