



# FCPF Portfolio in Africa- Social & Environmental Safeguards

## **UN-REDD Free Prior Inform Consent Workshop**

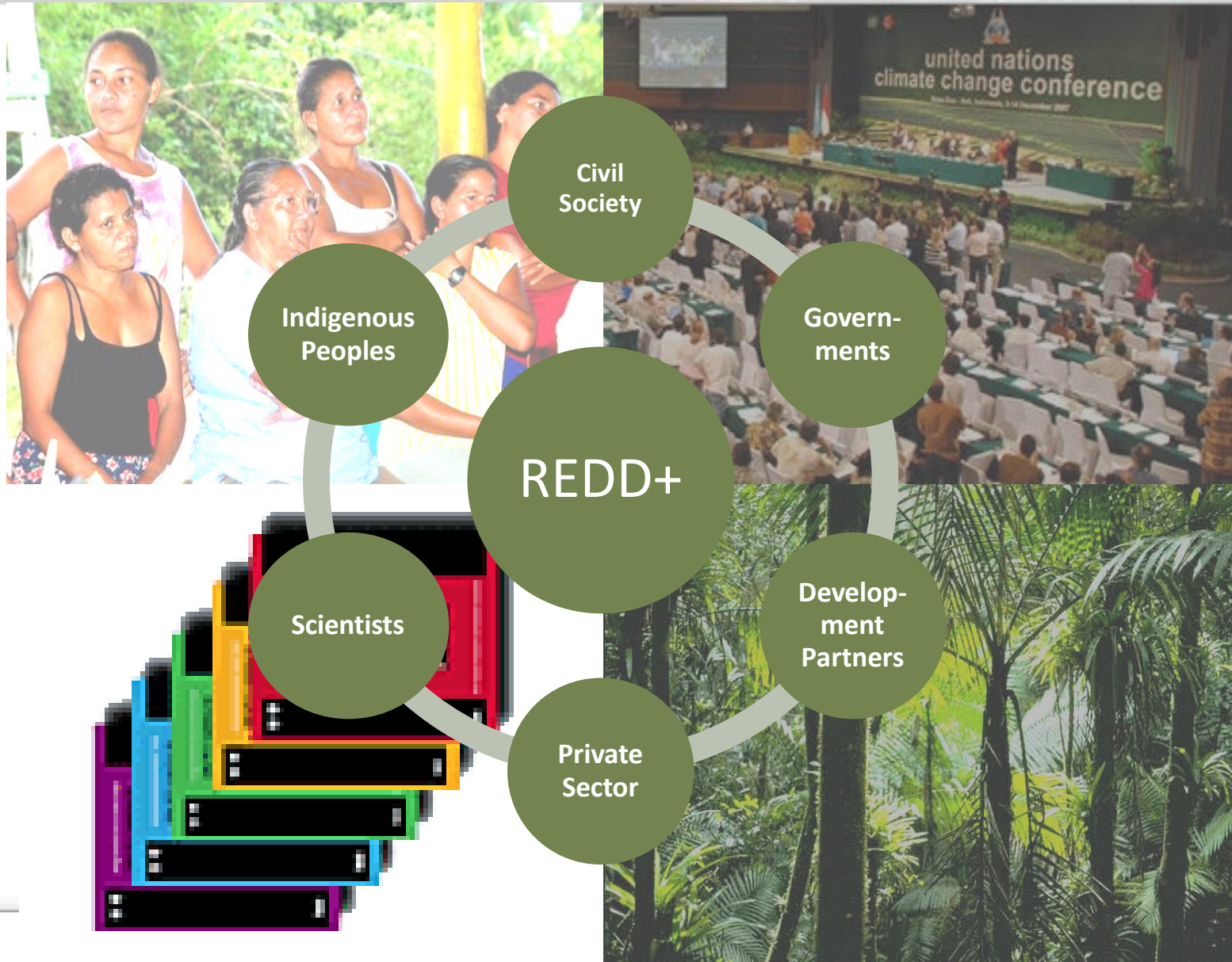
Arusha, Tanzania  
January 24-28, 2011

# Presentation format

## **Presentation will be divided into four Sections:**

- Quick Overview of FCPF
- FCPF in the Africa Region
- Stakeholder Engagement:
- Strategic Environmental and Social Assessment Approach:  
Integrating Environmental and Social Consideration into the R-PP

