DRAFT - Methodology For Country Needs Assessment

Joint Meeting of FCPF and UN-REDD Programme

27 March 2012
Asunción, Paraguay

Final draft for submission to the UN-REDD Programme Policy Board at its eighth meeting and to the FCPF Participants Committee at the Joint UN-REDD – FCPF meeting, in Asunción, Paraguay, on 25-27 March 2012[1]

1. Introduction

The rapid development of the UN-REDD Programme and the progress made by countries in their REDD+ efforts since the Cancun Agreements has led the UN-REDD Programme Policy Board to request that a country needs assessment is conducted in order to inform the planning of future National and Global Programmes. The Participants Committee of the FCPF also requested an assessment of REDD+ country readiness needs in order to provide information to guide up-scaling of efforts to support REDD+ countries in effective implementation of REDD+ readiness processes. The Participant's Committee also instructed the Facility Management Team (FMT) to take into account similar existing or planned activities undertaken by other initiatives, including the Forest Investment Programme (FIP) and UN-REDD Programme. Based on the requests and recommendations of the UN-REDD Programme Policy Board and the FCPF Participants Committee, a joint UN-REDD Programme – FCPF proposal was made to the Participants Committee of the FCPF. Feedback has since been received from the PC and members have expressed interest in understanding the methodology in order to ascertain the extent to which the outcomes would apply to FCPF mandate per PC Resolution PC/10/2011/1, paragraph 6. The PC will provide further guidance on FCPF's participation in the joint assessment, at the PC11 meeting in Paraguay.

The refinement of the scope and comprehensiveness of the countries needs assessment, the efforts to ensure inclusiveness and the consultations with the FCPF have delayed the process of developing a methodology for the countries needs and guided the working group to taking a decision of defining a new timeline for the countries needs assessment. The new timeline postpones the delivery of findings of the countries needs assessment to June 2012, allowing for provision of inputs by the Policy Board to the methodology as well as for one workshop for discussing the final findings to be convened before the countries needs assessment is submitted for Policy Board approval. The workshop should be held on the margins of the twelfth session of the Participants Committee of the FCPF in June and the UN_REDD Policy Board members and observers and the members of the Participants Committee will be invited. The UN-REDD Secretariat is consulting with the FCPF-FMT for logistic arrangements and budgeting of this workshop.

The new steps and milestones of the countries needs assessment are the following:

---

[1] This document is part of the countries needs assessment that is being undertaken by the UN-REDD Programme in collaboration with the Forest Carbon Partnership Facility – FCPF due June 2012. The document was written by Harrison Kojwang (leading consultant), Gisela Ulloa (consultant), Sheila Wertz-Kanounnikoff (consultant – advisor) and Lisen Runsten (FAO - facilitator) in consultation with the UN-REDD Policy Board working group for the countries needs assessment, including the UN-REDD Secretariat and the FCPF-FMT.

Start of assessment process	13 January 2012
Deliver draft methodology	20 February 2012
Present draft methodology to the Working Groups on country needs assessment and on the roadmap to reviewing the Global Programme budget	24 February 2012
Deliver draft methodology	1 March 2012
Present progress update and methodology at the eight Policy Board meeting in Paraguay and at the UN-REDD – FCPF Joint Meeting.	26 -27 March 2012
Conduct country visits	March-April 2012
Delivery preliminary draft report for comments of the working group	15 May 2012
Delivery of draft report	29 May 2012
Presentation of draft report at UN-REDD/FCPF workshop	June 2012

The final countries needs assessment document shall provide for:

(i) the development of a *methodology* for assessing country needs

(ii) *a desk assessment of countries needs* (supported by a remotely administered questionnaire and response matrix) for all 52 UN-REDD Programme and FCPF partner countries

(iii) An *in-depth CNA* in 6 selected UN-REDD Programme partner countries to directly get data and information to fill the response matrices and also seek responses to semi-structured interviews. In addition relevant data and information will be collected from those countries to augment what is already available in existing literature on REDD+.

It is important to note that although the Participants Committee of the FCPF has not yet made a final decision on the FCPF association with the UN-REDD countries needs assessment, the working group provided guidance to include the FCPF countries in the desk assessment and to have the methodology presented at the eighth UN-REDD Policy Board and at the UN-REDD and FCPF joint meeting.

2. Main assumptions

The present document provides a methodological framework for undertaking a Country Needs Assessment as described in the terms of reference provided for this purpose by the UN-REDD Programme (UN-REDD) and the Forest Carbon Partnership Facility of the World Bank (FCPF). It follows from the Technical Note which was developed by the team of consultants in January 2012 for consideration by the working group established by the UN-REDD Policy Board to oversee the proposed assessment. The working group is composed of representatives of the UN-REDD Policy Board and of the FCPF-FMT.

The methodology aims to allow the assessment of technical, institutional and financial needs of countries to complete phases I and II of REDD+, as outlined in the Cancun Agreements. It stems from an understanding that the overall goal of the Country Needs Assessment (CNA) is to identify **the technical, institutional and financial needs** of UN-REDD and FCPF partner countries to complete their REDD+ readiness phases (phases I and II) as defined by the UNFCCC COP decisions and thereby facilitate the alignment of the activities of UN-REDD and FCPF with the needs and priorities of their partner countries. With a view to fulfil this objective, the proposed methodology will adopt as reference the readiness components defined in the decisions of UNFCCC COP XVI and XVII, with additional elements added from the R-PP template (v. 6) and from the Support to National REDD+ Action – Global Programme of the UN-REDD.

The methodology described herein has made the following assumptions:

i.   All partner or participating countries in the FCPF or UN-REDD processes have access to and are familiar with the concept of REDD+ readiness, as defined or articulated under the components of readiness in the R-PP Template Version 6 and the Cancun Decisions (UNFCCC COP 16) and outcomes of COP 17 in Durban on REDD+ (SBSTA and LCA Reports).

ii. The original expressions of countries' readiness needs are contained in official documents such as REDD Programme Proposals (RPPs) of the FCPF, UN-REDD National Programme Documents (NPDs) and National Forest Programmes (NFPs) and others.

iii. In a number of cases the needs of countries may have changed since the latest versions of the national documents were prepared and the national programmes are currently undergoing various stages of implementation.

iv. The choice of 6 countries for in-depth needs assessments out of a total of 52 countries was made on the basis that it is a reasonable initial sample for yielding useful information on the context of readiness and complement and verify data and information from survey results and information drawn from the literature. Final findings of the country needs assessment and guidance by the UN-REDD Policy Board and by the FCPF Participants Committee, however, will guide the need for undertaking the in-depth assessment in a larger number of countries.

3. Methodology

The proposed CNA methodology is based on the main *REDD+ readiness components* as identified in the UNFCCC decisions, especially the Cancun Agreements (Decision 1/CP.16) and the draft COP 17 decision on REDD+ Safeguards and reference levels.

According to those decisions, countries aiming to implement REDD+ should develop a strategy or action plan, a national forest reference emission level and/or forest reference levels, a robust and transparent national forest monitoring system and a system to provide information on safeguards. Furthermore, countries are requested to address, inter alia, drivers of deforestation and forest degradation, land tenure, forest governance, gender considerations and a number of social and environmental safeguards. The readiness phase is defined as efforts for building capacities for development of national strategies or action plans, policies and measures and technology development and transfer that will allow the implementation of the strategy/action plan and achievement of measurable, reportable and verifiable results on REDD+.

