

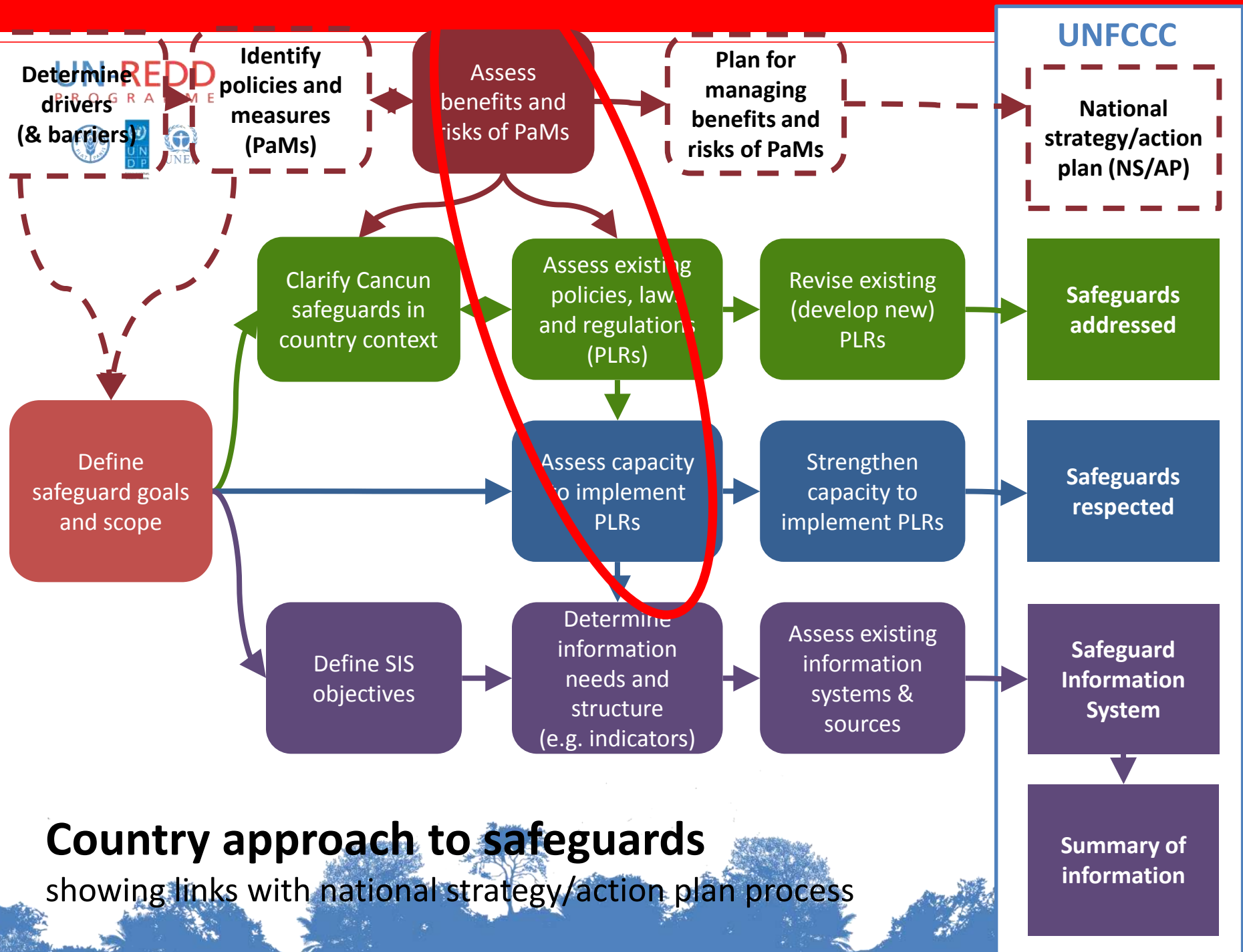
Country Approach to Safeguards



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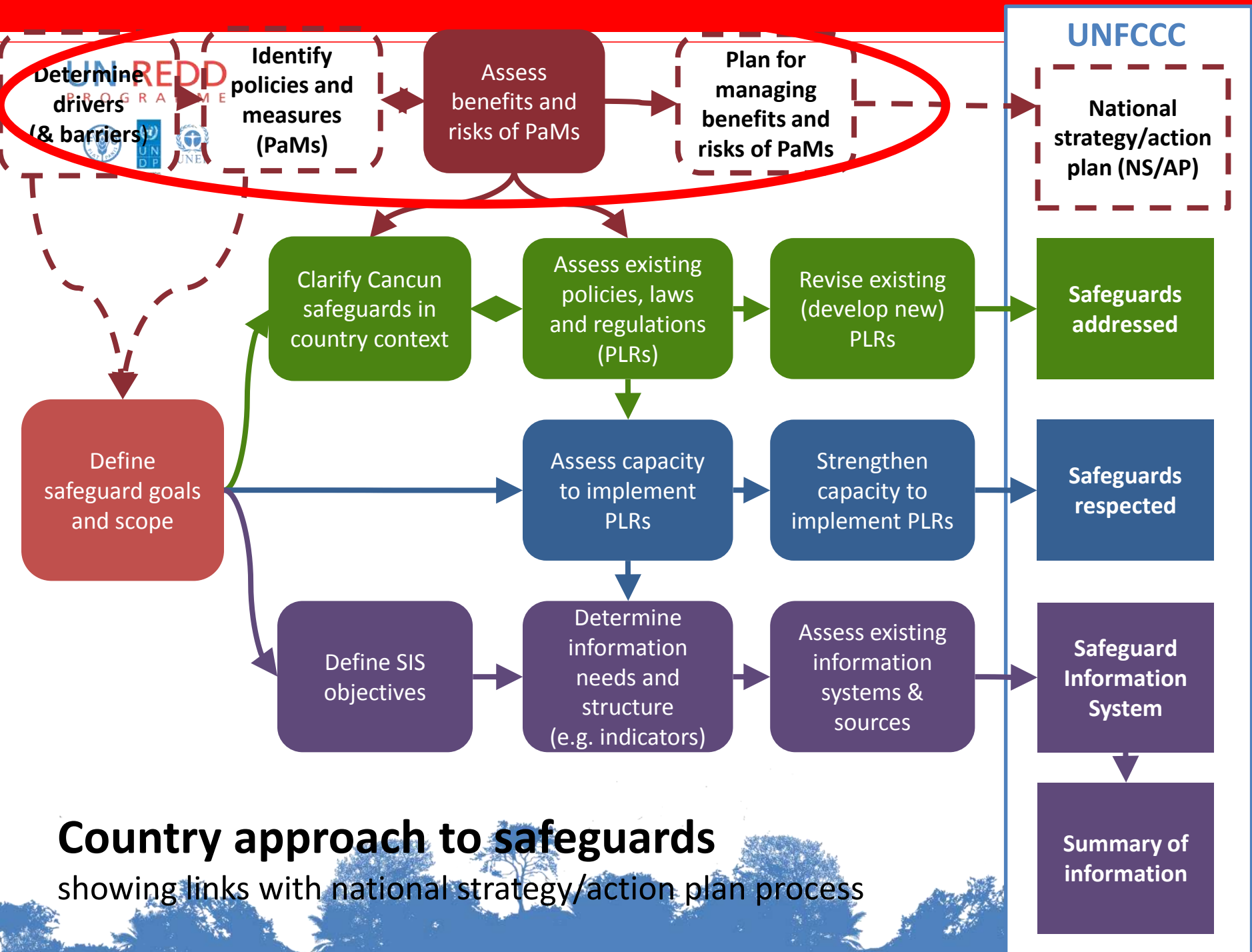
Country approach to safeguards

