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# Asia & Pacific Regional Consultation with Indigenous Peoples Organizations: Free, Prior and Informed Consent and Recourse Mechanisms

**16 – 18 June 2010**  
Hanoi, Viet Nam





# Workshop Purpose, Outcome & Process

**Purpose:** To engage the right group of practitioners and experts to develop a set of practical draft guidelines for achieving free, prior and informed consent and providing effective Recourse in the context of the UN-REDD Programme, and to inform how FPIC and Recourse will be addressed for REDD readiness and REDD+ more broadly.

**Intended Outcome:** An initial set of definitions, guidelines, and issues for further elaboration for FPIC processes and Recourse mechanisms to be applied to the UN-REDD Programme.

**Process:** The outcomes of this workshop will serve as inputs for similar regional consultations in Latin America/Caribbean and Africa later this year. The resulting guidelines will be annexed to the UN-REDD Operational Guidance on Stakeholder Engagement.



# Agenda Overview

## Day 1:

- Background on FPIC and Recourse Mechanisms and the UN-REDD Programme and its approach to stakeholder engagement.
- Key issues and challenges in operationalizing FPIC
- Experiences from FPIC pilots in UN-REDD Programme countries

## Day 2:

- Elaborating the components of FPIC and Recourse (working groups and reports back)
- Identification of areas of consensus and of need for further elaboration

## Day 3:

- Drafting and aligning on initial definitions, guidelines and issues to be elaborated further
- Reviewing the process and identifying next steps



# Introductions

## Please Share With Us:

- Your name
- Your organization and country
- How have you been involved with FPIC processes and/or REDD? How is REDD relevant to your work?



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## UN-REDD Programme Approach to Stakeholder Engagement: Background and Context

Asia/Pacific Regional Consultation with Indigenous Peoples Organizations

Wednesday, 16 June 2010

Hanoi, Viet Nam

Charles McNeill, Senior Policy Advisor, UNDP





# REDD+

**REDD**: Reducing **E**missions from **D**eforestation and Forest **D**egradation

**+**: the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

*As part of UNFCCC, REDD+ is one way that developing countries will be compensated for reducing emissions and contributing to the mitigation of climate change.*

*REDD+ is projected to be one of the most cost effective ways of reducing emissions in the third largest emitting sectors (forestry ~ 17%)*

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# The UN-REDD Programme

A partnership of FAO, UNDP and UNEP delivered through:

## **National Programmes:**

- Support nationally-driven REDD+ readiness processes and REDD+ strategy development and implementation