The components of REDD+ as defined in the UNFCCC decisions are the basis for the FCPF and UN-REDD templates for national programmes and for the document Support to National REDD+ Action – Global Programme Framework Document. Hence for the purpose of this country needs assessment, for each of the broad components defined in the UNFCCC decisions key indicative capacity[2] needs were distilled from operational documents of the UN-REDD and FCPF (R-PP template (v.6), UN-REDD Strategy 2011-2015, UN-REDD Support to National REDD+ Action - Global Programme Framework Document), expert knowledge, independent literature (notably Brockhaus et al., 2011; Hoare et al., 2009; Herold 2009; Davis et al., 2009) and country strategy documents that directly include REDD+. Table I presents a summary of the components and subcomponents and the key capacities required are proposed as basis for this countries needs assessment. Table II presents the relationship between the readiness components defined by the methodology and the UNFCCC decisions, the R-PP v.6 and the UN-REDD Support to National REDD+ Action – Global Programme Framework Document.

Table 1: REDD+ Readiness components and capacities required

Component	Sub component	Capacity required/Readiness elements
1. National REDD Governance	1.1. Institutional capacity , coordination mechanism, and legal framework	a)Assessment of Institutional reforms, new institutional arrangements needed for REDD+ design /implementation
		b) Effective Institutions (with technical capacity, administrative authority, financial capabilities) to manage the drivers of deforestation and forest degradation for REDD+ (esp. forest and land use sectors)

---

[2] *Capacity* in this sense refers to three levels: i*) systemic* (e.g. policies, legislation) ii) *institutional* (sector agencies such as ministries, department, research & academic institutions and other bodies which may be involved in implementation etc.) and iii) *individual* (e.g. education and skills sets).

Component	Sub component	Capacity required/Readiness elements
		c) Identification of institutional strengthening requirements, for example to improve law enforcement capacities, MRV, among others.
		d) Effective coordination mechanism across ministries at political, technical and administrative levels
		e) Effective coordination mechanism with, civil society, indigenous peoples and productive sectors for REDD+ design and Implementation.
		f)Legal evaluation on how to integrate carbon rights, under the actual legislation, including coordination and consultation on how to assign carbon rights
	1.2.Benefit-sharing	a) Design/ Test Implementation of a transparent and accountable system to channel REDD+ benefits and income from REDD+
		b) Institutional Framework for benefit sharing system BSS
		c) National capacity to observe fiduciary standards for disbursement and reception of funds.
		d) Identification, assessment and use of prior and early experiences, including REDD+ demonstration activities to inform REDD+ strategy design/implementation
	1. 3. Consultation and Participation process (IPs, Civil society, other stakeholders)	a) Formal procedures for stakeholder consultations
		b) Capacity development and information supply to facilitate the participation of IPs, forest dependent communities and others, in the management of REDD+
		d) Formally recognized and applied mechanisms for conflict resolution under REDD+. (carbon rights, IP land tenure, others)
2. REDD+ strategy or action plan	2. 1. REDD+ Strategy Development and Options	a) Use of experiences in natural resources management, forest, agriculture at local, regional and national level to inform REDD+ strategy design /implementation.
		b) Assessment of drivers of land use change including drivers from outside the forest sector at national level and regional level.
		c) Systems to simulate and monitor impacts at national regional and local level for REDD+ policies,
		d): Analyses of REDD +scenarios and their possible impacts on GDP, Forest% GDP, Agriculture% GDP
		e) Costs assessment (opportunity and transaction costs) of REDD+ action at local, regional and National Level to inform Policy decision making

Component	Sub component	Capacity required/Readiness elements
		f) Identification, or assessment of major inconsistencies or conflicts between the objectives of the REDD+ strategy and policies and programs in other sectors (transport, agriculture, energy, mining), and ways to address them.
		g) Assessment of how existing laws, policies and practices incentivize deforestation and forest degradation processes
		h) Identify specific reform in legislation and policies that can be addressed in short term
		i) Identification on priority areas for pilots and mechanism testing
		j) Testing of specific REDD+ Strategy Options
	2.2 Multiple Benefits of forest and REDD+	a) Information systems on ecosystem based multiple benefits of forests and REDD+.
		b) Identification and selection of Natural Resource (NR) Accounting methods and other NR valuation systems
		c) Identification, assessment and prioritization of environmental services per region, per ecosystem, other, for REDD pilots programs
		d) Assessment to incorporate multiple benefits of forests in areas such as land use and spatial planning within national programs and REDD strategies
3. Social and Environmental Safeguards	3.1. Information on safeguards	a) Identification and understanding of key social, political economical, and environmental risks of REDD+ strategy options
		b) Frameworks to , monitor and manage the risks and impacts during the REDD+ strategy implementation phase.(e.g. policies, governance, co-benefits, participation)
		c) Assessment of key gender based risks and potential benefits, and opportunities of REDD-plus strategy options, implementation framework
4. Forest reference emission level and/or forest reference levels	4.1. Reference Emission Level / Reference Level	a) Data and knowledge on priority deforestation and forest degradation processes and drivers, associated GHG emissions, and methods for assessing their future developments.
		b) A methodology for estimating historic emissions and to estimate emission scenarios based on expected trends on the drivers of change
		c) Expertise in spatial and temporal analysis and modeling tools and a system for networking of institutions / organizations working in the area
5. Systems for National Forest	5.1. National monitoring framework	a) Capacity and/or compliance with national and international reporting systems (e.g. UNFCCC national communications, FAO FRA)

Component	Sub component	Capacity required/Readiness elements
Monitoring and Information on Safeguards	and capacities	b) Capacity and systems for estimating terrestrial carbon, its dynamics, related human-induced changes, leakage and monitoring approaches
	5.2 Design of monitoring system (Area change, accuracy, verification and reporting)	a) Agreement on definitions, monitoring goals, reference units and monitoring variables.
		b) Legally defined institutional arrangements with clarified competencies and technical capabilities.
		c) Capacity development plan to cover the priority data and information needs (e.g. cover change, carbon flows, co-benefits, opportunity costs and environmental risks).
		d) Review, consolidate and integrate the existing data and information (forest inventory, permanent sample plots, REDD+ demonstration activities)
		e) Capacity, systems and procedures to estimate carbon stocks according to chosen IPCC Tier levels and Carbon Pools and to monitor the changes
		f) System and capacity for statistical analysis and interpretation of data in a transparent manner, including the estimation of error
		g) Use of an Independent System to verify data and its interpretation
		h) Institutions or platforms ensuring public accessibility to data and information for transparency and the required capacity to run and maintain it.
	5.3 Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	a) A system for monitoring how safeguards are being addressed during the implementation of REDD-plus activities based on a practical methodology and tools.
		b) Identification of the scope and roles for stakeholders and government agencies in the design and implementation of safeguards.
		c) Identification of the capacity needed in design and implementation of safeguards.
		d) Coordination of the information system for safeguard with monitoring for other needs.
		e) Identification of mechanisms for establishing independent monitoring and review that allows the effective and appropriate participation of civil society, indigenous peoples, forest dwellers, and other stakeholders.
6.Transition to a development framework with REDD+ (green economy)	6.1.Transition to a development framework with REDD+ (green economy)	a) Development of national roadmaps to identify what kinds of investments and strategies are needed to integrate REDD+ in development frameworks.
		b) Protocols for integrated land use planning and decision-making to allow the integration of economic, biophysical and social information, by using multi-criteria decision making tools

Component	Sub component	Capacity required/Readiness elements
		c) Capacity to develop integrated visions and reach out to other sectors such as planning and finance to prioritize investment and public spending to promote more sustainable development options
		d) Strengthening policies so that they are pro-poor. Capacity to develop better indicators to guide investments; such as 'GDP of the Poor'.
		e) Case studies and comparison with probable impacts of 'business as usual' investment practices and trajectories and those with green economy options in pilot districts (such as agro forestry, more efficient processing of timber, REDD+ projects, payments for environmental services).