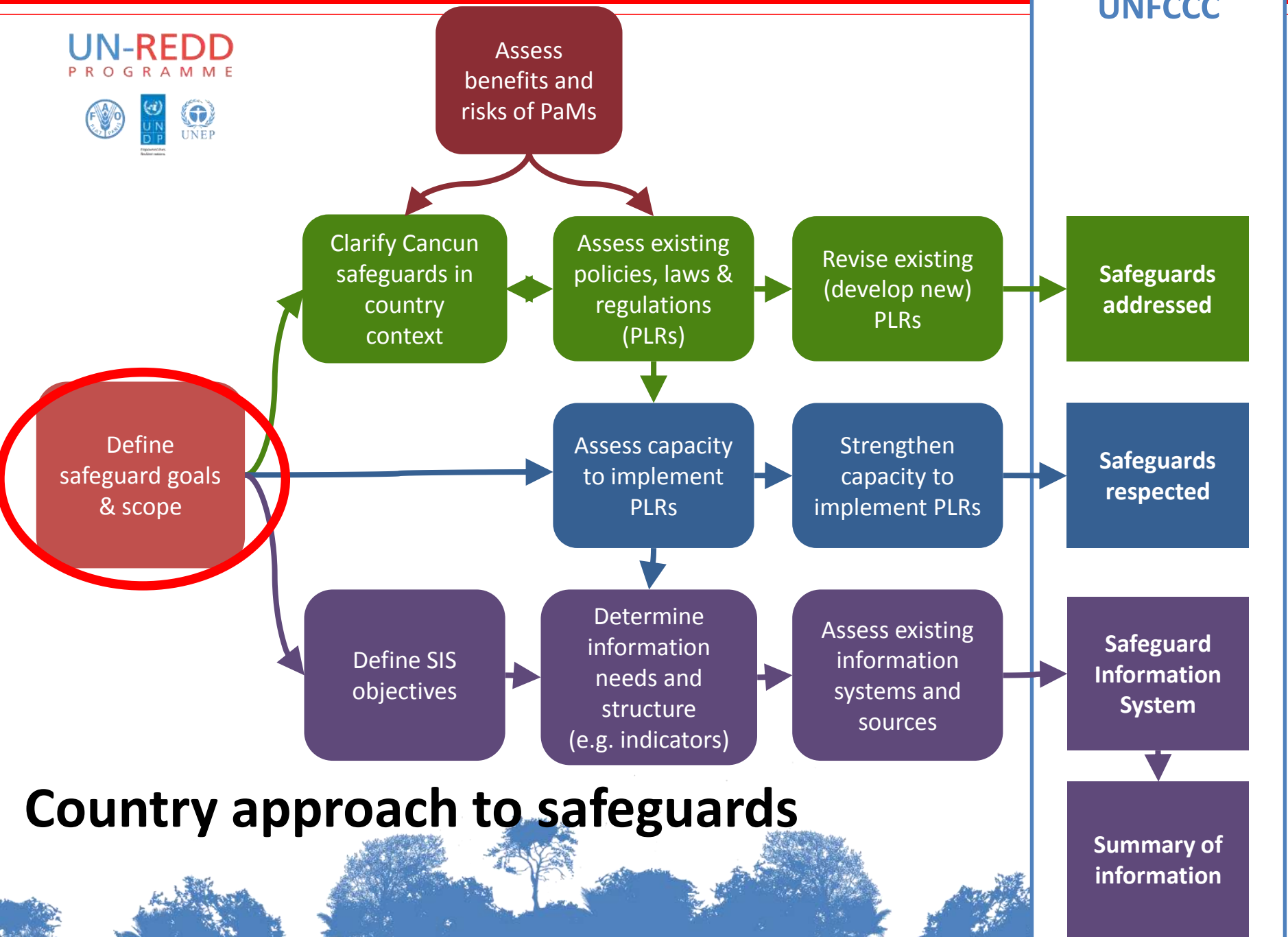
showing links with national strategy/action plan process

Key Considerations

- **NS/AP and Safeguards feedback loop**
- **Step-wise, iterative approach – learn from doing – build and refine as you go**
- **Building on existing PLRs, institutions, information systems – focus on gap filling**
- **Consultation and participation with stakeholders throughout the process**
(how does Bhutan plan to ensure ongoing stakeholder feedback?)