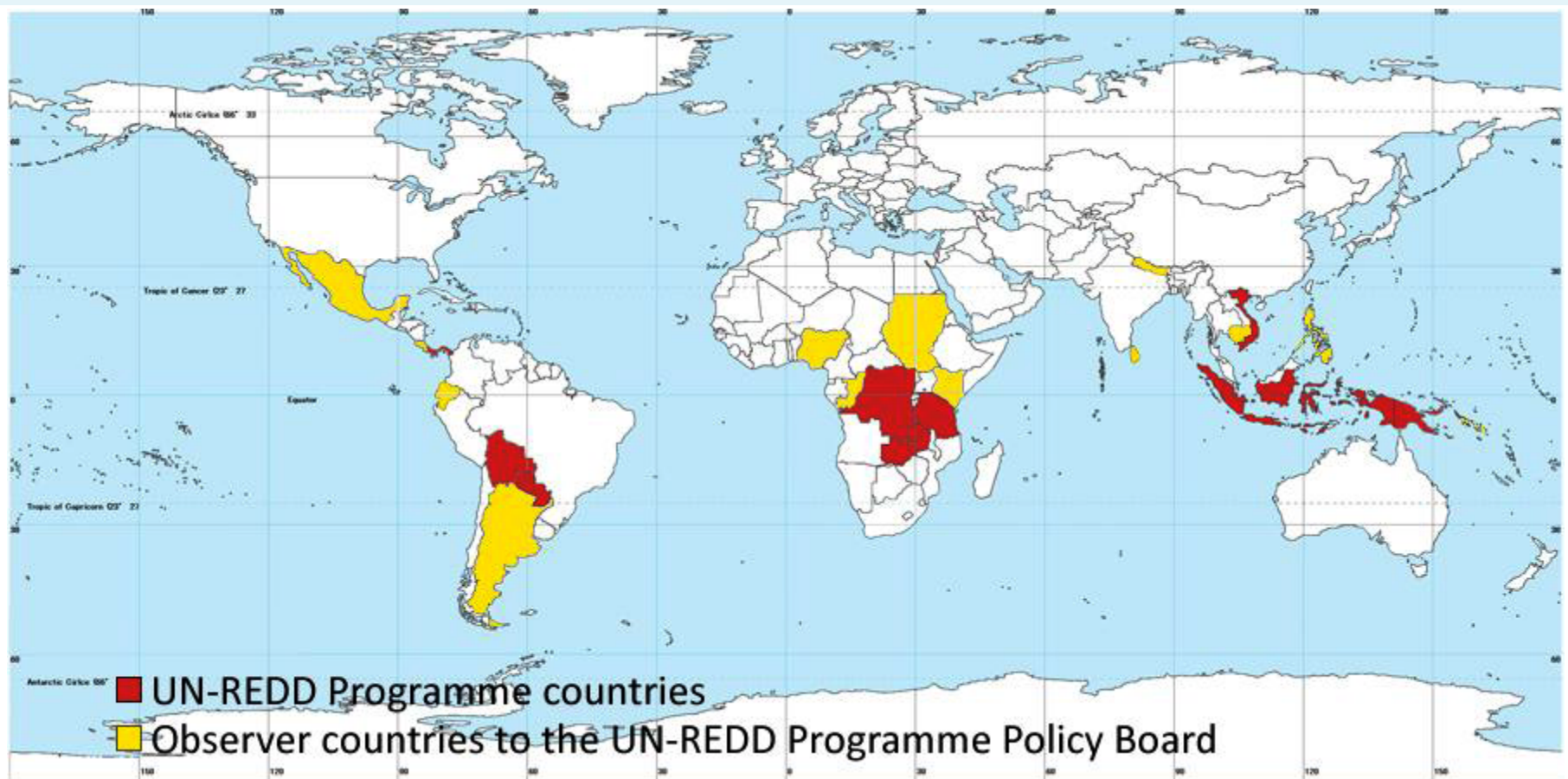
## **Global Efforts:**

- Analysis and facilitating development of common approaches to: MRV, REDD+ governance, associated livelihood & ecosystem benefits and stakeholder engagement, including FPIC

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# UN-REDD Programme Countries







# Why Prioritize Stakeholder Engagement?

- Much of the world's remaining forests are located on the lands of Indigenous Peoples and local communities
- The local level is where land and natural resource management decisions are made
- Stakeholder engagement increases the sustainability and effectiveness of REDD+ programs
- Governments and the UN have legal obligations to engage
- Engagement is a matter of equity and basic human rights !



# Governments and the UN have Legal Obligations to Engage Stakeholders

## States are expected to comply with international agreements they have signed

- UN Declaration of the Rights of Indigenous Peoples (UNDRIP) (2007)
- Programme of Action for the 2nd International Decade of the World's IP (2005)
- Millennium Declaration (2000)
- CBD (incl. Article 8j on Traditional Knowledge, Innovations & Practices) (1992)
- ILO Convention 169 concerning Indigenous and Tribal Peoples (1989)
- General Recommendation XXIII on the Rights of IPs (UNGA Res. 2106, 1965)
- Int'l Convention on the Elimination of all Forms of Racial Discrimination (1965)
- Charter of the United Nations (1945)

## UN agencies need to comply with UN policies:

- UNDG Guidelines on Indigenous Peoples' Issues (2008)
- UN Declaration of the Rights of Indigenous Peoples (UNDRIP) (2007)
- UN Common Understanding on the Human Rights Based Approach to Development Cooperation (2003)