# 1. FCPF: A Partnership to Make REDD+ Happen



# Readiness Preparation

## National REDD+ Strategy

Con-  
sulta-  
tions

REDD Implementation  
Framework

National  
Organization

MRV

Reference  
Scenario

# FCPF Governance

## Technical Advisory Panels (TAP)

Provide external technical advice and assessments



## Participants Assembly (PA) All Eligible REDD Countries, Donors and Carbon Fund Participants

- Forum for exchange of information
- Meets at least annually
- Opportunity for 'subgroups' to meet and discuss experiences, elect their representatives
- Overturns PC decisions on some issues

## Participants Committee (PC) An elected subset of the PA with equal numbers of Donors and REDD Countries

- Elected representatives of Participants + Observers
- Primary decision making body, including all policy issues

## Facility Management Team (FMT) (World Bank)

FMT acts as secretariat to facilitate the partnership

## Delivery partner (World Bank)

WB Operational Units provide technical support and conduct due diligence on WB policies and procedures



## Carbon Fund Participants Committee

Decision making on specific carbon transactions



# Composition of Participants Committee (2010-2011)

## REDD COUNTRIES

Argentina	Guatemala
Cambodia	Kenya
Colombia	Mexico
Costa Rica	Nepal
DRC	Paraguay
Ethiopia	Thailand
Gabon	Uganda

