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Title Regional Stakeholder Engagement Specialist
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1. Practice area: BPPS / Sustainable Development and Resilience Cluster	
2. Mission period (incl. of travel days) From: 19 to: 24 April	
3. Type of mission: Technical backstopping	4. Clients OCCD – Joe Pokana, Terence Barambi, Danny Nekitel, Gwen Sissiou UNDP CO – Gwen Maru PMU – Arthur Neher
5. Purpose of mission To provide technical assistance to Office of Climate Change and Development (OCCD) to plan the field testing of the working final of the National Free, Prior and Informed Consent Guidelines for REDD+	6. Documents, materials, resources Field record and report templates Time line
7. Mission member Celina Yong	8. Costs UNDP/UN-REDD global programme budget

9. Brief summary of the mission

9.a Background

A working final of the National FPIC Guidelines for REDD+ was circulated on 1 September 2014 following 3 years of development and consultations. One of the recommendations from the validation workshop was to field test the guidelines. The first field test in November 2014 in Central Suau can be considered a misstep given that the field team had erred on the side of poor preparation, lack of coordination with PNGFA, and misunderstood objectives: evaluating PNGFA’s FPIC processes, which was not compatible given that PNGFA had not followed the steps outlined in the guidelines, but instead their 34-steps outlined in a Forest Management Agreement.. It can partly be attributed to the transfer of responsibilities within OCCD, resulting in a gap in institutional memory. Resultantly, the field test morphed into a general awareness raising on FPIC.

During an Expert Workshop on Safeguards and Safeguards Information System in Bangkok in March 2015, an initial discussion had taken place with Joe Pokana, Manager of REDD+ and Mitigation Unit in OCCD, with a view of identifying potential project partners with planned activities, through which proposed FPIC steps can be adapted into their current processes and tested. The Wildlife Conservation Society (WCS) offered their pilot site in Manus. The testing of the guidelines must be done urgently given that it will be an appendix in a REDD+ Policy that will be tabled to the National Executive Council (NEC) for endorsement in 2015.

9.b Main Findings

- Preparatory Workshop to test FPIC Guidelines, 22 April 2015
 - The workshop included presentations on the key elements of FPIC, building from the key issues surrounding Cancun safeguards b, c and d: legitimate and accountable representation; transparency and access to information; capacity; robustness of decision-making process; access to justice and grievance redress mechanisms. This was well-received by participants as it demystified FPIC. A more in-depth presentation was shared with Joe and Terrence earlier in the week as part of an on-going capacity building exercise for OCCD.
 - WCS confirmed the testing in their pilot site in Manus from 25 – 29 May 2015, with proposed activity of Ward Planning in Tulu 1 village, and adapting steps from Sections B and. Expected challenges are traditional decision-making structures; gender considerations and existing disputes between clans. However, there are high expectations for this testing given that WCS had considerable success with their “Luksave Walkabout” or FPIC through their Village REDD+ project resulting in Conservation Agreements.
 - ForCert will test the national BSDS framework, the first draft expected to be ready before end of May 2015. The venue is Tavolo Village where ForCert had worked with the clans to develop a local BSDS for PES since 2010. Expected to take place mid-June, anticipated challenges are internal conflict between the Ward Councillor, who is also the village leader, and his community; understanding the link between PES and non-cash benefits; and management of expectations. There has

been a minor breach of trust given that the communities had been expecting to receive cash, which has yet to materialise. ForCert and OCCD were about to sign an agreement where the latter is expected to provide the former PGK 50,000, likely to test the local BSDS.