Table 2: Comparisons of the structure of the assessment matrix with existing frameworks[3]

Elements of readiness from Cancun and Durban UNFCCC COP decisions	R-PP (version 6)	Proposed Response Matrix	UN-REDD Support to National REDD+ Action - Global Programme Framework Document work areas
	1. Organize and Consult	1. National REDD Governance	
	1.a. National Readiness Management Arrangements	1.1. Institutional capacity , coordination mechanism, and legal framework	2. National REDD+ governance
	1.b. Information Sharing and Early Dialogue with Key Stakeholder Groups	*(not directly related to readiness needs)*	
	1.c. Consultation and Participation Process	1. 3 Consultation and Participation process (IPs, Civil society, other stakeholders)	4. Engagement of Indigenous Peoples (IP), civil society and other stakeholders
National strategy or action plan	2. Prepare the REDD-plus Strategy	2. REDD+ strategy or action plan	

---

[3] The numbering reflects how the matrix is organized. Column 4 shows how the different components relate to the UN-REDD Support to National REDD+ Action - Global Programme Framework Document work areas.

Elements of readiness from Cancun and Durban UNFCCC COP decisions	R-PP (version 6)	Proposed Response Matrix	UN-REDD Support to National REDD+ Action - Global Programme Framework Document work areas
	2.a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	2. REDD+ strategy or action plan	
	2.b. REDD-plus Strategy Options	1.2 Benefit sharing	3. Transparent, equitable and accountable management of REDD+ funds
	2.c. REDD-plus Implementation Framework	1. National REDD Governance	
	2.d. Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation	2.2 Multiple Benefits of forest and REDD+	5. Ensuring multiple benefits of forests and REDD+
National forest reference emission level and/or forest reference levels	3. Develop a National Forest Reference Emission Level and/or a Forest Reference Level	4. Forest reference emission level and/or forest reference levels 4.1. Reference Emission Level / Reference Level	
	4. Design Systems for National Forest Monitoring and Information on Safeguards	3. Social and Environmental Safeguards 3.1. System for providing Information on safeguards	1. Measurement, reporting and verification (MRV) and monitoring
Robust, transparent national forest monitoring system	4.a. National Forest Monitoring System	5. Systems for National Forest Monitoring and Information on Safeguards 5.1. Assessment of existing national monitoring framework and capacities 5.2 Design of monitoring system (Area change, accuracy, verification and reporting)	

Elements of readiness from Cancun and Durban UNFCCC COP decisions	R-PP (version 6)	Proposed Response Matrix	UN-REDD Support to National REDD+ Action - Global Programme Framework Document work areas
System for providing information on safeguards	4.b. Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	5. Systems for National Forest Monitoring and Information on Safeguards 5.1. Assessment of existing national monitoring framework and capacities 5.2 Design of monitoring system (Area change, accuracy, verification and reporting)	
		6.Transition to a development framework with REDD+ (green economy)	6. REDD+ as a catalyst for transformations to a green economy

The comprehensive list of required capacities aims to allow countries to reflect on all necessary steps to have a readiness component fulfilled and ensure that the needs identified by this assessment are informed by a consistent understanding of the elements of readiness.

The identified readiness components and indicative capacity needs were used to develop a response matrix and accompanying questionnaire with the objective to systematically collect and organize the countries' stated needs to reach the capacity required for readiness under each readiness component. Responses are expected to vary depending on the country specific context, and the matrix allows for responses to reflect local circumstances. Respondents will be asked to fill in the matrix as precisely as possible, one component at a time. *The ultimate purpose of the matrix will be to i) summarize the needs in a tabular format for easy reference ii) rank the needs under each component in order of preference and urgency iii) clearly identify who is targeted or is the beneficiary of support if and when the need is addressed and v) facilitate aggregations and comparisons of responses to each component across many countries.* The response matrix is in Annex III.

Having defined the overarching framework that will guide the countries needs assessment, the methodological approach proposed here consists of five main steps:

i. Administration of the matrix and questionnaire as a 'remote survey' to the UN-REDD and FCPF REDD+ countries.
ii. Semi-structured interviews and focus group discussions with six partner countries of the UN-REDD to enrich the assessment with a detailed context and rationale regarding the readiness

process in countries at different stages of readiness and with different circumstances. The six selected countries will respect a regional balance approach, being two from each region (Latin America, Africa and Asia-Pacific).

iii. Administration of a complementary questionnaire for enabling the six countries to be visited to further express key aspects of their current status in REDD+ readiness and perspectives on their priority needs for further progress[4].

iv. A review of literature and use of expert knowledge to develop the core products to guide the assessment; a matrix and questionnaire to facilitate data collection.

v. A literature review based on available publications to compile background data for the assessment, complementing the information collected from the survey and country visits.

vi. Analysis of collected data which will entail the collation of data and information, interpretation and grouping of needs, and the formulation of recommendations derived from the stated needs

These steps above are further explained in the sections below. A guide to the survey assessment can be found in Annex I.

Since one of the objectives of this exercise is to develop a framework for future needs assessments, this consultancy will also serve to test the proposed approach and methodology providing insights on how to refine it for future applications.

3.1 The CNA data collection process will consist of 3 main steps:*3.1.1. Remote Survey of Country Needs for REDD+ readiness:*

A remote survey will be administered to focal points in all 52 countries, using the response matrix, a short questionnaire and an accompanying guiding document to facilitate the filling of the matrix. The results of this study will be presented in a narrative format and also in the form of tabulated summaries of data and graphs to describe and depict the needs of countries. In describing those needs, information that has been published in country documents such as RPPs, NPDs and other reports will be taken into account and appropriately referenced.

3.1.2 In-depth CNA:

For the in-depth country assessments, the work will be coordinated with the country governments and the teams from the UN-REDD and FCPF. The assessment will benefit from inputs from key country actors, including government officials, the countries' REDD+ technical committees, if existing, and from other stakeholders. The matrix and questionnaire will be used as tools to guide the discussions. The country visits, for in-depth assessments in six out of a total of fifty two partner countries will bring clarity and even different perspectives or nuances on readiness needs, the rationale behind them and more details on why they prefer certain mechanisms of support to others. The sample of six countries will therefore primarily serve to complement and give context to the information extracted in the remote survey and literature review processes. The data collected during the semi-structured expert interviews

---

[4] The questionnaire is in Annex II

will be analyzed and compared with data collected from documentation such as REDD+ action plans (R-PP/NPD), official statistics and other literature.

Completing the matrix in an interview or focus group setting will facilitate responses that include the context in which they are given, which should serve to improve the understanding of how, when and where support is most needed or is most effective.

The countries selected for in-depth assessment will also respond a questionnaire that is linked to the matrix

The key rationale for in-country visits is that i) it enables stakeholders who may not be privy to the filling of matrices, to speak directly with the consultants ii) enables direct and detailed follow up questions that may be necessary to reveal and articulate the context of needs in ways that a remote questionnaire or response matrix cannot iii) offers stakeholders an opportunity to speak freely and frankly to an independent person on matters that are important for them.