Country approach to safeguards

Defining Safeguard Goal and Scope

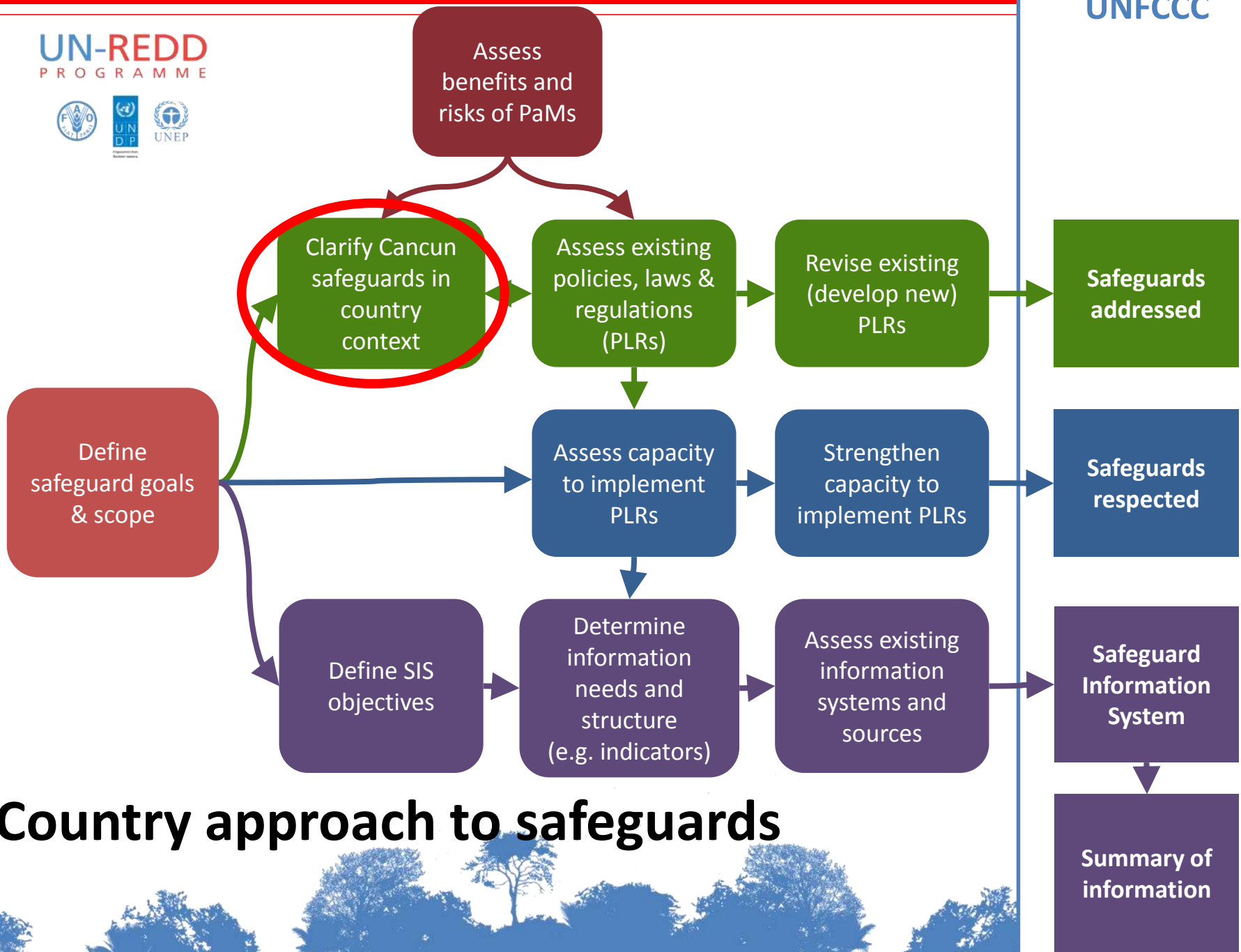
GOAL What safeguards to apply to REDD+?

- UNFCCC Cancun safeguards (*UNFCCC requirement*)
- Other financing entities' safeguards, e.g. FCPF-WB
- How to ensure CAS covers all safeguard requirements (mapping, gap filling)

SCOPE What will be covered by the chosen safeguards?

- All REDD+ activities when implemented (*UNFCCC requirement*)
- More widely: forestry sector-wide, or across multiple land-use sectors?
Extractive industries? (*optional*)
- An opportunity to access sources of funding other than results-based payments under REDD+? E.g. GCF





Country approach to safeguards

Clarifying Cancun Safeguards

- Cancun safeguards are broad statements of aspirational intent, i.e. 'principles'
- Need to be broken into key components in order to have operational value / meaning
- How does Bhutan understand these 'principles' given Bhutanese circumstances, context and capacities?
- Which issues are most relevant, high priorities for Bhutan?
- What are the impacts that are most likely to occur?

(To be discussed on Thursday in context of next steps)



Clarifying the Cancun Safeguards

Stakeholder input is critical to ensure common understanding and starting point

b. Forest governance (transparency & effectiveness)

