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1. Practice area: PBPS SDC	
2. Mission period (incl. of travel days): From: 15 -20 August 2016	
3. Type of mission: Technical backstopping	4. Clients: UN-REDD PMU, UNDP CO, FAO, Forest Department, MMDE
5. Purpose of mission: National REDD+ Strategy Development follow up & national consultation on GCF REDD+ investment proposal development	6. Documents, materials, resources <ul style="list-style-type: none"> – GCF concept note for REDD+ investment – Workplan (see the annexes) – PAMs carbon impact estimates
7. Mission members: Aki Kono, RTA with Philippe Crete from FAO HQ.	8. Costs: UN-REDD Global Programme Budget

9. Brief summary of the mission
The Ministry of Mahaweli Development and Environment (MMDE), as the National Designated Authority of Sri Lanka for the Green Climate Fund (GCF), has recently requested the UNDP as a GCF accredited agency to lead and coordinate the process of GCF project preparation for REDD+ investment. The MMDE has also requested for FAO’s involvement in the project preparation to provide necessary technical support to the GoSL under UNDP’s overall direction and guidance. The request for this specific working arrangement is built on the recognition of successful inter-UN agency support provided by the two UN agencies through the UN-REDD Sri Lanka National Programme.

This mission was to support the UNDP Country Office in initiating a process of project development, and identifying clear roles and responsibilities among key partner institutions, as well as in parallel to support the UN-REDD PMU team in making progress towards the completion of the National REDD+ Investment Framework and Action Plan, as the two teams of work are seamlessly interlined.

10. Findings

GCF Project Proposal

During the mission, a total of four internal and external partner meetings were held to discuss: 1) scope of the project, 2) implementation arrangements (particularly the working relation between FAO and UNDP), and 3) roles, responsibilities and timeline.

- MMDE, UNDP and FAO are set to complete a full proposal package for submission to the GCF by the end of November 2016, with an alternative target of meeting the June Board meeting in 2017.
- NDA will submit the concept note directly to the GCF in early September to indicate its intention.
- Scope of the project was presented to the government and Civil Society partners, and the role of Civil Society in providing additional quality assurance during the project implementation (ie. Output 4), and the project’s direct contribution to the INDC were highlighted as national priorities.
- The role of FAO in the execution of the project has been defined, and FAO will provide technical assistance for the implementation of PAMs 1, 2, 3, 4, 5, and 10 under Output 1 and entire Output 2.
- FAO, as “Responsible Party” will prepare and submit a multi-year budget for the outputs/activities to the IP for technical clearance. Up on request by the IP, UNDP will engage FAO through the UN -agency to UN-agency agreement to govern the transfer of the cleared budget amount to the RP.
- Roles, responsibilities, timeline and preparation finance needs and sources were agreed. Please see the annexes for more detail.

- Letter of co-financing from the Government will be sought through the Ministry of Finance on behalf of all involved national institutions.

Progress on National REDD+ Investment Framework and Action Plan Development (NRIFAP)

The PMU is currently working on integrated financial planning of the PAMs, while there are still on-going and planned activities on safeguards/SIS, national circumstances for FRL, NFMS design, institutional capacity assessment, and economic impact analysis. The PMU aims to release a draft NRIFAP for a public consultation by end-October, but given the current rate of progress, the deadline might be unrealistic.

- SIS prototype developed will be populated with the information generated by the safeguard consultants by PMU (Pumi).
- Institutional capacity assessment consultants have been recruited, and the first work planning discussion clarified the scope of work, parameters of assessment, mission dates and delivery schedule.
- Through discussion with Philippe from FAO and PMU, several assumptions were established in relation to the PAMs expected impacts on addressing deforestation and enhancing forest carbon stocks in order to generate carbon emission reduction/removal estimates.

11. Follow up action matrix

Action to be taken	By whom	Expected completion date
SIS table to be populated with currently available info	Pumi	One week after the mission
Stakeholder engagement records to be compiled	Pumi	One week after the mission
Availability of Nadeera for the social and environmental screening work to be checked for the GCF proposal	Nalin	One week after the mission
ToR for economic analysis to be prepared	Alexis/Aki	One week after the mission
Costing of PAMs to be completed	Alexis	1st week of September
Costing of Output 4 and SE guidance note to be prepared	Celina	Mid-September
TOR for prodoc preparation support to be submitted to FAO.	Aki	Mid-September

12. Distribution list: UN-REDD, and the CO

Annex 1: REDD+ proposal development for GCF

Supporting documents for the Proposal

Supporting documents:	Responsibility /FP	Budget (USD)	Funding	Timeframe
Concept Note	UNDP led by Aki FAO : Ben	-		August 31
Feasibility Study Drivers Study, Strategy. PAMs, ER estimates	UNDP CO (Ramitha) and Aki,(Raushan, Alexis)	-		
Economic Analysis	Local consultant Working with NPD -Identify parameters	7500 (PMU)	UNDP component of UNREDD will fund UNDP recruitment	TOR developed: August 25 Recruitment:

	-small QA team with NPD		TOR development PMU/Aki	October 30 2016
Financial Model	Clarify with RBAP And GCF during concept submission			
Environment and Social Safeguards Risk Assessment	Local Consultant (Nadeera R)	<5000	UNDP CO (Ramitha and Tharuka to expand current TOR and share ESSP template) UNDP CO to fund	September 01- October 30
Gender Plan		<5000	Aki/Celina to check if budget is necessary to pay for IC support	October 30
Detailed budget Procurement plan	Costing the strategy on going. PAMs, Output 2 and part of 3 will be ready by end September	3500 (budget for PA and travel)	PA (6 days) UNDP CO to Fund	November 10- 20
Stakeholder Consultation and engagement plan	UNREDD Strategy process Minutes from all district consultations and the tables on all consultations List of participants		PMU (Alexis/Nalin)	October 30
Operations and Maintenance plan			Aki to check what format this should take	
Co-finance commitments		-	MMDE/ UNDP CO and PMU	November 20
Proposal Writing	International Consultant UNDP	6000	Aki/ possible IC to backstop FAO CO to fund	November 10

Annex 2: Government of Sri Lanka - Proposal for the Green Climate Fund

CONSULTATION PROCESS- 18th August 2016

Consultation with	Timeline	Responsibility	Budget
1. PM's Policy Division, Ministry of Policy Planning, Ministry of Foreign Affairs, National Planning Department and External Resources Department: UNDP, MMDE and FD	By August 30	UNDP and MMDE	-
2. Meeting with Rohana Dissanayake, Additional Secretary, Presidential Secretariat	By September 02	UNDP and FD	-

3. First Inter- Ministerial Committee meeting	By September 30	MMDE UNDP and PMU (to provide the full list of REDD PAM task Force members)	?
4. Consultation with civil society, private sector and academia -2 hour consultations with each category in one day.	By October 15	UNDP and FAO	USD 1500
5. 2 nd Inter-Ministerial Committee meeting on co-finance requirements and draft project proposal	By October 30	MMDE Same list as above	?
6. Consultation on project management arrangements	By October 30	UNDP and MMDE With FAO and all RPs	-
7. Validation workshop Half day WS	By November 15	UNDP	USD 1000