3.1.3 Literature review:

This methodology has been informed by literature as well as the expertise of the consultants. Key sources include particularly those that were aimed at assessing and/or meeting the capacity needs of countries, including financial needs. Examples of some of the literature that has been reviewed to prepare the methodology and conceptual framework for this needs assessment, are briefly described below.

i. *The UN-REDD Framework Document of 2008* provided guidance on the priorities of UN-REDD and its Global Programme

ii. *The R-PP Template Document, Version 6 of 2011* provided the latest thinking of UN-REDD and FCPF to guide countries in their readiness process, capacities required and what is considered 'good practice' in the application of such capacities. The R-PP template and the UN-REDD Framework Document of 2008 are considered crucial documents which will be appended to the final report as the main guiding documents that are also recognized by participating countries.

iii. *Experiences of the FCPF-TAP* with regard to the preparation of R-PPs shed some light on some capacity issues on REDD+ readiness from the perspective of independent reviewers. Their views on capacity needs and other aspects in the readiness process will provide further points of reference in compiling the final report.

iv. *Since the response matrix and the current discourse on readiness emphasizes the issues of required capacity,* this needs assessment has adopted the *UNDP Definition of Capacity,* expressed in three levels namely; systemic, institutional and individual capacities (Bellamy et al, 2010).

v. *Studies on readiness issues such as MRV Capacity and others (Broakhouse et al 2011, Herold 2009, Hoare et al, 2008,* Davis et al 2009) were consulted in defining the capacity required as contained in Tables 1, 2 and 4.

vi.   *Report on financial needs (Simula 2010)* also provided useful insights into the analysis of financial needs and some of its outputs will be incorporated into the literature review section in the final report.

vii. The information and data collected from countries through visits, remotely administered questionnaires and literature will be collated in a final report to provide an overview of perceptions on country REDD+ readiness needs.

3.2 Justification for the proposed approach

As already stated herein, this assessment will use a combination of literature surveys and questionnaires for direct country responses, which will be analyzed and compared with what is already in the published literature. It is therefore important to note that a few studies that are relevant to the terms of reference of this assessment have been studied by the Consultants Team and used to construct the response matrix. Of these, examples such as the report by Herold (2009) and Simula (2010) addressed capacity for Monitoring, Reporting and Verification (MRV) and Analysis of REDD+ Financing Needs and Overlaps, respectively. Herold (2009) relied on reports submitted to UN bodies such as FAO and UNFCC and also the World Bank to extract data and information on existing capacity to the monitor and analyze data on changes in forest cover, and also estimate greenhouse gas emissions that are associated with such changes. Simula (2010) also relied heavily on literature, particularly on documents such as FCPF RPPs, UN-REDD NDPs and a REDD+ financing and activities survey report, that was prepared for the Intergovernmental Task Force for the May 2010 Oslo Climate and Forest Conference.

While clearly rational and understandable, it is instructive to note, that these two reports among others, have not used questionnaires or directly interviewed country respondents, such as focal points, to seek more clarity and justification on readiness needs which may be stated in the existing literature. This assessment, by combining literature surveys and direct questions to countries, should enrich and add to the knowledge and information that already exists and should reveal more interesting insights into how the identified needs should be addressed. Clearly, the context of the needs, and the desired mechanisms to address them, are aspects that are not easy to glean from the current published literature. This is the proposed value-added of the approach proposed in this document.

4. Country selection

Countries have been identified for the in-depth analysis according to a set of criteria to ensure a diverse representation of regions and various stages of progress toward readiness. *The six countries chosen for in-depth assessments are not targeted for increased future support (the countries needs assessment will inform programming by the UN-REDD and the FCPF but not pre-empt the allocation of resources of those initiatives), but have been chosen to represent various stages of development toward REDD+ readiness, and as a result, are expected to provide various lessons learnt and depict a variety of needs that is representative of the 52 countries which are members of FCPF, the UN-REDD or both*. The key criteria for their selection were:

- Regional representation (Africa, Asia, Latin America)

- Extent of participation in multilateral REDD+ processes (UN-REDD, FCPF, FIP)

- Readiness stage (no strategy, draft strategy, strategy adopted)

- Readiness finance attained so far

- Forest type (humid forest, dry forest)

The consultants proposed the countries to be part of the in-depth assessment to the working group approval. Six countries were selected and approved by the working group, guided by the criteria above (see Table 3): i) Tanzania and Democratic Republic of the Congo (Africa), ii) Papua New Guinea and Cambodia (Asia) and iii) Ecuador and Panama (Latin America).

It is important to highlight that the choice of countries that will finally be selected for in-depth assessments through visits will ultimately also depend on their willingness to participate, the availability of key country actors within the given the timetable for the CNA exercise, and any other circumstances that could impede such an assessment. In case those countries cannot be confirmed the consultants will submit alternative countries for approval of the working group.

5. Timeline

The country needs assessment timeline was adjusted to face delays in the administrative procedures and the complexity of the assessment. In order to provide a robust framework developed in inclusive and participatory manner the deadlines proposed at the original terms of reference were extended. The milestones in the development of the CNA also reflect the collaboration between the UN-REDD and the FCPF as below:

- January/February 2012: Development of the methodology

- March 2012: Presentation of methodology to the UN-REDD Policy Board and to the FCPF Participants Committee; Start piloting of matrix and questionnaire in some of the countries selected for the in-depth assessment; Refinement of methodology upon comments by the UN-REDD Policy Board and the FCPF Participants Committee.

- April 2012: Distribution of matrix to all 52 countries and visits to countries selected to in-depth assessment; Literature review.

- May 2012: Compilation of data; Findings and conclusions; Draft final report.

- June 2012: Presentation of draft report to the UN-REDD Policy Board and to the FCPF Participants Committee.

6. References

Bellamy, Jean-Joseph and Kevin Hill, 2010, "Monitoring Guidelines of Capacity Development in Global Environment Facility Projects", Global Support Programme, Bureau for Development Policy, United Nations Development Programme, New York, USA.

Brockhaus M., Wertz-Kanounnikoff S., and M. Di Gregorio, 2011, Guide for Country Profiles, Global Comparative Study on REDD (GCS-REDD) - Component 1 on National REDD+ Policies and Processes, Unpublished Project Document, CIFOR, Bogor.

Davis C., Daviet F., Nakhooda S., and A. Thuault, 2009, A Review of 25 Readiness Plan Idea Notes from the World Bank Forest Carbon Partnership Facility, WRI Working Paper, World Resources Institute, Washington D.C.

FCPF, 2011, Readiness Package Content and Assessment Approach, Concept Note – Draft for Feedback, Forest Carbon Partnership Facility. March 2012. http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/FMT%20Note%202011-14%20Readiness%20Package%20Concept.pdf

FCPF 2011, Forest Carbon Partnership Facility 2011 Annual Report. 2 March 2012. http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Oct2011/FCPF_Carbon_AR_FINAL_10_3.pdf

FCPF, 2011, First Program Evaluation for the Forest Carbon Partnership Facility (FCPF) (Evaluation Report) 2 March 2012.   http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/PDF/Jun2011/5.%20Final%20FCPF_EVALUATION_REPORT_June%2013th.pdf

FCPF, R-PP Template, 6th Version.   http://www.forestcarbonpartnership.org/fcp/sites/forestcarbonpartnership.org/files/Documents/DOC/FCPF%20UNREDD%20R-PP%20Template%20and%20Guidelines%20-%20Version%206%20-%20Nov%2023%202011%20final.docx

Government of the Dominican Republic, 2011. A journey to sustainable growth. The draft Climate – Compatible Development Plan of the Dominican Republic.