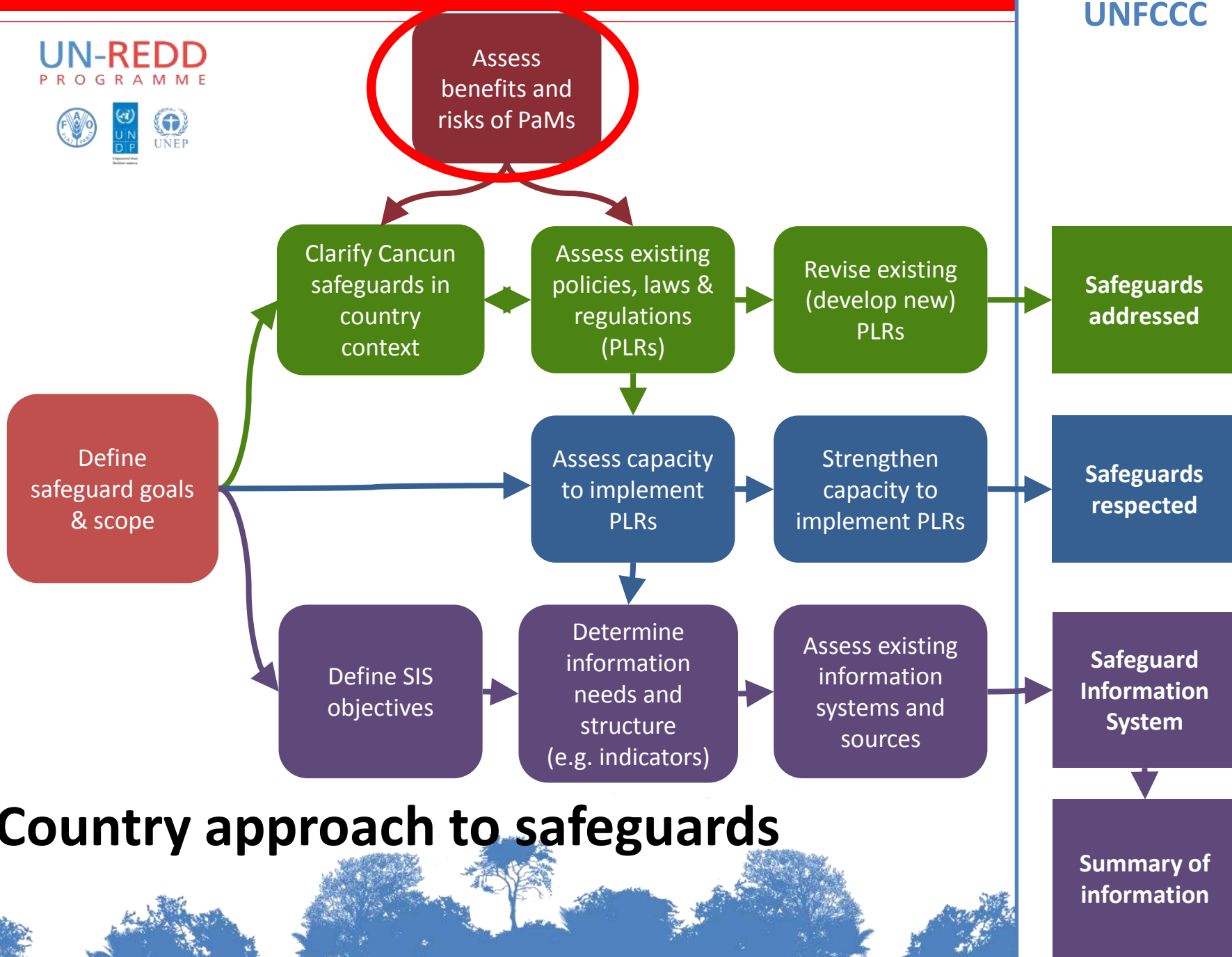
- Transparency & access to information
 - Rule of law & access to justice and effective remedies
 - Systems for feedback, oversight and accountability
-

c. Knowledge and rights of indigenous peoples & local communities

- Rights to land, territories and resources
- Involuntary resettlement
- Full and effective participation
- Legitimacy/accountability of representative bodies

d. Full and effective participation of stakeholders, in particular IP & local communities

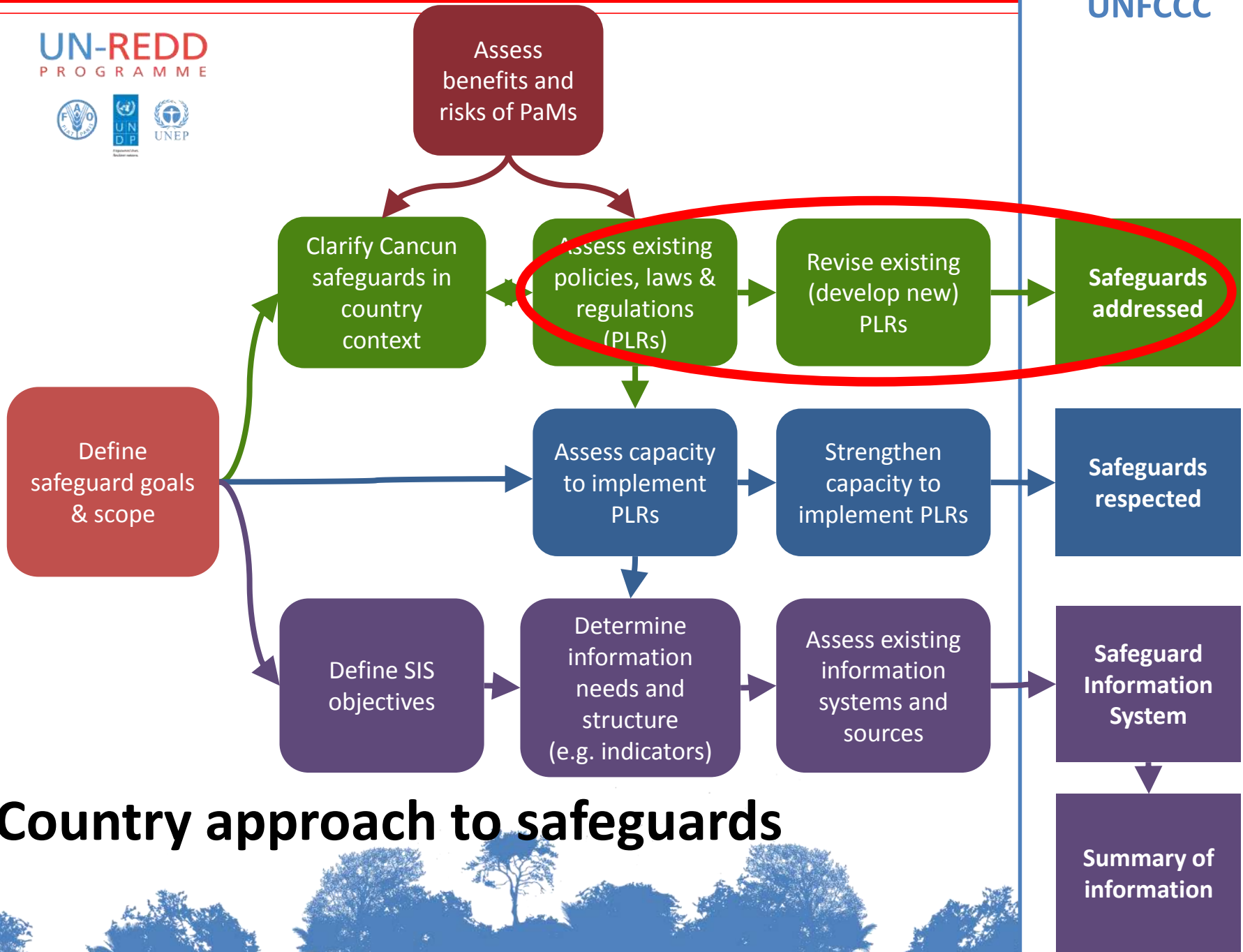
- Free, Prior & Informed Consent (FPIC)
- Traditional knowledge & cultural heritage
- Grievance mechanisms



