Strategy and Planning Meeting on Anti Corruption for REDD+ Kathmandu, Nepal 10-11 October 2011

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Background

Under the UN framework Convention for Climate Change (UNFCCC), REDD+ (reducing emissions from deforestation and forest degradation in developing countries, and the role of conservation, sustainable management of forest and enhancement of forest carbon stocks) is an international financial mechanism that will compensate developing countries for cutting carbon emissions from their forest sector, through the conservation of standing forests and more sustainable forest activities. REDD+ was first proposed as part of the UNFCCC Bali Action plan in 2007, and in December 2010 an agreement on a general REDD+ framework was reached by Parties to the UNFCCC in Cancun. Developing countries are getting ready for REDD+ with bilateral and multilateral support, including the UN-REDD Programme[1].

While REDD+ is attracting momentum and interest, concerns are also being raised because REDD+ countries often face a number of governance challenges, inside and outside the forestry sector. The overall risks of corruption in REDD+, and possible mitigation measures for these risks, were examined during a workshop organized by UNDP with GTZ (now GIZ) at the 14th International Anti-corruption Conference in Bangkok in November 2010. These risks and strategies were also detailed in a UNDP-commissioned report[2] in November 2010, and addressed in Transparency International's Global Report on Corruption in Climate Change, among other reports.

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[1] The UN-REDD Programme is a partnership of FAO, UNDP and UNEP, established in 2008. See www.un-redd.org
[2] « Staying on Track : Tackling Corruption Risks in Climate Change", UNDP, 2010. Available at http://www.unredd.net/index.php?option=com_docman&task=doc_download&gid=3790&Itemid=53

The UN-REDD Programme has integrated activities on anti-corruption as a core element of its five-year Programme Strategy[3], supported by its Global programme Framework Document 2011-2015[4], with UNDP the lead agency. These activities are implemented together with the Global Programme on Anti-Corruption for Development Effectiveness (PACDE) of UNDP's anti-corruption unit. They include guidance on institutional frameworks for equitable, transparent and accountable benefit distribution systems in REDD+; strengthening the integrity of fiduciary systems for receiving and disbursement of funds, coordinating anti-corruption activities at the national, regional and international level; and supporting the capacity of multiple stakeholders to jointly mitigate corruption risks.

The PACDE workplan for 2011 has made capacity building for UN staff and UN-REDD programme management units a priority. In Asia- Pacific, UNDP is supporting REDD+ readiness processes through :

➢ Six UN-REDD Country Programmes (Cambodia, Indonesia, Papua New Guinea, Philippines, Solomon Islands,Viet Nam)

➢ The Global UN-REDD Programme in six additional UN-REDD partner countries (Bangladesh, Bhutan, Mongolia, Nepal, Pakistan and Sri Lanka)

➢ Technical advice for bilaterally financed actiivties (Indonesia and Norway; Mongolia/the Pacific and Japan)

➢ TRAC- funding in several countries (e.g., Bangladesh, Myanmar and Sri Lanka)

In this context PACDE, in partnership with the UN-REDD Programme and the Asia Pacific regional centre in Bangkok will organize three regional meetings in October 2011:

➢ Meeting 1 (*REDD+ & Anti-Corruption Strategy & Planning*, 10-11 October 2011) will convene governance/anti corruption focal points from UNDP Country Offices in Asia-Pacific, as well as a few external anti-corruption and environmental experts;

➢ Meeting 2 (*Anti-Corruption for REDD+ Awareness Raising*, 20-21 October 2011) will bring together REDD+ government focal points and UN-REDD programme management units staff civil society organizations working on REDD+ and governance issues;

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[3] Five Year Strategy, UN-REDD Programme, 2011-2015. Available at
http://www.unredd.net/index.php?option=com_docman&task=doc_download&gid=4598&Itemid=53
[4] « Support to National REDD+ Action : Global Programme Framework Document – 2011-2015 », UN-REDD Programme, 2011, Available at
http://www.unredd.net/index.php?option=com_docman&task=doc_download&gid=5534&Itemid=53

➢ Meeting 3 (*Anti-Corruption for REDD+ Capacity Building for Civil Society*, 21 October 2011), organized with Transparency International, will specifically target civil society organizations.