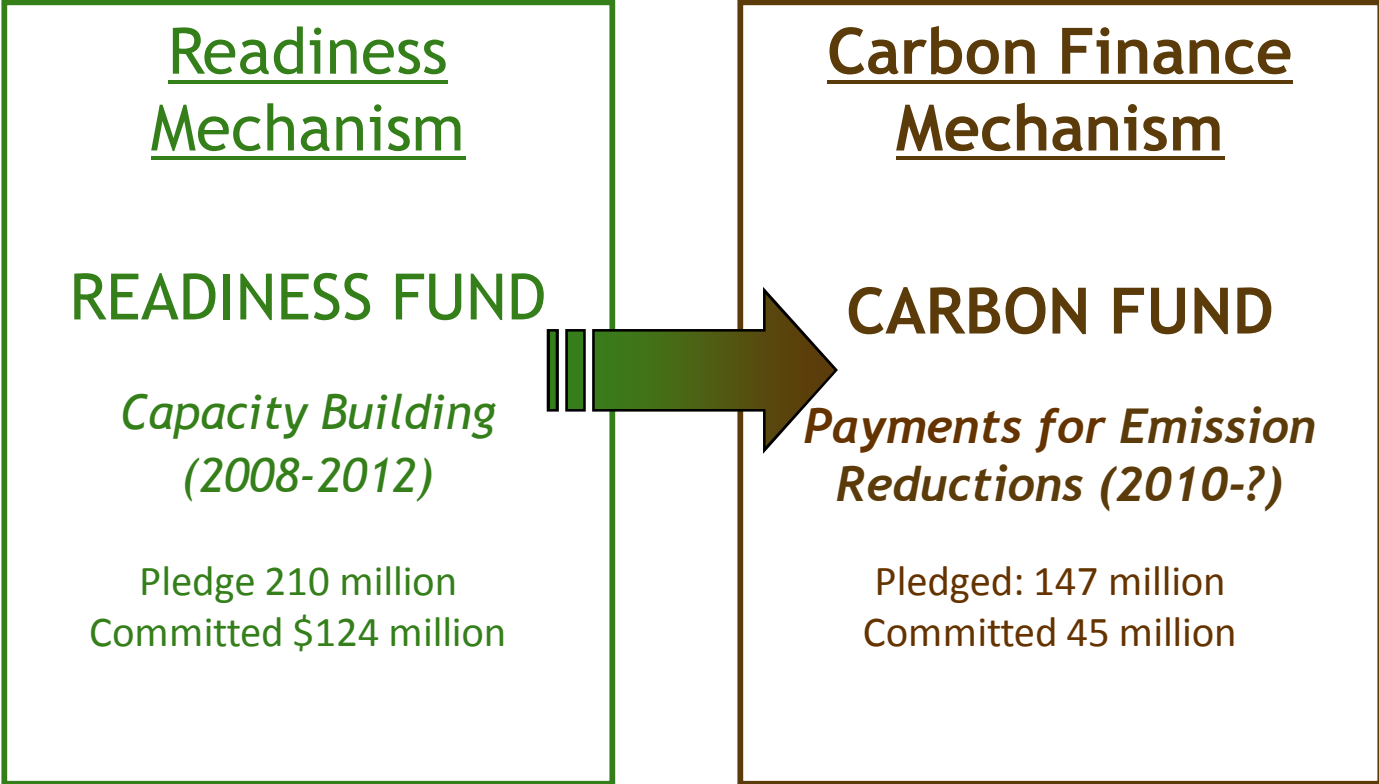
## OBSERVERS

Forest-Dependent Indigenous Peoples,  
Private Sector,  
International Organizations,  
NGOs,  
UNFCCC Secretariat,  
UN-REDD Programme

## CONTRIBUTORS

AFD  
Australia  
Denmark  
European Commission  
Finland  
Germany  
Japan  
Netherlands  
Norway  
Spain  
Switzerland  
The Nature Conservancy  
United Kingdom  
United States

# Two Mechanisms



# FCPF: REDD Country Participants

## South America

- Argentina
- Bolivia
- Chile
- Colombia
- Guyana
- Paraguay
- Peru
- Suriname

## Meso-America

- Costa Rica
- El Salvador
- Guatemala
- Honduras
- Mexico
- Nicaragua
- Panama

## Africa

- Cameroon
- Central African Republic
- Dem. Republic of Congo
- **Equatorial Guinea \***
- Ethiopia
- Gabon
- Ghana
- Kenya
- Liberia
- Madagascar
- Mozambique
- Republic of Congo
- Tanzania
- Uganda

