

Assessment on Government Indicator in PGA for REDD (Reducing Emissions from Deforestation and Forest Degradation), January 2012

Number	Indicator	Meaning	Verifier	Verification Method
<p>i. Area planning and forestry which is sustainable, impartial, and not prone to corruption</p>	<p>The effectiveness of area planning in the Central, Province and District/City.</p>	<p>The effectiveness of planning is reflected by the existence of institution with adequate input. The institution can take the form of part/sub-part/working group or personnel in charge of the planning of area in the Province/District/City. Adequate ability is reflected by the condition of elements, which are the basic capital for task implementation both hardware and software, and is able to show if an institution can either accomplish its task or not. Such elements consist of formation, function, and institutional condition. Formation consists of input, human resources, and financing. Function consists of its management, environmental mastery. Condition consists of its character and its</p>	<ul style="list-style-type: none"> • The existence of an institution/unit can be verified and checked on the basis of its foundation or formation decision. • The availability of hardware and software which support the carrying out of institutional duties. Data and information can be obtained from related institution such as inventory list, list of assets, as well as yearly task accomplishment report. • Financing can be seen from the Filled-in List of Budget Realization from the related institutions, and from the allocation of fund either for running the institutions or for carrying out activities. • Its main duty is the facilitation service for the implementation of area planning. Such information can be obtained from Work Plan/Annual Plan/Financial Statement/Audit result on the financial statement by the Financial Audit Board. • The functioning of that particular institution in carrying out its duties and functions. • Ethics shall be the basis for planner in making a plan to be done properly. Therefore, the presence of ethical code in planning institution will assist the effectiveness of that institution...besides it can also be seen from its implementation. 	<ul style="list-style-type: none"> • Interview with the leaders and apparatus of related institutions (Governor/Regent/Regional Secretary) which is cross-checked with the secondary data from existing reports/decrees/regulations. • Interview with all parties in relation to the planning of area but not from the related institutions • Desk analysis to see the presence of ethical code as well as interview to see its implementation...

		leadership.		
	The effectiveness of settlement of area conflict at forestry institution in the Central, Province and District/City	The conflict of area can be handled when there is institution with adequate input. The institution can be in the form of part/sub-part/working group or officer in charge of settling the conflict of forest area at Provincial Office of Forestry. Mechanism is the way of working or working procedure which is often referred to as SOP and	<ul style="list-style-type: none"> • The existence of institution/unit, its duty and function, the number and quality of human resources, the availability and accountability of finances, vision and mission, task assignment, leadership and networking. It can be seen from the organizational structure and working procedure of local Forestry Office which is packaged in the Governor's Decree, regional regulation, or decision of the Head of Office. • The availability of SOP. It can be seen from the work manual packaged in the decree/regulation by the Governor/Head of Office. 	<ul style="list-style-type: none"> • Interview with the Head of Office/Apparatus of local Forestry Office, especially the one handling or related to conflict settlement. Furthermore, it is checked in its written form in the working rules/guidelines of that particular institution.

		elaborated in the working manual of that particular institution.	<ul style="list-style-type: none"> The functioning of that institution in carrying out its duties and functions. 	
	The effectiveness of legislative and judicial institutions in the handling of issue related to area planning in the Central, Province and District/City	Effectiveness of institution shall be reached when there is adequate understanding and ability of human resources. It refers to the understanding and ability of legislative and judicial human resources in charge of area planning in carrying out its duty in the field of area planning.	<ul style="list-style-type: none"> The understanding of legislative member regarding the planning of area and its performance. Information can be gathered from the performance and contribution of the member of House of Representatives in drafting regulation and discussing issues as well finding solutions to the related issues. <p>Likewise with judicial body, it can be seen from its ability to decide/settle cases which is not contradictory to the rules and regulation as well as the knowledge of area planning. Verifier can use the number/percentage of mistakes of its decisions.</p>	<ul style="list-style-type: none"> Interview with several members of the House of Representatives and the Judge (sample) regarding their understanding in relation to issue of area planning, as well as the work they produced. Furthermore, it is confirmed through secondary data and other apparatus as well as community related to area planning.