This programme addresses Meeting 1 , which will be specifically dedicated to strategizing on anti-corruption programming in the context of REDD**+.**

Goals

The primary goal of the REDD+ & Anti-Corruption Strategy and Planning meeting will be to identify strategic entry points for UNDP to mitigate corruption risks in REDD+ through its Anti- Corruption and UN-REDD Programmes at the country level, including possible anti-corruption targets and milestones in national REDD+ programs and strategies. This goal will be achieved by :

➢ Sharing experiences and lessons learned from practitioners about fighting corruption in the forestry sector and preventing corruption of global funds (such as the Global Fund on HIV/AIDS, Tuberculosis and Malaria), including limitations and shortcomings

➢ Familiarizing governance/anti-corruption focal points from UNDP Country offices in Asia-Pacific with REDD+ and the UN-REDD Programme, including ongoing governance initiatives

➢ Identifying how UNDP Asia-Pacific Regional Centre and UNDP HQ can support these strategic initiatives at the country level ; and

➢ Contributing to a draft Anti-Corruption in REDD+ Toolkit and providing inputs to finalize the UN-REDD Global programme Scope of Work on Anti- Corruption for REDD+.

Participants

This meeting is organized jointly by PACDE, the UN-REDD Programme and the Asia Pacific regional centre in Bangkok, with the support of the Pacific Centre in Fiji. The core group of participants will be UNDP Asia-Pacific Country Offices governance/anti-corruption officers and regional centre. Selected external experts from partner government and civil society organizations will be invited.

Agenda

Monday, 10 October 2011	
08:00	*Bus departure from Hotel Soaltee to Conference Venue at Yak & Yeti Hotel.*
08:30-9:00	*Registration*
9:00 – 9:45 **Opening Session**	**Welcoming remarks by Ms. Shoko Noda, UNDP Country Director, Nepal (TBC)** **Opening remarks:** *Mr. Phil Matsheza, Global Policy Advisor Anti-Corruption, Democratic Governance Group, Bureau for Development Policy, UNDP New York* *Mr. Samuel De Jaegere, Regional Anti-Corruption Specialist, UNDP Asia-Pacific Regional Centre* *Mr. Tim Boyle, Regional REDD+ Coordinator, UN-REDD Programme UNDP Asia-Pacific Regional Centre* *Q&A/ Discussion (15 minutes)*
9:45 – 10:45 **Session 1**	**Climate Change Finance, REDD+ and the UN-REDD Programme** *This session will provide an overview of climate change finance and current developments on climate fiscal frameworks, as well as the REDD+ mechanism and the role of UNDP in supporting REDD+ readiness activities in countries in Asia and the Pacific. The presentations will be followed by a group discussion.* *Chair: Mr. Wangdi Thinley, Commissioner, Anti Corruption Commission of Bhutan* *Mr. Vijaya Singh, Assistant Country Director, UNDP Nepal, will highlight the different streams of climate change finance, the findings from a pilot study on climate change financing in Nepal, and the outcome from the recent Asia-Pacific meeting on climate change financing and aid effectiveness, including how to promote transparency and accountability in climate fiscal framework s (15 min)* *Mr. Tim Boyle will present the overall development of the REDD+ mechanism, the status of national process in different countries in Asia and the Pacific, and introduce the UN-REDD Programme (30 min)* *Q&A/ Discussion: 15 min*
10:45 – 11:00	*Coffee Break*
11:00 – 12:15	**Group work: Identifying Corruption Risks in REDD+**

Session 2	*In this interactive session, participants will brainstorm and identify broad corruption risks and vulnerabilities in REDD+. Participants will review a draft survey on corruption risks to be issued to participants prior to the UN REDD meeting on Anti-Corruption scheduled in Bangkok from 20-21 October 2011.The participants will split in three groups, moderated by:* • ***Ms. Estelle Fach****, Governance and REDD+ Analyst, UN-REDD Programme, New York* • ***Mr. Tsegaye Lemma****, Anti-Corruption Specialist, PACDE, New York* • ***Mr. Samuel De Jaegere****, Regional Anti-Corruption Specialist, APRC* *Each group will meet for 30 min and report back in plenary for 10 min. Results will then be summarized in reference to UNDP's Publication "Staying on Track : Tackling Corruption Risks in Climate Change"*
12:15-13:15 **Session 3**	**Promoting participation, transparency and accountability in REDD+ : the UN-REDD Programme governance work** *This session will examine the UN-REDD Programme's ongoing governance activities and reflect on how anti-corruption fits into UNDP's broader work* ***Chair: Ms. Carol Flore****, Resident representative a.i.**, UNDP PNG(tbc)* • ***Ms. Estelle Fach,*** *Governance and REDD+ Analyst, UN-REDD Programme, New York will present the UN-REDD Programme's Social and Environmental Principles and Criteria (10 min)* • ***Mr. Tim Boyle, Regional UN-REDD Coordinator, UNDP APRC*** *will present UN-REDD's work on supporting to the engagement of stakeholders in REDD+ and benefit distribution systems (15min)* • ***Ms. Sujala Pant, Regional Governance Assessments Specialist, UNDP APRC*** *will present the Participatory Governance Assessment for REDD+ (10 min)* *Q&A and discussion : 25 min*
13:15 – 14.15	***Lunch Break***
14:15 – 16:15 **Session 4**	**Lessons learned from anti-corruption activities in the forestry sector in Asia Pacific** ***Chair: Mr. Mizan R. Khan,*** *Professor, Department of Environmental Science & Management, North South University Bashundhara Dhaka, Bangladesh* • ***Mr. Leki Dendup,*** *Senior Investigator, Anti- Corruption Commission of Bhutan, will share results of Bhutan's system study and investigations in the forestry sector (15min)*