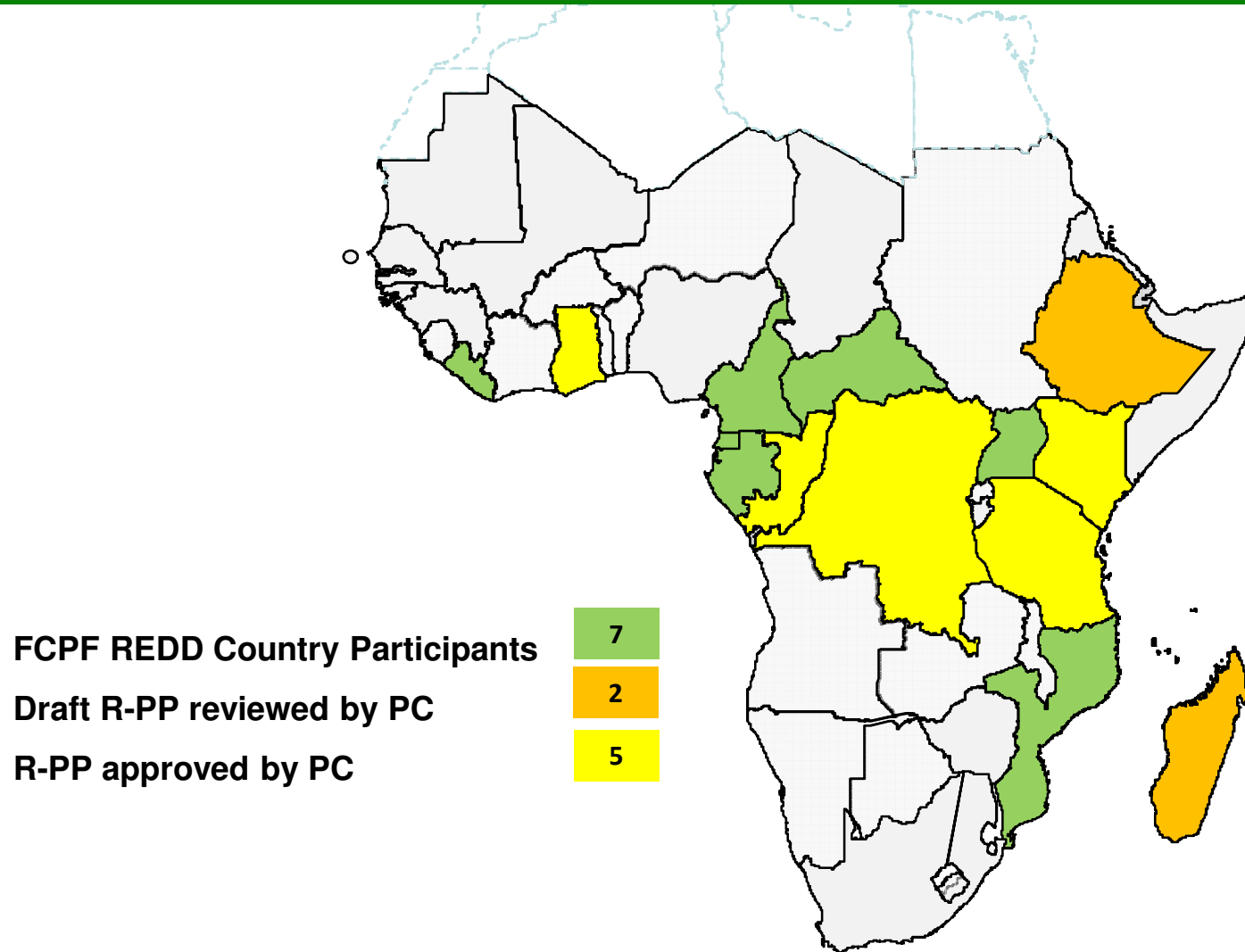
## Asia & Pacific

- Cambodia
- Indonesia
- Lao PDR
- Nepal
- Papua New Guinea
- Thailand
- Vanuatu
- Vietnam