Government of Papua New Guinea, Office of Climate Change and Development, 2010. Interim Action Plan for Climate-Compatible Development - Document for public consultation. 2 March 2012.   http://www.actnowpng.org/sites/default/files/Interim%20Action%20Plan,%20August%202010.pdf

Grupo Permanente de Trabalho Interministerial para a reducao dos índices de desmatamento da amazonia legal, 2004, Plano de ação para a prevenção e controle do desmatamento na Amazônia legal http://protocolodemontreal.org.br/eficiente/repositorio/publicacoes/682.pdf

Herold M., 2009, An assessment of national forest monitoring capabilities in tropical non-Annex I countries: Recommendations for capacity building, F. -Schiller Universitat Jena & GOFC-GOLD.

Hoare A., Legge T., Nussbaum R., and J. Saunders, 2008, Estimating the costs of building capacity in rainforest nations to allow them to participate in a global REDD mechanism. Chatham House and ProForest, UK.

Ministério do Meio Ambiente, Brazil, 2011, Documento-síntese com subsídios de múltiplos atores para a preparação de uma Estratégia Nacional.
http://protocolodemontreal.org.br/eficiente/repositorio/publicacoes/682.pdf

Presidência da República Casa Civil Subchefia para Assuntos Jurídicos, 2010, Regulamenta os arts. 6o, 11 e 12 da Lei no 12.187, de 29 de dezembro de 2009, que institui a Política Nacional sobre Mudança do Clima - PNMC, e dá outras providências. Decreto Nº 7.390, de 9 de dezembro de 2010,
http://www.planalto.gov.br/ccivil_03/_Ato2007-2010/2010/Decreto/D7390.htm.

Synthesis Report: REDD+ Financing and Activities Survey, prepared by an intergovernmental taskforce, 2010.

M. Simula 2010. Analysis of REDD+ Financing Gaps and Overlaps (Ardot, 30 December 2010; prepared on commission by the REDD+ Partnership)

UNEP, 2011, Towards a Green Economy: Pathways to Sustainable Development and Poverty Eradication, www.unep.org/greeneconomy

UN-REDD Programme (Draft for discussion December 2009). Country – Led Governance Assessments 2010 – 2015. A safer bet for REDD+: Review of the evidence on the relationship between biodiversity and the resilience of forest carbon stocks. UN-REDD Programme. (Working paper: 27 October 2010 (v.2))

UN-REDD Programme. Support to National REDD+ Action, Global Programme Framework 2011-2015.

UN-REDD Programme. Scope of work - Towards a "Governance MRV Framework".

World Resources Institute (WRI) Getting Ready with Forest Governance: A Review of the World Bank Forest Carbon Partnership Facility Readiness Preparation Proposals and the UN-REDD National Programme Documents (Working Paper)

Table 3: Proposed selection of countries for the first in-depth assessments

Criterion for selection of countries for in-depth assessment	UN-REDD partner countries that have submitted a NPD/R-PP. Some countries are also members of FCPF														FCPF selected countries			
	Bolivia	Cambodia	The DRC	Ecuador	Indonesia	Nigeria	Panama	Papua NG	Paraguay	Philippines	Solomon Is	Tanzania	Vietnam	Zambia	Costa Rica	Mexico	Ghana	Guyana
1. Regional Balance (equal representation of Africa, Asia & L America)																		
2. Partner countries of • UN-REDD OR FCPF = 1 • UN-REDD AND FCPF = 2 • FCPF AND FIP = 3 • UN-REDD AND FCPF AND FIP = 4	2	2	4	1	4	1	2	2	2	1	1	2	2	1	1	3	3	2
3. Development status with respect to REDD+ processes • Not yet signed NP nor submitted final R-PP = 1 • Signed NP OR submitted final R-PP = 2 • Signed NP, NP in implementation & submitted final R-PP = 3	2	2	3	1	3	1	2	2	1	1	2	2	2	2	1	1	1	1
4. Country size (area under forest cover) 1-3 • 150 000 000 – 230 000 000 ha= 3 • 40 000 000 – 150 000 000 ha = 2 • 5 000 000 – 40 000 000 ha =1	1	1	3	1	3	1	1	2	1	1	1	2	1	2	1	3	1	1
5. Forest Type (ensuring a mix between humid tropical with other forest types) • Mostly humid = 1 • Mostly dry = 2 • Sub-humid-dry forest =3	3	3	3	1	1	3	1	1	2	3	1	2	3	2	1	3	3	1
6. REDD+ funds received • Receiving funding from either FCPF or UN-REDD = 1 • Receiving funding from several multilaterals = 2 • Receiving large additional bilateral funding = 3	1	2	3	1	3	1	2	1	1	1	1	3	3	1	1	3	1	1
7. Country development status • LDC = 3, • Developing economy = 2 • Industrialized economy = 1	2	2	3	2	2	2	2	2	2	2	3	3	2	3	2	1	2	2
Suggested selection		✓	✓	✓			✓	✓				✓						

Table 4: Overview of UN-REDD partner countries (42) and FCPF REDD+ countries (37). Total number of countries in the table is 52. Columns 2 and 4 indicate which countries have submitted a NPD/R-PP. Countries indicated in red have submitted NPD/R-PP to both UN-REDD and FCPF. Marked blue are UN-REDD partner countries that have submitted an R-PP but do not have a national programme under UN-REDD.

	UN-REDD partner countries with national programmes, that have submitted an NPD/R-PP	UN-REDD partner countries without National Programmes	FCPF REDD+ countries that have submitted an R-PP	FCPF REDD+ countries that have not yet submitted an R-PP
1	Bolivia*	Argentina	Argentina	Bolivia
2	Cambodia	Bangladesh	Cambodia	Cameroon
3	Democratic Republic of the Congo (DRC)*	Benin	Central African Republic	Chile
4	Ecuador	Bhutan	Colombia	El Salvador
5	Indonesia*	Cameroon	Costa Rica	Equatorial Guinea
6	Nigeria	Central African Republic	Democratic Republic of the Congo (DRC)	Gabon
7	Panama*	Chile	Ethiopia	Honduras
8	Papua New Guinea*	Colombia	Ghana	Papua New Guinea
9	Paraguay	Côte d'Ivoire	Guatemala	Paraguay
10	Philippines	Costa Rica	Guyana	Thailand
11	Solomon Islands*	Ethiopia	Honduras (draft)	Vanuatu
12	Tanzania*	Gabon	Indonesia	
13	Viet Nam*	Ghana	Kenya	
14		Guatemala	Lao PDR	
15		Guyana	Liberia	
16		Honduras	Madagascar (revised)	
17		Kenya	Mexico	
18		Mexico	Mozambique	
19		Mongolia	Nepal	
20		Myanmar	Nicaragua (draft)	
21		Nepal	Panama	
22		Pakistan	Peru	
23		Peru	Republic of Congo	
24		Republic of Congo	Suriname (draft)	
25	*Countries that have entered into the inception and implementation phase	Sri Lanka	Tanzania	
26		South Sudan	Uganda	
27		Sudan		

Annex I: GUIDE TO USING THE SUMMARY MATRIX ON UN-REDD /FCPF COUNTRY NEEDS ASSESSMENT

The matrix is to be considered a tool to assess and qualify the actual and future needs of your country for reaching REDD+ readiness. It is organized based on the components of the R-PP template (v.6), and includes elements from the Global Program areas as well as from country progress reports and national strategic elements.