<p>II-Management of rights which is sustainable, impartial and not prone to corruption</p>	<p>The effectiveness of Ministry/SKPD in administering the rights of the people and business.</p>	<p>The effectiveness of institution is subject to the existence of institution with adequate input. The institution can take the form of part/subpart/working group or officer who administers the rights of the people and business. It can take the form of independent institution in the Echelon I unit or SKPD, or just a part of certain Echelon I unit or SKPD, in this case inside the Forestry Ministry or Forestry Office.</p>	<ul style="list-style-type: none"> • The existence of institution/unit, its duty and function, quality and quantity of human resources, availability and accountability of finances, vision and mission, task assignment, leadership, and networking. • The existence of institution/unit can be verified from the structure of organization and working procedure of the Ministry of Forestry in the form of regulation and decree by the President/Minister/Director General. As for the Forestry Office, it can be seen from the Decree of Governor or local Head of Office. • The functioning of institution in carrying out its duties and functions. 	<ul style="list-style-type: none"> • Interview with the high ranking officers such as Regional Secretary/Director General/Head of Office, which is later verified and completed with secondary information from the regulation or report elaborating on that particular unit. The yearly report is a good information to verify this matter. • Verification is done at Central and Provincial levels.
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	<p>The effectiveness of conflict resolution of area occupation at the forestry institutions in the Central, Province, and District/City</p>	<p>The effectiveness of conflict resolution is subject to the existence of institution which manages with adequate input. The institution can take the form of part/sub-part/working group or officer in charge of conflict resolution of area occupation. It can take the form of independent institution in the Echelon I unit or SKPD, or just a part of certain Echelon I unit or SKPD, in this case inside the Forestry Ministry or Forestry Office.</p>	<ul style="list-style-type: none"> • The existence of institution/unit, its duty and function, quality and quantity of human resources, availability and accountability of finances, vision and mission, task assignment, leadership, and networking. • The existence of institution/unit can be verified from the structure of organization and working procedure of Ministry of Forestry in the form of regulation and decree by the President/Minister/Director General. As for the Forestry Office, it can be seen from the Decree of Governor or local Head of Office. • The availability and quality of SOP of conflict resolution • The mechanism of conflict resolution can be seen by the availability and quality of SOP to resolve conflict. • The functioning of institution in carrying out its duties and functions. 	<ul style="list-style-type: none"> • Interview with the high ranking officers such as Regional Secretary/Director General/Head of Office, which is later verified and completed with secondary information from the regulation or report elaborating on that particular unit. The yearly report is a good information to verify this matter. • . Inquiring the availability of SOP to the high ranking officer and then doing desk review at that particular SOP in order to check on its quality. • Verification is done at Central and Provincial levels.
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<p>III-Organization which is accountable and able to promote the achievement of forest and peat moss management which is sustainable, impartial and not prone to corruption</p>	<p>The ability of Central/Provincial/District/City government in managing forest and peat moss by involving all parties.</p>	<p>Ability is the capacity and capability of the government reflected on the existence of forest management unit which can be under the Central/Provincial/District/City government. Besides the forest management unit, it is important to look into the existence of Peat Moss Management Unit which can function as the caretaker or supervisor of peat moss utilization especially for the peat moss located outside the forest area.</p> <p>Furthermore, it is also important to assess the unit in charge of the public information access and community participation in the institution related to forest and peat moss management as well as the existence of Regional Information Commission (Provincial level) which has the adequate capacity according to Law 14/2008.</p>	<ul style="list-style-type: none"> • The existence of KPH institution/unit and Management Body of Peat Moss, quality and quantity of human resources, availability and accountability of finances, vision and mission, task assignment, leadership and networking (Formation, function, and condition of that particular unit. <p>There are two institutions which needs to be verified, namely the management body of forest area, and management body of peat moss.</p> <p>The forest management based on the existing regulations is carried out by the Forest Management Unit (KPH), which can be verified from the structure of organization and working procedure of Ministerial/Provincial/District Office of Forestry</p> <p>Likewise with the Peat Moss Management Unit, it can be seen from the Ministerial structure of organization as well SKPD at particular Province/District.</p> <ul style="list-style-type: none"> • The functioning of that institution in carrying out its duties and functions. <p>The unit responsible for the information and public participation at forest and peat moss management institutions should at least consist of :</p> <ul style="list-style-type: none"> - The appointment of high ranking officer of Information and Documentation Management (PPID) as well as the superior of PPID; - The appointment of special officer in charge of 	<ul style="list-style-type: none"> • Interview with the Secretary General/Director General at Central level, and with the Governor/Regent/Head of Forestry Office/Head of Public Works Office/Regional Secretary at regional level, and then followed by the checking of its legal foundation through the decree/regulation from all levels of government.
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			<p>the management of public input during the time when policy document is about to be made;</p> <ul style="list-style-type: none"> - PPID is provided with trainings on handling information access; - The officer managing public information is provided with trainings on the management of public participation including conflict management; - PPID and officer managing public information are supported with adequate facilities and pre-facilities (IT basis) in accomplishing their tasks. <p>KID (Province) exists and has capacity to carry out its duties, among others are observed from:</p> <ul style="list-style-type: none"> - Its existence; - KID Commissioner being given training in information access and cases management. 	
<p>IV. Forest management includes issue of forest arrangement, monitoring, protection, conservation, supervising which is sustainable, impartial, and not prone to corruption</p>	<p>The effectiveness of government in providing forest management service</p>	<p>The effectiveness of government in providing forest management service is subject to the existence of service institution and its input management. There is capacity and capability of the Government in providing services to the forest management body. Forest management comprising of forest administration activities and forest management</p>	<ul style="list-style-type: none"> • The existence of SKPD institution which provides services of forest management, quality and quantity of human resources, availability and accountability of finances, vision and mission, task assignment, leadership, and networking (Formation, function and condition of unit etc.) • To verify the existence and quality of forest management service, it can be seen from the existence of SKPD which carries out the tasks of forest management services. The decree/regulation which is the basis of that SKPD formation is a verification tool available at the Regional Secretary offices or at related SKPD. • The functioning of that institution in carrying out 	<ul style="list-style-type: none"> • Interview with the Governor/Regent/Head of Forestry Office/Regional Secretary, and then followed by the checking of its legal foundation through the decree/regulation.