Country approach to safeguards

Assessing Risks and Benefits

- Assessment of **potential environmental and social risks and benefits** of proposed policies and measures (REDD+ actions)
- Assessing benefits and risks **anchors** a country approach to safeguards to the policies and measures in the NS/AP
 - *A risk/benefit analysis with a base in PAMs can be unfocused and raise stakeholder expectations*
- Assessing benefits and risks **gives focus and specificity** to subsequent steps in the country approach
 - *A PLR review without a base in risks/benefits can be unwieldy and unfocused*



Country approach to safeguards

What does it Mean to Address the Cancun Safeguards?

“Address”

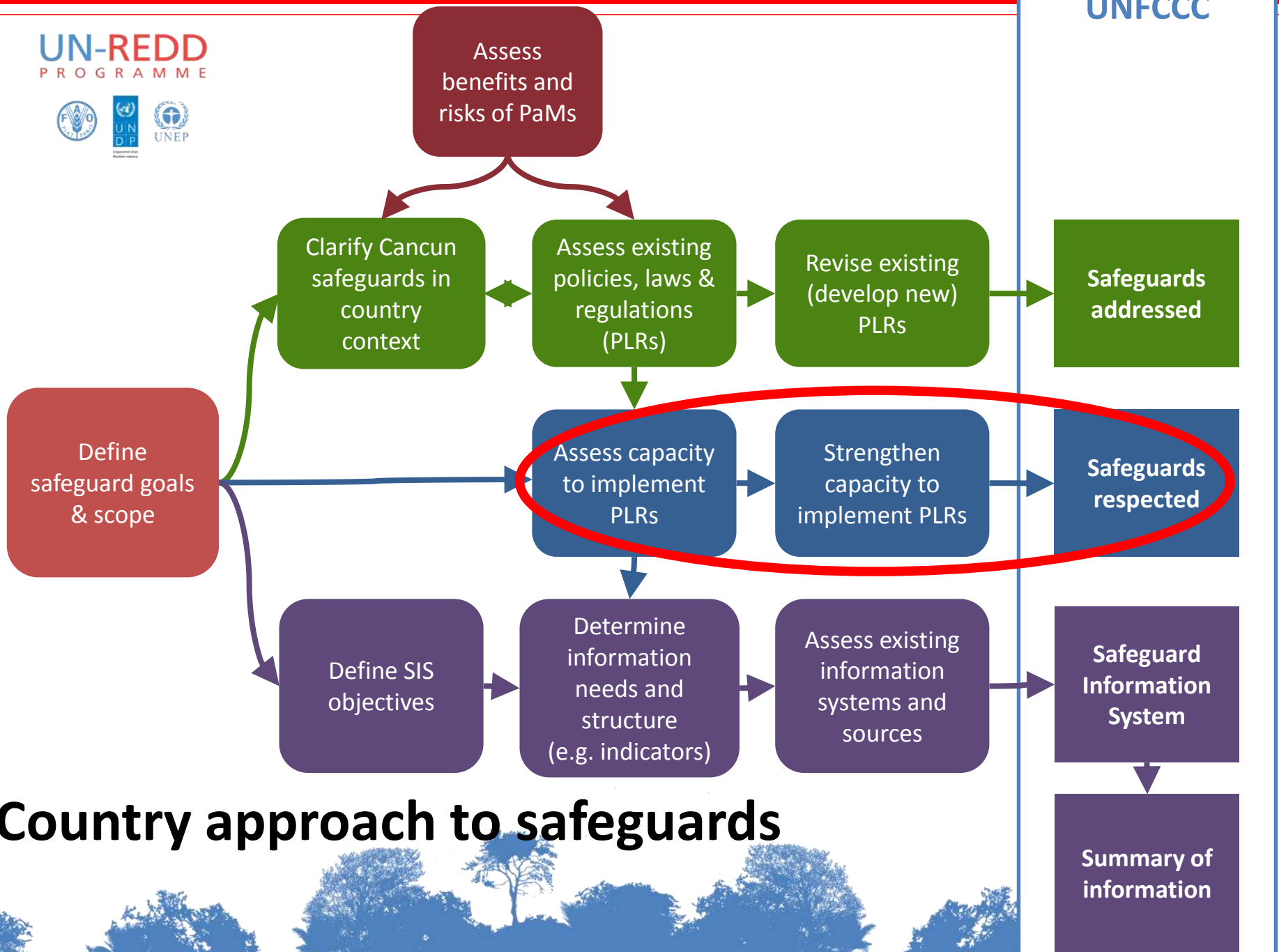
- Ensuring that a coherent body of policies, laws, regulations (PLRs), and associated institutional arrangements, **are in place to deal** with the potential benefits and risks associated with REDD+ actions, and in doing so, enabling the application of the Cancun safeguards in the country context and to meet country safeguard goals.