The *capacity required/readiness element* is not an exhaustive list of capacities of REDD but a suggested list of core capacity elements. The first column of the matrix provides an option for you to list other capacities or specify better the capacity you need under each component and sub component.

Structure and filling the matrix

To fill the matrices, respondents are requested to follow the steps that are suggested below and also add more information if available and deemed necessary.

i.   Read carefully the indicative capacity elements under each component and sub-component.

ii.   Column 1 asks for the presence of a specified required capacity within a component and sub-component of REDD+ readiness. The required capacities under each component and sub-component are numbered by letters, and appear in rows running across columns 1 to 6 of the matrix. The respondents are requested to evaluate if the capacity suggested, fully describes their need or if further specifications are needed. The respondents may insert more rows in the table to accommodate additional specific needs/capacities that should be added to a particular component and subcomponent.

iii.   Column 2 asks the respondent to evaluate and suggest when and how urgently the need should be addressed.

iv. Column 3 asks the respondent to check the type of support needed (technical, administrative or financial). One or more of the options may be relevant, and further specification of the nature of the desired support should be provided if possible.

Component and sub-components

Capacities required

v. Column 4 asks the respondent to identify the preferred mechanism by which support could be delivered. Some alternatives are provided, but if they do not apply, respondents should specify the preferred mechanism.

vi. Column 5 asks the respondent for an estimation of the amount of money (USD) that would be needed meet the required capacity in a given component or sub-component. If there is no estimation available respondents may specify if further support is required to make estimate the financial needs in that regard.

vii. Column 6 asks for the identification of stakeholders who will targeted as beneficiaries of the support to develop, improve , increase and later apply a specific capacity for readiness

viii. After filling the whole matrix, respondents may identify and describe an issue of need that has not been considered, and add those in additional rows that they can create within the matrix or in supplementary notes, clearly referenced to the components and attached to the matrix.

Other useful information that countries could provide to the consultants:

- Any other information that may further clarify or motivate specific readiness needs
- Information on new policy developments with a bearing on REDD+.
- Latest information on local progress in REDD+ that has not been formally reported in formal reports and other literature.

Annex II: UNREDD / FCPF Country Needs Assessments
Overview Questions

Name of Country:
Date of Interviews (If applicable):
Interviewer (If applicable):

Objectives of the overview questions: *This section is meant to get the general views of respondents in any given country on their REDD+ Programme, Strategy or relevant Initiative and to create an atmosphere of interactive discussion and to get the specific country perspectives on some of the readiness issues. It is not meant to gather data that would be compared across countries. However some of the general answers could yield similarities of trends in thinking, expectations, concerns on current levels and types of support, challenges to overcome and so on.*

1. What do you consider to be the top five achievements (achievements relevant to the readiness categories in the RPP Template Version 6, or as agreed in Cancun) that you have attained so far with regards to REDD+ readiness?

2. What do you consider to be the top five needs or gaps (needed to meet the requirements implied in the readiness categories already defined) that should be addressed to bring your country closer to REDD+ readiness, and what support would you require in that regard? You may use a table as given below.

Need	Support required
1.	
2.	
3.	
4.	
5.	

3. Which mechanisms of support (the mode of delivery of support) have you appreciated or would you prefer going forward*? Provide examples to illustrate your response.*

4. Can you specify fulfill which of your needs can be more efficiently met through national or regional approaches?

5. In your country, outline and prioritize the areas where capacity development for REDD+ is needed among key stakeholder groups outside the government

6. Drawing from your own country's perspective, what is your understanding of, or expectations from the term REDD+ Readiness?

Annex III: The full response matrix for UN-REDD – FCPF Country Needs Assessment on REDD+ Readiness

Component: 1 National REDD Governance					
Sub component: 1.1. Institutional capacity , coordination mechanism, and legal framework					
Does your country have this capacity required?	**If your answer is 2 or 3, the support that the country needs to fulfil this is:**	**Specify the types of support needed and expand in each option**	**Mechanism by which the support could be delivered**	**Do you have an estimation of the funding required?**	**Beneficiary from the support**
a) Capacity required/Readiness Elements: Assessment of Institutional reforms and new institutional arrangements needed for REDD+ design /implementation.					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- --------------------------- ☐2= Administrative ------------------------------- ------------------------------- --------------------------- ☐3 = Technical ------------------------------- ------------------------------- ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ----------------------- -----------------------
b) Capacity required/Readiness Elements: Effective Institutions with technical capacity and administrative authority to manage the drivers of deforestation and forest degradation for REDD+ (esp. forest and land use sectors)					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- --------------------------- ☐2= Administrative ------------------------------- ------------------------------- ------------------------------- --------------------------- ☐3 = Technical ------------------------------- ------------------------------- ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option selected	Mechanism by which the support could be delivered		Do you have an estimation of the funding required?	Beneficiary from the support
c) Capacity required/Readiness Elements: Identification of institutional strengthening requirements, for example to improve law enforcement capacities, MRV, among others.						
☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------ ------------------------------	☐ 1= Financial ------------------------------ ------------------------------ --------------------------- ☐ 2= Administrative ------------------------------ ------------------------------ --------------------------- ☐ 3 = Technical ------------------------------ ------------------------------ ---------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		☐ 1=Yes, provide the estimation ---------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------
d) Capacity required/Readiness Elements: Effective coordination mechanism across ministries at political, technical and administrative levels						
☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------ ------------------------------	☐ 1= Financial ------------------------------ ------------------------------ --------------------------- ☐ 2= Administrative ------------------------------ ------------------------------ --------------------------- ☐ 3 = Technical ------------------------------ ------------------------------ ---------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		☐ 1=Yes, provide the estimation ---------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered		Do you have an estimation of the funding required?	Beneficiary from the support
e) Capacity required/Readiness Elements: Effective coordination mechanisms with, civil society, indigenous peoples and productive sectors for REDD+ design and Implementation.						
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:		☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------
		☐2= Administrative ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:			
		☐3 = Technical ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:			
f) Capacity required/Readiness Elements: Legal evaluation on how to integrate carbon rights, under the actual legislation, including coordination and consultation on how to assign carbon rights						
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:		☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------
		☐2= Administrative ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:			
		☐3 = Technical ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:			

Sub component:1.2.Benefit-sharing

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Design/ Test Implementation of a transparent and accountable system to channel REDD+ benefits and income from REDD+					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ------------------------------- ☐2= Administrative ------------------------------- ------------------------------- ------------------------------- ☐3 = Technical ------------------------------- ------------------------------- -------------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------
b) Capacity required/Readiness Elements: Institutional Framework for benefit sharing system BSS					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ------------------------------- ☐2= Administrative ------------------------------- ------------------------------- ------------------------------- ☐3 = Technical ------------------------------- ------------------------------- -------------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

c) Capacity required/Readiness Elements: National capacity to observe fiduciary standards for disbursement and reception of funds.

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 2= Administrative

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

d) Capacity required/Readiness Elements: Identification, assessment and use of prior experiences, including REDD+ demonstration activities to inform REDD+ strategy design/implementation

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 2= Administrative

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

Sub component: 1. 3. Consultation and Participation process (IPs, Civil society, other stakeholders)

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Formal procedures for stakeholder consultations					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐3 = Technical ------------------------------ ------------------------------ ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------
b) Capacity required/Readiness Elements: Capacity development and information dissemination to IPs, forest dependent communities and others, to ensure their informed participation in the management of REDD+					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐3 = Technical ------------------------------ ------------------------------ ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

c) Capacity required/Readiness Elements: Formally recognized and applied mechanisms for conflict resolution under REDD+. (carbon rights, IP land tenure, others)

☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ -------------------------- ☐2= Administrative ------------------------------ ------------------------------ -------------------------- ☐3 = Technical ------------------------------ ------------------------------ --------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

Component: 2. REDD+ strategy or action plan

Sub component: 2. 1. REDD+ Strategy Development and Options

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Use of experiences in natural resources management, forestry, agriculture at local, regional and national level to inform REDD+ strategy design /implementation.					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ -------------------------- ☐2= Administrative ------------------------------ ------------------------------ -------------------------- ☐3 = Technical ------------------------------ ------------------------------ --------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

b) Capacity required/Readiness Elements: Assessment of drivers of land use change, including drivers from outside the forest sector at national and regional levels.