	• **Ms. Josi Katharina**, *Associate Researcher, Indonesian Center for Environmental Law, will discuss corruption risks in the forestry sector in Indonesia, the recent study on legal violations in the forestry sector and the Ministry of Forestry's working team's activities to curb corruption. (15min)* • **Mr. Novriady Erman,** *Consultant, UNODC Indonesia, will present the recently completed study on Risks in Corruption in REDD+ in Indonesia. (15 min)* • **Mr. Giri Suprapdiono,** *Chief of International Cooperation Team of Indonesia's KPK, will discuss KPK's experience in addressing corruption in the forestry sector* • **Mr. Manoj Nadkarni** *and Mr. Victor Sosai, Transparency International, will reflect on TI's experience in Asia through its Forest Governance Integrity Programme, highlight features of the PAC-REDD programme and provide practical examples of anti corruption efforts in the forestry sector in Malaysia (25min)* *Q&A and Discussions : 20 min*
16:15 – 16:30	*Coffee Break*
16:30– 17:15 **Session 5**	**Lessons learned and Ways Forward in Financial Flows** **Chair: Mr. Phil Matsheza,** *Global Policy Advisor Anti-Corruption UNDP New York* • **Mr. Hakan Björkman,** *Cluster Leader, Partnership with the Global Fund to Fight AIDS, Malaria and Tuberculosis, UNDP, Geneva will share lessons learned from the UNDP Global Fund Grants in terms of addressing corruption risks by strengthening stakeholders capacities and systems. (15 min)* • **Mr. Fredrik Erikson,** *Former Norad Anti-Corruption Advisor and currently Anti-Corruption Consultant, will share his perspectives on financial flows for REDD+ (15 min)* *Q&A : 15 min*
17:15- 17:30	**Wrapping up day 1: What have we learned?** Moderated by Tim Boyle
Tuesday 11 October 2011	
09:00 – 09:15	**Agenda for Day 2**
9:15-9:45	**Brief perspectives from other regions**

Session 6	• ***Tsegaye Lemma*** *will report from a similar exercise undertaken during the Latin America and the Caribbean Anti Corruption COP held in September 2011 in Costa Rica (7min)* • ***Estelle Fach*** *will present initial anti-corruption in REDD+ work in DRC and Nigeria (7 Min)* Q&A : 15 min
9:45- 11:10 **Session 7**	**Break-out groups**: *What are Anti corruption priorities in each phase of the REDD+ readiness process ? (45 min)* Report from the groups and discussions (30 min)
11:00-11:30	*Coffee Break*
11:30-13:00 **Session 7** **(continued)**	**Break-out groups**: *what specific programmatic actions can UNDP undertake within the context of the UN-REDD Programme?(60min)* Reports from the groups and discussions(30 min)
13:00-14:00	*Lunch Break*
14:00 – 15:30 **Session 7** **(continued)**	**Break-out group:** *how can the UNDP Asia Pacific regional Centre and UNDP HQ best support country level activities on anti corruption in REDD+?(60 min)* Reports from the groups and discussions (30 min)
15:30- 15:45	*Coffee Break*
15:45 – 16:30 **Session 8**	**Following up and Next Steps** *This discussion will focus on agreeing on a follow up agenda for country, regional and headquarters initiatives on anti-corruption for REDD+. The discussion will also focus on" who's doing what" in actions to enhance anti corruption in REDD+ initiatives in the region*
16:30- 17:00	**Conclusions and closing**

List of Participants

No	Name	Title/Organization	Email
Bangladesh			
1.	Mr. Ghulam Rahman	Chairperson, Anti-Corruption Commission	mmr6533@yahoo.com
2.	Mr. Mizan R. Khan	Professor, Department of Environmental Science & Management, North South University Bashundhara Dhaka, Bangladesh	mizanrk@yahoo.com
Bhutan			
3.	Mr. Wangdi Thinley	Commissioner, Anti-Corruption Commission	thwangdi@druknet.bt
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5.	Mr. Ugyen Gyeltshen	Senior Systems Analyst, Anti-Corruption Commission	ugyeltshen@acc.org.bt
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18.	Mr. Vijaya Singh	Assistant Country Director, UNDP Nepal	vijaya.singh@undp.org
19.	Mr. Rishi Ram Tripathy	REDD, Forestry and Climate Change	
		Pakistan	
20.	Dr. Shoaib Suddle	Federal Tax Ombudsman Pakistan and Chairman Ombudsman Forum	dsuddle@gmail.com
21.	Colonel (R) Khurshid Alam	Director General, National Accountability Bureau	dy.chairman@nab.gov.pk
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26.	Ms. Carol Flore-Smereczniak	UNDP Deputy Resident Representative	carol.flore@undp.org
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Guidance for session chairs, speakers, breakout group facilitators and rapporteurs