- Both organisations will submit individual work plans complete with budget, composition of team members, roles and responsibilities to OCCD and UN-REDD. WCS has agreed to some in-kind contribution: boats and vehicles. UN-REDD has allocated an estimated PGK 22,000, but OCCD has proposed a cost-sharing modality between WCS/ForCert, OCCD and the Programme.
- The OCCD field test team: Joe (leader), Terence and Sonia, will arrive at the respective sites a day earlier to ensure both parties are clear about their roles and responsibilities, and proposed activities. Both ForCert and WCS will lead the testings, while OCCD will observe the adapted process. A field record worksheet as well as annotated table of contents for the field test report had been shared with all parties. ForCert, WCS and OCCD have agreed to prepared individual reports, expected 2 weeks after completed of field test, which will also be shared with, and reviewed by the regional specialist. Danny Nekitel will oversee the necessary revision in the guidelines, assisted by the regional specialist. He has also agreed to run sections related to legitimate representatives and establishment of ILGs and LOCs by legal experts.
- Elizabeth Kaidong from PNGFA had shared that the project in Central Suau may need to be reviewed given that only 15,000 ha out of 60,000 ha is viable for any activities. Given this scenario, and pending decision from PNGFA senior management, there may be a possibility to repeat the consultation and consent processes. If and when this is likely, PNGFA is open to adapt their 34-steps and test the guidelines. GIZ is currently preparing a workshop report and recommendations of next steps, which will be shared with all partners, including OCCD. A request has been made to also share the report with the Programme and regional specialist. This is an important opportunity to create possible complementarity, given that PNGFA's 34-steps for a Forest Management Agreement are perceived as less robust. A similar offer was made after the workshop in July 2014, but there has been reluctance by OCCD to meaningfully work with PNGFA. The Programme needs to play a stronger role to ensure this second offer does not go to waste.
- Milne Bay Provincial Administration is considering adopting the guidelines in the development of their provincial climate change plans. This will be considered later in the year, depending on availability of human and financial resources.
- Preparation for the FCPF work on gender
 - ForCert and WCS were able to encourage more women to participate in consultations, and to some extent, decision-making through traditional structures. The latter had commissioned a study on the gender element in a socio-economic development study, while GIZ had a brief gender analysis in their Central Suau feasibility study report. Meanwhile, CO is focused on the development of provincial strategies to address gender-based violence based on a similar national strategy.
 - Gwen Sissiou's initial opinion was that many gender analysis from policy, institutionalisation angles have been conducted. Where value may added is in the operationalisation of measures to address gaps identified.
- Formulation of PNG's REDD+ Communication Strategy
 - During a debrief workshop by the consultant, Michael Dougherty, ForCert had raised concerns for a lack of key messages to be developed and communicated with audiences at the international, national, provincial and village levels. Information presented had focused on types of materials rather than content. The gap between provincial and village levels is also seen as a challenge, given that GoPNG does not yet have a clear vision for REDD+, what corresponding potential policies and measures at all levels are, and where they could be tested most effectively. Outcome 2 of FCPF plays an important role to inform this body of work.
 - An equally important consideration is to strengthen the REDD+ TWG, potentially through a review of its TOR. This is to be tabled as an agenda item in the coming TWG meeting on 30 April 2015. Any TWG formed, including a possible Communications TWG should include selection criteria for its members, and where possible identification of the number of days members are expected to contribute.

9.c Bilateral discussions

- Joe Pokana
 - Concerns raised by stakeholders to the short window of time to comment – an initial one-day, followed by two weeks – on the draft zero of the REDD+ Policy. Emphasis was given to the need to respect the principles promoted in the FPIC guidelines, with a view of learning from the 2-month review period for the guidelines in 2014. He did not consider it feasible given that recipients hardly respect deadlines. The immediate urgency was to develop an Information Note for Minister Pundari to present to the NEC mid-May, but the policy may not be tabled until its fourth draft.
 - Nonetheless, the Programme needs to constantly emphasize the need to plan properly for consultations of key policies, including how comments are considered or not considered by OCCD. The Programme may need to consider developing a consultation plan with OCCD to ensure key stakeholders have adequate opportunities to review key policies.

9.d Expected Outputs

- Field test and report templates, time line with expected outputs

- Observation sheets for OCCD adapted based on work plans and detailed activities proposed by ForCert and WCS
- Revised sections of the guidelines

10. Key counterparts

- OCCD – Joe Pokana, Terence Barambi, Danny Nektel, Gwen Sissiou,
- UNDP CO – Gwen Maru
- PMU – Arthur Neher

11. Follow up action matrix

Action to be taken	By whom	Expected completion date
Field test and report templates and timeline with expected outputs	Celina	Done
Adapted observation sheets for OCCD team	Joe, Celina, Terence	Upon availability of work plans by WCS and ForCert (May)
Informal review of legitimate representatives, ILG and LOC by legal experts	Danny	May - June
Revise sections of the guidelines	Danny, Celina	July or when the field reports and analyses are submitted

12. Distribution list

UNDP UN-REDD

Proposed Field Testing Table of Contents (8 – 12 pages)

Annotated Table of Contents

- 1) Background information
 - a) Project information
 - b) Activity(ies) planned, geographical information
- 2) Methodology
 - a) Preparation –
 - i) Which section(s) of the guidelines is tested?
 - ii) How is it integrated into the project's existing plans?
 - iii) What specific methods will be used?
 - iv) What materials were prepared and how will they be used?
 - v) Composition of team members and designated roles and responsibilities?
 - vi) Sequence of activities?
 - vii) Timeline?
 - b) Field testing – what was done?
- 3) Field test results – *NB: Taken from field test record (Excel)*
 - a) Key sections, depending on the type of activity and corresponding steps from the guidelines. E.g., representation, decision-making
 - b) Challenges?
- 4) Analysis of field test results
 - a) What worked? Why?
 - b) What did not work? Why?
- 5) Recommendations