Assessing Policies, Laws and Regulations

- What **PLRs are already in place** that are meant to enhance the benefits and mitigate the risks of the proposed policies and measures in the NS/AP?
- Looking at national government-codified PLRs; processes; institutions
- **Weaknesses, gaps or inconsistencies on paper? Gaps in institutional mandate? Individual roles and responsibilities?**





Country approach to safeguards

What does it Mean to Respect the Cancun Safeguards?

“Respect”

Effective application of policies, laws and regulations, through the associated institutional (and individual) arrangements, to ensure they are implemented in practice and affect real and intended outcomes on the ground

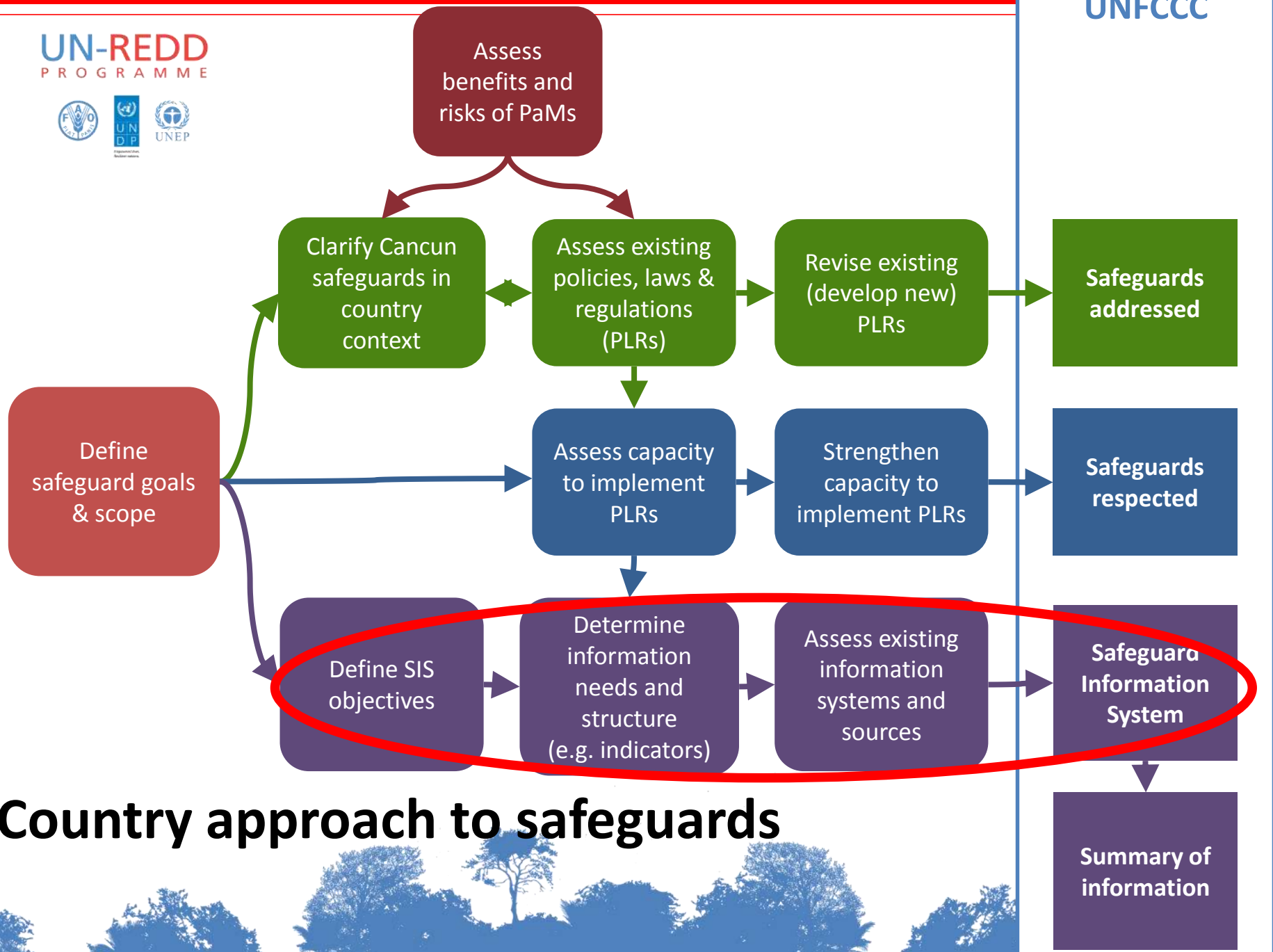
(Are the PLRs doing what they are meant to do?)



Assessing capacity to implement PLRs

- Do the relevant **institutions** have the appropriate **mandate**, **procedures** and **capacity** to implement the relevant PLRs?
- **Institutions are primarily government but could also constitute non-state actors** (private sector, NGOs, community stakeholders)
- **Weaknesses, gaps or inconsistencies in practice?**
- Propose **gap-filling measures** to incrementally strengthen institutional mandates, procedures and capacity to implement PLRs over time





Country approach to safeguards



Safeguard Information System

- **SIS Objective**

- Provide information on how safeguards are addressed and respected in REDD+ implementation (UNFCCC requirement)
- System for reporting to other bodies, e.g. CBD, universal periodic review, GCF, UNFCCC, etc. (optional)

- **Determining information needs and structure**

- What information is needed to demonstrate risks are being mitigated and benefits are enhanced?
- How should this information be structured (e.g. narrative statements, indicators) to optimize accessibility and value of content?