Timothy Boyle, UNDP Asia-Pacific Regional Centre (timothy.boyle@undp.org), will be available to discuss the details of each session with the Chair, Speakers, Breakout Group Facilitators and Rapporteurs. As lead facilitator, he will bind the different strands of the meeting together and move into a lead-role whenever necessary. The lead facilitator will step in and assist if the session seems to be losing focus or going off track. All participants are encouraged to speak with the facilitator if there are any issues concerning the meeting process management that need to be addressed.

SESSION CHAIRS

Session Chairs are responsible for introducing the topic and the objectives of the session, introducing and handing the floor over to the speakers and summarizing the results/outputs at the end. They are the overall session lead and will ensure that the speakers are following the session guidelines, and will intervene with guiding questions and comments as necessary to help keep the session on topic and oriented to its objectives and outputs.

During discussion periods or question and answer periods, the chairs help facilitate the discussion, acting as a discussion "traffic cop", channeling and ordering interventions and encouraging broad-based participation.

The session chairs also need to keep an eye on time management and ensure that the session is not going over its time limit. Session chairs, or their representatives, should try to get in touch with the speakers in his/her session before to go over the objectives and planned presentation content with a view to ensuring the maximum complementarity and the minimum duplication between presentations.

SESSION SPEAKERS

The specific topic that a presenter has been asked to address is contained in the agenda. As a principle, session speakers are asked to:

- Make sure your presentation is short (10-15 minutes maximum).
- Make sure papers and/or presentations are available to the organizers on a USB (for sharing with the participants).
- Work with the chair to ensure all supports needed are in place before a session starts.
- Be familiar with the session details (topic, objectives, other speakers, chair, timing) and follow the time-lines as closely as possible.

- Keep presentations focused as much as possible on the objectives of the session (both in presentation points and in responses to questions or comments).

- Be cautious not to be overly reliant on your PowerPoint presentation or other formalized presentation supports; where possible, get up, move around the room, engage the other participants; if using powerpoint, try to employ the 5 by 5 rules (no more than 5 words and 5 lines per slide); no more than 1 PowerPoint per minute, use pictures, diagrams or multimedia where possible.

- Be focused and strategic in what you say and how you say it; there will be time to elaborate on details, but presentations should give the key points that you want the session to take forward or discuss.

BREAKOUT GROUP FACILITATORS

The specific topic that a group facilitator has been asked to address is contained in the agenda. The group facilitator should help the group to organize itself, its time and how it intends to report back to the larger group. As a principle, group facilitators are asked to:

- Help guide and stimulate discussions during group work. The discussions in most cases will be guided by the topic at hand and/or a list of guiding questions.

- Be familiar with the session details (topic, broad objectives, timing) and follow the time-lines as closely as possible.

- Ensure a rapporteur is appointed at the start of your breakout group to report back to plenary.

- Make sure the report is shared with the lead rapporteur.

SESSION RAPPORTEURS

Ms. Estelle Fach will be the lead rapporteur for the AP INTACT COP meeting. The lead rapporteur will be responsible for collecting all the powerpoint presentations/papers and session reports, as well as for compiling the overall report of the meeting. Session rapporteurs are requested to:

- Summarize the key points in their assigned session, including the formal presentations and a comprehensive synthesis of the Q&A/discussions.

- Submit their session report within 24h following their session. The Lead Facilitator will coordinate and collect the reports from the session rapporteurs.

- The report should be submitted to the lead rapporteur through email or with a USB on the same day as the session took place. By email, please send the report to estelle.fach@undp.org with CC to tsegaye.lemma@undp.org.

BREAKOUT GROUP RAPPORTEURS

- Breakout group rapporteurs summarize and report back to the plenary key points and recommendations brought up in the discussions in the small working groups.

- They also prepare brief written summary of the discussion in their working group, focusing key points and issues discussed and next steps agreed.

- Working group rapporteurs ensure that a written summary is provided to the lead rapporteur for their inclusion in the report of the day.

- The report should be submitted to the lead rapporteur through email or with a USB on the same day as the session took place. By email, please send the report to estelle.fach@undp.org with CC to tsegaye.lemma@undp.org.