		plan, forest occupation and forest area utilization, rehabilitation, and forest reclamation, forest protection and nature conservation.	its duties and functions.	
	Licensing is used as an instrument of management control and not only as instrument limited to the other functions such as PAD (Regional Local Income)		<ul style="list-style-type: none"> • There is understanding by the decision maker and/or the high ranking officer who grants permit that the permit is also a control tool of management. 	<ul style="list-style-type: none"> • Interview on the licenses issued by the Government. • Desk analysis on the regulation related to licenses
V. Control and legal enforcement in the management of forest and peatland which is sustainable, impartial and not prone to corruption	The effectiveness of internal monitoring/controlling.	<p>The effectiveness of monitoring/control is subject to the availability of monitoring/control institution together with its complete input.</p> <p>The monitoring/controlling institution is a government institution with the duty to conduct government monitoring/internal control with the purpose of increasing the efficiency and effectiveness of duty completion by the institutional unit of the government.</p>	<ul style="list-style-type: none"> • The existence of a monitoring/controlling institution is inside every institutional unit of the government. That institution is an internal institution attached to the existing institution to monitor every activity closely and in phases. • The monitoring/internal control system is a mechanism and procedure put in place to conduct monitoring and controlling of activities in that particular institution. • The principle of monitoring/internal control essentially is the monitoring of every activity completed either by itself or other party in that institution as to guarantee that the activity has been completed according to plan. • The functioning of that institution in carrying out 	<ul style="list-style-type: none"> • Desk Review on the decision to form the monitoring/internal control institution, work manual for monitoring/internal control institution, report on the activities completed by that institution, financial report from that institution, as well as follow through report on the examination of internal and external supervisor. • Interview with the leader