- **Assess existing information systems and sources**

- What does a country already have in place, in terms of institutional arrangements, to meet information needs, and are there any gaps? Role of communities?
- E.g. of existing sources/systems: population census, systems to report to other int'l conventions (CBD, universal periodic review), GRMs, NFMS

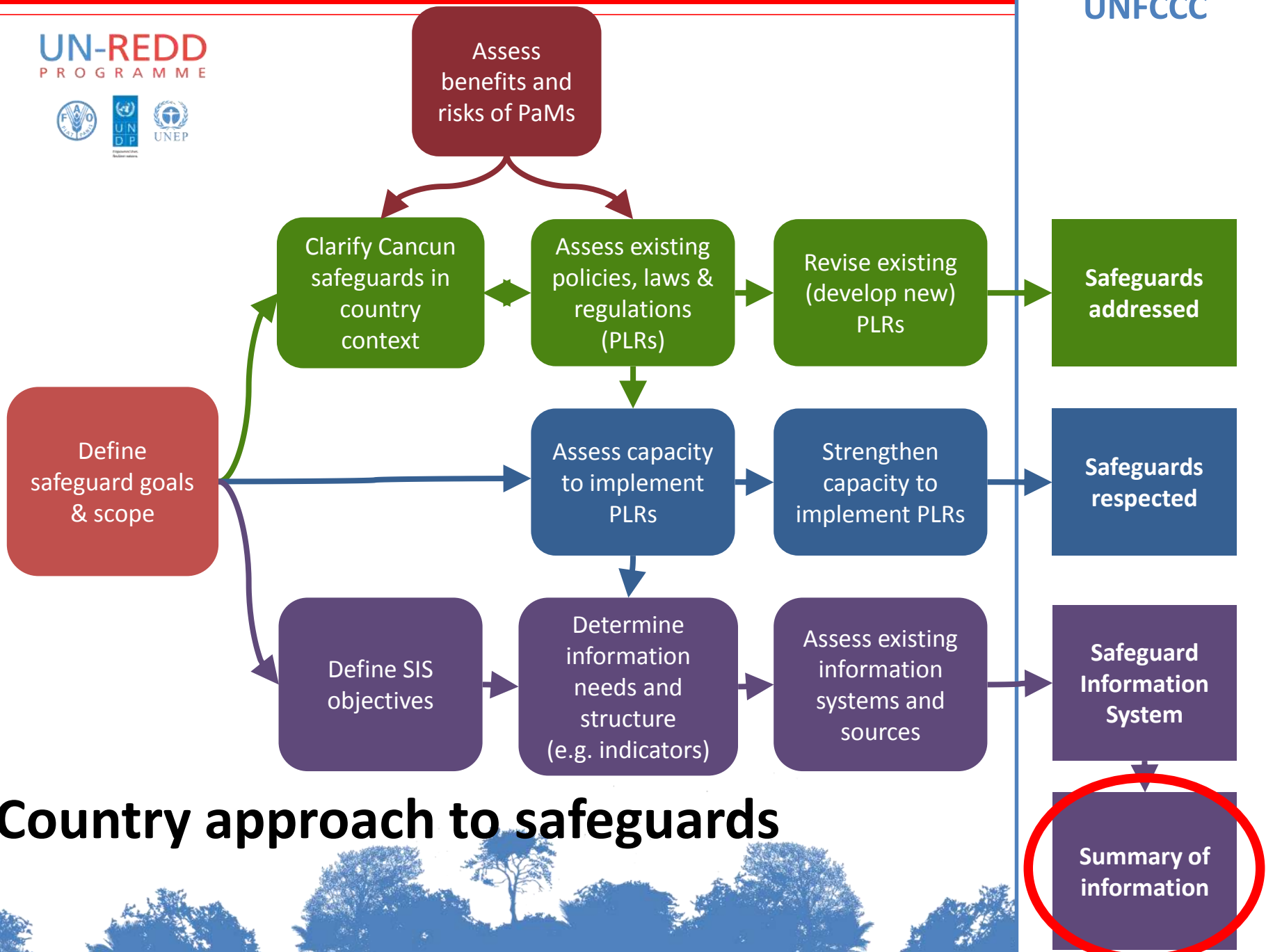
(Forthcoming SIS options paper)

Links with NFMS

NFMS - another key UNFCCC requirement - Warsaw Framework pillar

- Based on 3 main components:
 1. Satellite land monitoring system (SLMS),
 2. National forest inventory (NFI),
 3. Greenhouse gas inventory (GHG-I)
- Could be source of information for safeguards (f) on reversals and (g) on displacement; and others as well





Country approach to safeguards



Summary of Information

What?

How all of the Cancun safeguards are being **addressed** and **respected** throughout REDD+ implementation

Who provides?

National government

To whom?

UNFCCC

When?

National Communications to UNFCCC (every 4 years) and/or voluntarily, via UNFCCC web platform

Linked to?

Summary required for results-based payments

SUMMARY OF SAFEGUARDS INFORMATION

CLARIFYING SAFEGUARDS

- Document the elaboration of each of the Cancun safeguards into key issues, in line with country context and circumstance.

ADDRESSING SAFEGUARDS

- Description of the policies, laws, regulations (PLRs), and associated institutional arrangements, which are in place to deal with the potential benefits and risks associated with REDD+ actions.

RESPECTING SAFEGUARDS

- Demonstration of how policies, laws and regulations, through the associated institutional arrangements, are implemented in practice and affect real and positive outcomes on the ground.

COMPLEMENTARY INFORMATION

- Strengthen credibility of the summary with the option of providing information on: how the summary was prepared; process of SIS design and development; broader country safeguards approach; etc.

