National Approaches to Safeguards

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REDD+ Beyond Carbon: Safeguards and Multiple Benefits
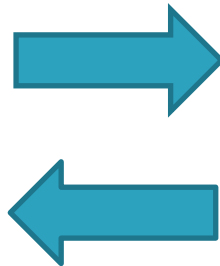13 November, 2012

Outline

- Core elements of a national approach to safeguards

- Key features of national approaches to safeguards

- Development of a national approach to safeguards

- UN-REDD Tools to Support National Approaches to Safeguards

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Core Elements of a National Approach to Safeguards

Institutions

| 1.Identification and development of relevant policies , laws and regulations (PLRs) to address/respect safeguards: either existing or those created for REDD+ | → ← | 2. Safeguard Information System (SIS): Existing or new indicators, methodologies for collecting information, and framework for provision of information |

Processes and Procedures

Safeguard Policies, Laws, Regulations (PLRs)

- Addressing and respecting the safeguards through the implementation of PLRs
  - Considering the specific potential REDD+ risks and benefits in the country

- Legal framework may not be required
  - E.g., national-level guidelines to promote/support a safeguard as opposed to a policy/law

- PLRs may already exist or need to be created
  - Depends on the country-defined objectives

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Safeguard Information Systems (SIS)

- Approach for collecting and providing information on how REDD+ safeguards are being addressed and respected throughout REDD+ implementation

- Likely components:
  - Indicators
  - Methodologies for collection of information (e.g., household surveys, participatory monitoring of biodiversity)
  - Framework for provision of information

- Should build on existing systems to the extent possible

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Development of a National approach to safeguards

- Not a fixed, linear path for design

- Will depend on what's in place and the objectives defined by that country

- Throughout the process, effective participation will be essential

Determining the Goals of the National Approach to Safeguards

- Clearly define what the safeguards approach is supposed to do:

    - interpreting what is contained in the UNFCCC decisions from the country perspective

    - consideration of the specific social and environmental risks as well as benefits that might be associated with REDD+ in the country

    - Responding to any other objectives identified by the country

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Developing Safeguard PLRs

- Gap analysis of existing country PLRs
  - consider what, if any, PLRs need to be in place to achieve the goals

- Determine the effectiveness of existing systems
  - Existing tools can be helpful to assess gaps

- Safeguard policy framework
  - Provides the basis for the country's response to UNFCCC and other requirements

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UN-REDD Tools to Support National Approaches to Safeguards (1)

Step	Detailed activities	UN-REDD tools	Explanation of how the tools can contribute to the activity
Objective setting	Defining goals of the country safeguards approach	• SEPC	Provides more detailed criteria that can be used to 'unpack' the Cancun safeguards
		• UN-REDD/FCPF Stakeholder Engagement Guidelines	Provides guidance on how participation of stakeholders can be ensured in UN-REDD activities, including how to apply the principle of FPIC; could be used by countries in the development of REDD+ PLRs and adapted to national context if necessary
		• UN-REDD FPIC Guidelines	Provides a framework for applying the principle of FPIC at community and national levels; primarily designed for UN-REDD activities but could be adopted in REDD+ PLRs and adapted to national context

UN-REDD Tools to Support National Approaches to Safeguards (2)

Step	Detailed activities	UN-REDD tools	Explanation of how the tools contribute to the activity
Defining or developing safeguard policies, laws and regulations	Gap analysis of existing PLRs	• BeRT	Provides a list of questions across a broad range of issues in order to assess existing PLRs
		• PGA	Provides governance data based on extensive stakeholder contributions, which serves as a basis for improvements in governance; can be used by governments in their planning and policy-making
		• Draft Guidance on Conducting REDD+ Corruption Risk Assessment	Provides a more detailed framework (compared to BeRT) for assessing corruption risks in REDD+
	Development of new PLRs (if necessary)	• FPIC Guidelines	Provides a framework for applying the principle of FPIC at community and national levels; primarily designed for UN-REDD activities but could be adopted in REDD+ PLRs and adapted to national context
		• Guidelines on Strengthening/ Establishing National-Level Grievance Mechanisms	Provides guidance on how to assess and strengthen existing PLRs and institutional capacity to address REDD+ related grievances
		• Participatory Law Development (LEG-REDD+)	Provides a participatory approach for formulating legal and policy reforms and drafting new PLRs in response to REDD+

Thank you for listening!