		<p>The monitoring/internal control system is a procedure and mechanism of government monitoring/internal control</p> <p>The monitoring/internal control system is a system where monitoring is done at every phase of activity by the organization unit doing that activity, in order to guarantee the task is completed according to plan.</p>	its duties and functions.	of that particular institution unit to look into the system of internal control implemented in completing task.
	The effectiveness of multi-stakeholder institution of external supervisor	A multistakeholder institution of external supervisor is an external supervisor institution whose membership consists of a variety of groups ranging from government, civil society, or business.	<ul style="list-style-type: none"> There is a multistakeholder institution. The member of that institution can consist of elements from the Government, Community, and Business. An example of such institution is the National or Provincial Forestry Board. 	<ul style="list-style-type: none"> Document and interview Interview with all parties to find out the existence of multi-stakeholder institution.
	Law enforcement with special capacity and adequate number for forest and environment cases available at law enforcement institution	The mentioned law enforcement apparatus consists of policemen, prosecutors, judges, who have the special ability in the field of forestry/environment.	<ul style="list-style-type: none"> There is law enforcement officer with the specialization in forest and environment issues at each institution. There is sufficient number; There are trainings to develop special skill of law enforcement in forest and environment sector, including training in relation to broad management of natural resources. 	<ul style="list-style-type: none"> Employment document and interview Document on training

	The effectiveness of coordination among the law enforcement apparatus in doing multi-door approach in handling forestry criminal case.	Coordination among the law enforcement apparatus to increase the law enforcement effectiveness by implementing various regulations to press charges against forestry criminal acts (money laundering, corruption, smuggling, etc.)	<ul style="list-style-type: none"> • The number of meetings among law enforcement apparatus; • The number of case presentation has increased. Data of several previous years need to be observed to spot the trend of case presentation. 	<ul style="list-style-type: none"> • Interview with the related law enforcement apparatus in knowing the number of meetings/ coordinations/ case presentations. • Desk analysis to see the documents of coordination/ case presentation/ case presentation trend. • Document and interview
VI. REDD+ infrastructure which is sustainable, impartial, and not prone to corruption	The effectiveness of REDD+ institution	The effectiveness of institution is reflected by the existence of the institution and its apparatus. The existence of REDD+ institution can take the form of part/sub-part/working group or personnel in charge of the implementation of REDD+ in the Province/District/City. The ability of that institution is reflected by the condition of elements, which are the basic capital for task implementation both hardware and software, and is able to	<ul style="list-style-type: none"> • The availability of unit, task and function, the number and quality of human resources, availability and accountability of finances, vision and mission, task assignment, leadership, and networking. • The availability of unit/sub-part/working group/officer of REDD+ can be verified by existence of such institution as well as its foundation or decree of its formation. • The availability of hardware and software which support the implementation of institutional duties. Data and information can be obtained from that particular institution such as inventory list, list of assets, as well as task accomplishment report such as yearly report. • Financing can be seen from the Filled-in List of Budget Realization of the related institution, and from fund allocation both in running the 	<ul style="list-style-type: none"> • Interview with the leaders and apparatus of related institutions (Governor/Regent/Regional Secretary), which is cross-checked with the secondary data from the existing reports/decree/regulation

		<p>show if an institution can either accomplish its task or not.</p> <p>Such elements consist of formation, function, and institutional condition. Formation consists of input, human resources, and financing. Function consists of its management, environmental control. Condition consists of its character and its leadership.</p>	<p>institution as well as in carrying out its main task of providing facilitation service in implementing REDD. Such information can be obtained from the Work Plan/Annual Plan/Financial Statement/Result of Audit on the Financial Statement.</p> <ul style="list-style-type: none"> • The functioning of that institution in carrying out its duties and functions. Can be seen from public opinion and perception. 	
	<p>The effectiveness of MRV (Measurement, reporting, verification) institution</p>	<p>The effectiveness of institution is reflected by the existence of the institution and its apparatus.</p> <p>The existence of MRV institution can take the form of part/sub-part/working group or personnel in charge of the implementation of MRV in the Province/District/City.</p> <p>The ability of that MRV institution is reflected by the condition of elements, which are the basic capital for task implementation</p>	<ul style="list-style-type: none"> • The availability of unit/sub-part/working group/officer of MRV can be verified by existence of such institution as well as its foundation or decree of its formation. • The availability of hardware and software which support the implementation of institutional duties. Data and information can be obtained from that particular institution such as inventory list, list of assets, as well as task accomplishment report such as yearly report • Financing can be seen from the Filled-in List of Budget Realization of the related institution, and from fund allocation both in running the institution as well as in carrying out its main task of providing facilitation service in implementing MRV REDD. Such information can be obtained 	<ul style="list-style-type: none"> • Interview with the leaders and apparatus of related institutions (Governor/Regent/Regional Secretary), which is cross-checked with the secondary data from the existing reports/decrees/regulation