UN-REDD Knowledge Products

- **Webinar Slide Sets:**

1. **Introduction to safeguards**
2. **Country approaches to safeguards**
3. **Clarifying the Cancun safeguards**
4. **Safeguard information systems (and summaries of information)**
5. **UN-REDD Programme safeguard products and services**

- **Generic Terms of Reference for the Country Approach**

- **Benefit and risk assessment framework (complements BeRT v2.0)**

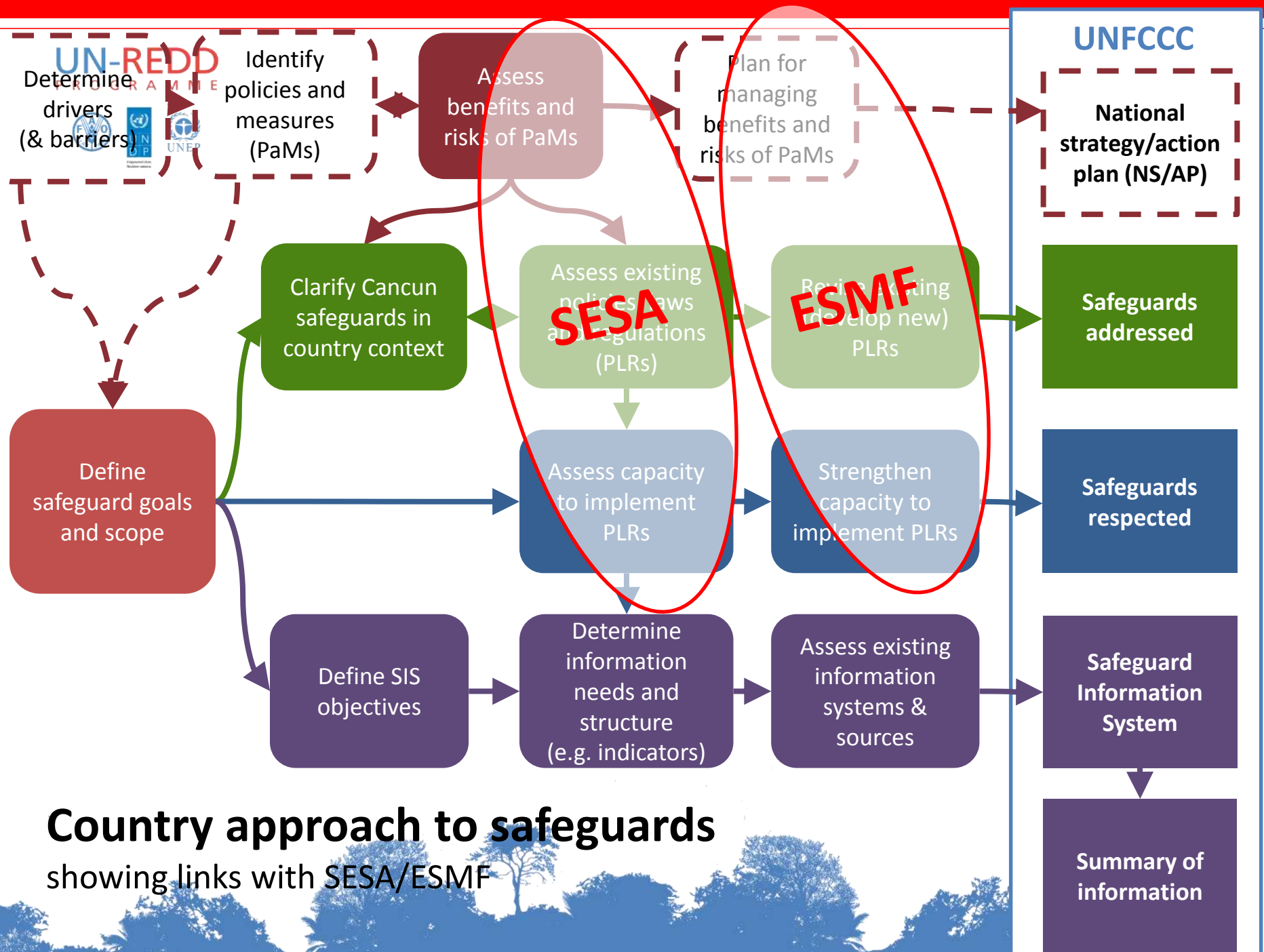
- **Framework for clarifying the Cancun safeguards in a country context**

- **Glossary of key terms**

Forthcoming publications (December 2015)

- **REDD+ Safeguard Information Systems: practical design considerations**

- **Country Approaches to REDD+ Safeguards: A Global Review of Initial Experiences and Emerging Lessons**



Country approach to safeguards
 showing links with SESA/ESMF

	UNFCCC (UN-REDD CAS)	FCPF SESA-ESMF	Comparison
Safeguard Content	<p>Cancun Safeguards</p> <p>(See UN-REDD “Clarifying Cancun Safeguards document” outlining key issues)</p>	<p>WB Safeguards</p>	<ul style="list-style-type: none"> - WB Safeguards have more detail - General consistency when taking into account that countries clarify Cancun safeguards into national context
Process to Apply the Safeguards	<p>No process specified.</p> <p>(See UN-REDD “Country Approach to Safeguards”)</p>	<p>Strategic Environmental and Social Assessment (SESA)</p> <p>(Assess benefits/risks of REDD+ Strategy)</p>	<ul style="list-style-type: none"> - WB SESA ToR offers more detail - General consistency when taking into account broader UNFCCC safeguards requirements (SIS and summary of information)

	UNFCCC (UN-REDD CAS)	FCPF SESA- ESMF	Comparison
System for the Provision of Information on Application of Safeguards	Systems for providing information on how the safeguards are addressed and respected (SIS)	Reporting structure is embedded in ESMF as an output.	<ul style="list-style-type: none"> - Different approaches - UNFCCC has more detailed requirements on <i>how</i> to provide the information - However, both require same type of information be provided
Output – Information on how the Safeguards are being applied/ met.	Parties undertaking REDD+ activities should provide a summary of information on how the Cancun safeguards are being addressed and respected throughout the implementation of the activities.	Environmental and Social Management Framework (ESMF) (Outline measures to address risks of REDD+ strategy)	<ul style="list-style-type: none"> - Different approaches but general consistency - UNFCCC requires information to be provided on how the safeguards have been met - FCPF requires reporting on the implementation of the ESMF (useful input to Summary of Information)

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Thank You