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

----

c) Capacity required/Readiness Elements: Systems to simulate and monitor impacts at national regional and local level for REDD+ policies,

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

----

d) Capacity required/Readiness Elements: Analyses of REDD +scenarios and their possible impact on GDP, Forest% GDP, Agriculture% GDP

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

e) Capacity required/Readiness Elements: Cost assessments (opportunity and transaction costs) of REDD+ action at local, regional and National Level to inform Policy decision making

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

f) Capacity required/Readiness Elements: Identification, or assessment of major inconsistencies or conflicts between the objectives of the REDD+ strategy and policies and programs in other sectors (transport, agriculture, energy, mining), and ways to address them.

☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ -------------------------- ☐2= Administrative ------------------------------ ------------------------------ -------------------------- ☐3 = Technical ------------------------------ ------------------------------ --------------------------	☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

g) Capacity required/Readiness Elements: Assessment of how existing laws, policies and practices incentivize deforestation and forest degradation processes

☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ -------------------------- ☐2= Administrative ------------------------------ ------------------------------ -------------------------- ☐3 = Technical ------------------------------ ------------------------------ --------------------------	☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

h) Capacity required/Readiness Elements: Identify specific reform in legislation and policies that can be addressed in the short term

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

i) Capacity required/Readiness Elements: Identification on priority areas for pilots and testing of REDD+ strategy options

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

j) Capacity required/Readiness Elements: Testing of specific REDD+ Strategy Options

1= Yes 2 = No 3= Other If 3, specify ---------------------- ----------------------	1= Very urgent (in the next six months) 2= Moderately urgent (during the next year) 3= Not urgent (during the next year and a half) 4= Other If 4, specify ------------------------------- -------------------------------	1= Financial ------------------------------- ------------------------------- -------------------------- 2= Administrative ------------------------------- ------------------------------- -------------------------- 3 = Technical ------------------------------- ------------------------------- --------------------------	1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify: 1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify: 1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify:	1=Yes, provide the estimation ---------------------- 2=No 3= Require support to estimate the funding	1= IPs, 2=Civil Society 3= Government Institution 4=Others (specify) ---------------------- ----------------------

Sub component: 2.2 Multiple Benefits of forest and REDD+

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Information systems on ecosystem based multiple benefits of forests and REDD+.					
1= Yes 2 = No 3= Other If 3, specify ---------------------- ----------------------	1= Very urgent (in the next six months) 2= Moderately urgent (during the next year) 3= Not urgent (during the next year and a half) 4= Other If 4, specify ------------------------------- -------------------------------	1= Financial ------------------------------- ------------------------------- -------------------------- 2= Administrative ------------------------------- ------------------------------- -------------------------- 3 = Technical ------------------------------- ------------------------------- --------------------------	1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify: 1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify: 1= Specific expertise 2= Guidelines 3= Workshop 4= Direct funding 5= Other Specify:	1=Yes, provide the estimation ---------------------- 2=No 3= Require support to estimate the funding	1= IPs, 2=Civil Society 3= Government Institution 4=Others (specify) ---------------------- ----------------------

b) Capacity required/Readiness Elements: Identification and selection of Natural Resource (NR) accounting methods and other NR valuation systems.

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop
☐ 5= Other
Specify:
☐ 4= Direct funding

☐ 2= Administrative

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

c) Capacity required/Readiness Elements: Identification, assessment and prioritization of environmental services per region, ecosystem and others for REDD pilot programs

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop
☐ 5= Other
Specify:
☐ 4= Direct funding

☐ 2= Administrative

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

d) Capacity required/Readiness Elements: Assessment to incorporate multiple benefits of forests in areas such as land use and spatial planning within national programs and REDD strategies

☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ---------------------------- ☐2= Administrative ------------------------------- ------------------------------- ---------------------------- ☐3 = Technical ------------------------------- ------------------------------- ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

Component: 3. Social and Environmental Safeguards

Sub Component: 3.1. Information on safeguards

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Identification and understanding of key social, political, economical and environmental risks of REDD+ strategy options					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ---------------------------- ☐2= Administrative ------------------------------- ------------------------------- ---------------------------- ☐3 = Technical ------------------------------- ------------------------------- ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

b) Capacity required/Readiness Elements: Frameworks to , monitor and manage the risks and impacts during the REDD+ strategy implementation phase.(e.g. policies, governance, co-benefits, participation)

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

----

c) Capacity required/Readiness Elements: Assessment of key gender based risks and potential benefits, and opportunities of REDD-plus strategy options, implementation framework

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

Component: 4. Forest reference emission level and/or forest reference levels

Sub component: 4.1. Reference Emission Level / Reference Level

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support

a) Capacity required/Readiness Elements: Data and knowledge on priority deforestation and forest degradation processes and drivers, associated GHG emissions, and methods for assessing their future developments.

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐3 = Technical ------------------------------ ------------------------------ ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

b) Capacity required/Readiness Elements: A methodology for estimating historic emissions and to estimate emission scenarios based on expected trends on the drivers of change

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐3 = Technical ------------------------------ ------------------------------ ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

c) Capacity required/Readiness Elements: Expertise in spatial and temporal analysis and modeling tools.

☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ --------------------------- ☐3 = Technical ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

Component: 5. Systems for National Forest Monitoring and Information on Safeguards

Sub component: 5.1. National monitoring framework and/or capacities

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) **Capacity required/Readiness Elements: Capacity and/or compliance with national and international reporting systems (e.g. UNFCCC national communications, FAO FRA)**					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------ ------------------------------ ---------------------------- ☐2= Administrative ------------------------------ ------------------------------ --------------------------- ☐3 = Technical ------------------------------ ------------------------------ ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

b) Capacity required/Readiness Elements: Capacity and systems for estimating terrestrial carbon, its dynamics, related human-induced changes, leakage, reversals and monitoring approaches

☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------- -------------------------------	☐ 1= Financial ------------------------------ ------------------------------ ---------------------------- ☐ 2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐ 3 = Technical ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐ 1=Yes, provide the estimation ---------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------

Sub component: 5.2 Design of monitoring system (forest area change, accuracy, verification and reporting)

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Agreement on definitions, monitoring goals, reference units and monitoring variables					
☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------- -------------------------------	☐ 1= Financial ------------------------------ ------------------------------ ---------------------------- ☐ 2= Administrative ------------------------------ ------------------------------ ---------------------------- ☐ 3 = Technical ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify: ☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐ 1=Yes, provide the estimation ---------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------

b) Capacity required/Readiness Elements: Legally defined institutional arrangements with clarified competencies and technical capabilities

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

c) Capacity required/Readiness Elements: Capacity development plan to cover the priority data and information needs (e.g. cover change, carbon flows, co-benefits, opportunity costs and environmental risks).