		<p>both hardware and software, and is able to show if an institution can either accomplish its task or not. Such elements consist of formation, function, and institutional condition.</p>	<p>from the Work Plan/Annual Plan/Financial Statement/Result of Audit on the Financial Statement.</p> <ul style="list-style-type: none"> • The functioning of that institution in carrying out its duties and functions. Can be seen from public opinion and perception. 	
	<p>The effectiveness of financial institution.</p>	<p>The effectiveness of institution is reflected by the existence of the institution and its apparatus. The existence of financial institution can take the form of part/sub-part/working group or personnel in charge of finances in the implementation of REDD+ in the Province/District/City. The ability of that financial institution is reflected by the condition of elements, which are the basic capital for task implementation both hardware and software, and is able to show if an institution can either accomplish its task or not.</p>	<ul style="list-style-type: none"> • The availability of unit/sub-part/working group/officer of financial matter can be verified by existence of such financial institution as well as its foundation or decree of its formation. • The availability of hardware and software which support the implementation of institutional duties. Data and information can be obtained from that particular institution such as inventory list, list of assets, as well as task accomplishment report such as yearly report • Financing can be seen from the Filled-in List of Budget Realization of the related institution, and from fund allocation both in running the institution as well as in carrying out its main task as financial institution of REDD implementation. Such information can be obtained from the Work Plan/Annual Plan/Financial Statement/Result of Audit on the Financial Statement. • The functioning of that institution in carrying out its duties and functions. Can be seen from public opinion and perception. 	<ul style="list-style-type: none"> • Interview with the leaders and apparatus of related institutions (Governor/Regent/Regional Secretary), which is cross-checked with the secondary data from the existing reports/decrees/regulation

		Such elements consist of formation, function, and institutional condition.		
Special strategy of KPK in handling corruption related to REDD+	There is thorough approach starting from planning until implementation in the prevention and eradication of corruption related to REDD+ which is prepared by KPK.	<ul style="list-style-type: none"> The availability of planned activity for the prevention and eradication of corruption related to REDD+, as well the implementation of prevention and eradication of corruption related to REDD+. Therefore, the planning or work plan of KPK can become the main source for verification. 	<ul style="list-style-type: none"> Interview with the Secretary General of KPK/Prevention Deputy/Eradication Deputy on the availability of prevention activity and eradication of corruption in relation to REDD+, which is followed by the checking in the report book of KPK task accomplishment/yearly report/activity report. 	
The effectiveness of Provincial/District/City forestry institution in mediation of conflict due to revenue sharing.	<p>The effectiveness of institution is reflected by the existence of the institution and its apparatus.</p> <p>There is a working unit which is an institutional unit in the form of part/sub-part/working group or personnel in charge of resolving conflict due to revenue sharing of the REDD incentive by way of mediation among related parties. Such</p>	<ul style="list-style-type: none"> The availability of unit/sub-part/working group/officer in charge of resolving conflict due to revenue sharing can be verified by existence of such revenue-sharing conflict resolution institution as well as its foundation or decree of its formation. The availability of hardware and software which support the implementation of institutional duties. Data and information can be obtained from that particular institution such as inventory list, list of assets, as well as task accomplishment report such as yearly report. Financing can be seen from the Filled-in List of Budget Realization of the related institution, and from fund allocation both in running the 	<ul style="list-style-type: none"> Interview with the leaders and apparatus of related institutions (Governor/Regent/Regional Secretary), which is cross-checked with the secondary data from the existing reports/decrees/regulation 	

		<p>institution is under the Provincial/District/City Forestry SKPD.</p> <p>The ability of this financial institution is reflected by the condition of elements, which are the basic capital for task implementation both hardware and software, and is able to show if an institution can either accomplish its task or not.</p> <p>Such elements consist of formation, function, and institutional condition.</p>	<p>institution as well as in carrying out its main task of providing facilitation service in resolving conflict of revenue sharing from the incentive of REDD implementation. Such information can be obtained from the Work Plan/Annual Plan/Financial Statement/Result of Audit on the Financial Statement.</p> <ul style="list-style-type: none"> • The functioning of that institution in carrying out its duties and functions. Can be seen from public opinion and perception. 	
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