# UNFCCC COP 15 LCA Draft Decision Recognizes IPs

Copenhagen COP 15 draft Long-term Cooperative Action (LCA) decision:

*“that a broad range of stakeholders needs to be engaged ... and that the effective participation of women and indigenous peoples are important for effective action on all aspects of climate change”*



# UNFCCC COP 15 LCA Draft Decision Supports Safeguards

Copenhagen LCA Draft Decision on REDD+ (Para 2) affirms that the following safeguards should be promoted:

- Respect for the knowledge and rights of indigenous peoples and members of local communities
- Full and effective participation of relevant stakeholders, including indigenous peoples and local communities

Further, the draft decision (Para 6):

*“Requests developing country Parties when developing and implementing their national strategy or action plan, ... to ensure the full and effective participation of relevant stakeholders, inter alia, indigenous peoples and local communities;”*



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**Thank you!**

[www.un-redd.org](http://www.un-redd.org)







# Alignment of UN-REDD & FCPF Guidelines



- Agreement taken between FCPF and UN-REDD to harmonize approaches to stakeholder engagement after the 1<sup>st</sup> Policy Board meeting in Panama, upholding the higher standard.



- Have reviewed FCPF SESA for applicability to UN-REDD Operational Guidance and making a proposal to FCPF for next steps on alignment



- Depending on response, may call on the advice of the IAG and IP/CSO PB representatives for best course of action



# Harmonization with FCPF

**FCPF Guidance Note on Stakeholder Engagement**

**+ UN-REDD Programme Operational Guidance on the  
Engagement of IPs & Forest Dependent Communities**

**= Harmonized Guidance on Stakeholder Engagement**

- Principles for Effective Stakeholder Engagement
- Operational Guidelines for Participation and Consultation
- Practical Steps for Carrying out Effective Consultations
  - Annexes: Guidelines Specific to the UN-REDD Programme  
Relevant WB & UN Policies  
Relevant Resources & Tools



# Harmonization with FCPF

## Practical Implications for UN-REDD Pilot Countries:

- One shared approach by FCPF and UN-REDD to support stakeholder engagement in readiness activities
- Streamlining of consultation processes to one shared process
- No change in UN-REDD Guidelines for National Programs re:
  - Representation
  - Validation meeting
  - Consultation process
  - Activities & resources for stakeholder engagement
  - Recourse mechanism
  - Transparency & access to information



# Harmonization with FCPF

## Harmonized Elements

### • **Shared Principles:**

- Transparency and access to information
- Consultation and participation of a broad group of stakeholders
- Consultations should occur early in the process and be ongoing
- A grievance mechanism should be developed as part of the process
- Processes should link directly to planning and decision making

### • **Practical Steps for Carrying Out Effective Consultations:**

- Step-by-step guide to conducting an effective consultation process common to both FCPF and UN-REDD

## Distinct Elements

- WB Operational Policy 4.10 on IPs & UN Declaration on the Rights of IPs
- R-PP Guidelines & UN-REDD Guidelines for National Programs
- WB FCPF Strategic Environmental and Social Assessment

# What is the UN-REDD Programme?

Partnership of FAO, UNDP & UNEP to provide support for:

## 1. National Programmes

- 9 pilot countries (Bolivia, Panama, Paraguay; DRC, Tanzania, Zambia; Indonesia, PNG, Viet Nam) and 13 observer countries
- capacity building for readiness

## 2. Global Activities: guidelines, advice, regional/international dialogue, analyses on:

- Monitoring, Reporting, Verification (MRV)
  - Stakeholder Engagement
  - Benefit Sharing
  - Carbon Accounting
  - Multiple Benefits
  - Payment Mechanisms analyses
- ⇒ that support country action
- ⇒ that support the UNFCCC process on a global scale

*UN-REDD supports countries in their “Readiness” capacity development efforts, upon request and in collaboration with other partners*