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

d) Capacity required/Readiness Elements: Review, consolidate and integrate the existing data and information (forest inventory, permanent sample plots, REDD+ demonstration activities)

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

e) Capacity required/Readiness Elements: Capacity, systems and procedures to estimate carbon stocks according to chosen IPCC Tier levels and Carbon Pools and to monitor the changes

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

f) Capacity required/Readiness Elements: System and capacity for statistical analysis and interpretation of data in a transparent manner, including the estimation of error

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

g) Capacity required/Readiness Elements: Use of an Independent System to verify data and its interpretation

☐ 1= Yes
☐ 2 = No
☐ 3= Other
If 3, specify

☐ 1= Very urgent (in the next six months)
☐ 2= Moderately urgent (during the next year)
☐ 3= Not urgent (during the next year and a half)
☐ 4= Other
If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop ☐ 4= Direct funding
☐ 5= Other
Specify:

☐ 1=Yes, provide the estimation

☐ 2=No
☐ 3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐ 4=Others (specify)

----

h) Capacity required/Readiness Elements: Institutions or platforms ensuring public accessibility to data and information for transparency and the required capacity to run and maintain it

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ---------------------------- ☐2= Administrative ------------------------------- ------------------------------- ---------------------------- ☐3 = Technical ------------------------------- ------------------------------- ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

Sub Component: 5.3 Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered	Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: A system for monitoring how safeguards are being addressed during the implementation of REDD-plus activities based on a practical methodology and tools.					
☐1= Yes ☐2 = No ☐3= Other If 3, specify ---------------------- ----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- ---------------------------- ☐2= Administrative ------------------------------- ------------------------------- ---------------------------- ☐3 = Technical ------------------------------- ------------------------------- ----------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:	☐1=Yes, provide the estimation ----------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ---------------------- ----------------------

b) Capacity required/Readiness Elements: Identification of the scope and roles for stakeholders and government agencies in the design and implementation of safeguards.

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

----

c) Capacity required/Readiness Elements: Identification of the capacity needed in design and implementation of safeguards

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1= Specific expertise ☐2= Guidelines
☐3= Workshop ☐4= Direct funding
☐5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐1= IPs,
☐2=Civil Society
☐3= Government Institution
☐4=Others (specify)

----

d) Capacity required/Readiness Elements: Coordination of the information system for safeguards with monitoring for other needs.

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐4=Others (specify)

----

e) Capacity required/Readiness Elements: Identification of mechanisms for establishing independent monitoring and review that allows the effective and appropriate participation of civil society, indigenous peoples , forest dwellers, and other stakeholders.

☐1= Yes
☐2 = No
☐3= Other
If 3, specify

☐1= Very urgent (in the next six months)
☐2= Moderately urgent (during the next year)
☐3= Not urgent (during the next year and a half)
☐4= Other
If 4, specify

☐1= Financial

☐2= Administrative

☐3 = Technical

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1= Specific expertise ☐ 2= Guidelines
☐ 3= Workshop   ☐ 4= Direct funding
☐ 5= Other
Specify:

☐1=Yes, provide the estimation

☐2=No
☐3= Require support to estimate the funding

☐ 1= IPs,
☐ 2=Civil Society
☐ 3= Government Institution
☐4=Others (specify)

Component 6.Transition to a development framework with REDD+ (green economy)

Does your country have this capacity required?	If your answer is 2 or 3, the support that the country needs to fulfil this is:	Specify the types of support needed and expand in each option	Mechanism by which the support could be delivered		Do you have an estimation of the funding required?	Beneficiary from the support
a) Capacity required/Readiness Elements: Development of national roadmaps to identify what kinds of investments and strategies are needed to integrate REDD+ in development frameworks.						
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- --------------------------- ☐2= Administrative ------------------------------- ------------------------------- --------------------------- ☐3 = Technical ------------------------------- ------------------------------- ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:		☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------
b) Capacity required/Readiness Elements: Protocols for integrated land use planning and decision-making to allow the integration of economic, biophysical and social information, by using multi-criteria decision making tools						
☐1= Yes ☐2 = No ☐3= Other If 3, specify ----------------------- -----------------------	☐1= Very urgent (in the next six months) ☐2= Moderately urgent (during the next year) ☐3= Not urgent (during the next year and a half) ☐4= Other If 4, specify ------------------------------- -------------------------------	☐1= Financial ------------------------------- ------------------------------- --------------------------- ☐2= Administrative ------------------------------- ------------------------------- --------------------------- ☐3 = Technical ------------------------------- ------------------------------- ---------------------------	☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify: ☐1= Specific expertise ☐2= Guidelines ☐3= Workshop ☐4= Direct funding ☐5= Other Specify:		☐1=Yes, provide the estimation ---------------------- ☐2=No ☐3= Require support to estimate the funding	☐1= IPs, ☐2=Civil Society ☐3= Government Institution ☐4=Others (specify) ----------------------- -----------------------

c) Capacity required/Readiness Elements: Capacity to develop integrated visions and reach out to other sectors such as planning and finance to prioritize investment and public spending to promote more sustainable development options

☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------ ------------------------------	☐ 1= Financial ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐ 1=Yes, provide the estimation ----------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------
		☐ 2= Administrative ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		
		☐ 3 = Technical ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		

d) Capacity required/Readiness Elements: Strengthening policies so that they are pro-poor. Capacity to develop better indicators to guide investments; such as 'GDP of the Poor'.

☐ 1= Yes ☐ 2 = No ☐ 3= Other If 3, specify ---------------------- ----------------------	☐ 1= Very urgent (in the next six months) ☐ 2= Moderately urgent (during the next year) ☐ 3= Not urgent (during the next year and a half) ☐ 4= Other If 4, specify ------------------------------ ------------------------------	☐ 1= Financial ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:	☐ 1=Yes, provide the estimation ----------------------- ☐ 2=No ☐ 3= Require support to estimate the funding	☐ 1= IPs, ☐ 2=Civil Society ☐ 3= Government Institution ☐ 4=Others (specify) ---------------------- ----------------------
		☐ 2= Administrative ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		
		☐ 3 = Technical ------------------------------ ------------------------------ ----------------------------	☐ 1= Specific expertise ☐ 2= Guidelines ☐ 3= Workshop ☐ 4= Direct funding ☐ 5= Other Specify:		

e) Capacity required/Readiness Elements: Case studies and comparison with probable impacts of 'business as usual' investment practices and trajectories and those with green economy options in pilot districts (such as agro forestry, more efficient processing of timber, REDD+ projects, payments for environmental services).

☐ 1= Yes

☐ 2 = No

☐ 3= Other

If 3, specify

☐ 1= Very urgent (in the next six months)

☐ 2= Moderately urgent (during the next year)

☐ 3= Not urgent (during the next year and a half)

☐ 4= Other

If 4, specify

☐ 1= Financial

☐ 2= Administrative

☐ 3 = Technical

☐ 1= Specific expertise   ☐ 2= Guidelines

☐ 3= Workshop   ☐ 4= Direct funding

☐ 5= Other

Specify:

☐ 1= Specific expertise   ☐ 2= Guidelines

☐ 3= Workshop   ☐ 4= Direct funding

☐ 5= Other

Specify:

☐ 1= Specific expertise   ☐ 2= Guidelines

☐ 3= Workshop   ☐ 4= Direct funding

☐ 5= Other

Specify:

☐ 1=Yes, provide the estimation

☐ 2=No

☐ 3= Require support to estimate the funding

☐ 1= IPs,

☐ 2=Civil Society

☐ 3= Government Institution

☐ 4=Others (specify)