*\* Has not yet signed the Participation Agreement*



## 2. FCPF Countries in Africa



**FIP in the AFR region – 3 countries selected: Ghana, Burkina Faso and DRC**

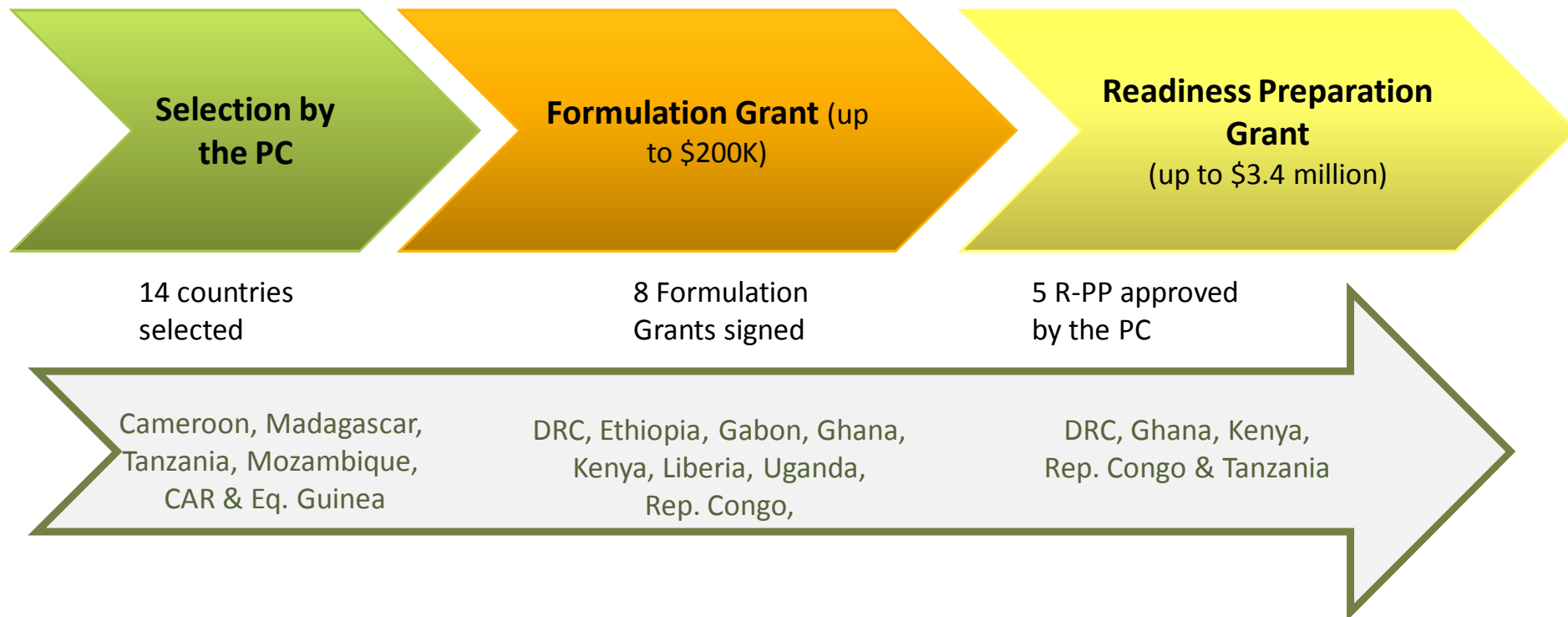
# Forest Carbon Partnership Facility

## FCPF Portfolio in the AFR region – Support to REDD Readiness

14 countries selected in the AFR region (out of the 37 in total)

8 Grant Agreements signed for the Formulation Phase (up to 200K)

5 countries with an approved R-PP and ready to move to the Readiness Grant (up to 3.4 million)



## 3. Stakeholder Engagement in Africa

- FCPF must help, not harm the political process
  - Cannot prejudge financial or methodological outcomes
  - Tests and informs
- Participation and stakeholder engagement in decision making as a guiding principle FCPF operations
  - Africa Regional Consultation on REDD -2008
  - IP Capacity Building Program Funded IPAAC, DRC IPs
- Requirement that Indigenous Peoples will be consulted and participate in FCPF operations:
  - World Bank Safeguard Policy 4.10
  - Guidelines on Stakeholder Engagement
  - Strategic Environment and Social Assessment

# Consultations with Key Local Stakeholders



FCPF COUNTRY	Kenya, Uganda, Tanzania, Ethiopia	Ghana, Liberia	DRC, Rep. of Congo, Central Africa Republic	Madagascar	Gabon, Mozambique, Cameroon
<p><b>Country Proposals/ Status</b></p>	<p>-Early engagement with key stakeholder groups, including Indigenous Peoples and other local communities. All three countries with the exception of Tanzania has included IPs and NGOs in their national implementation management frameworks</p> <p>Several Consultations workshops were implemented with key stakeholder groups including forest dependent IP and other forest dwellers with specific objectives to solicit their views on the various comp. of the R-PP and to validate the consultation plans designed for preparation of REDD Strategy.</p> <p>Outreach and effective communication strategies have been developed to include use</p>	<p>-Ghana and Liberia organized several regional, district level workshops with forest dependent communities , NGOs and in Ghana w/ local chiefs; furthermore NGO and forest dwellers are represented in the national implementation management frameworks</p> <p>Outreach and effective communication strategies have been developed t and used to include use of brochure, community radios Media and other existing consultation platforms</p>	<p>-Initial consultations with IPs, NGOs and local forest communities were held during formulation of the RPP. Consultation Plans were validated by these groups to ensure the inclusion and active participation in decision making. Participation Working Groups were created to accompany the consultation process as well as inclusion in the preparatory stage</p> <p>-Outreach and effective communication strategies have been developed to include use of brochure, community radios Media and other consultation platforms</p>	<p>- Consultation strategy that is inclusive of relevant stakeholders, including Indigenous Peoples and other local communities and comprehensive in its scope, is prepared .</p>	<p>- These countries have not submitted their R-PPs yet</p>

## 4. SESA As an Approach for integrating S&R Consideration in R-PP

- **What is Strategic Environmental and Social Assessment**

*“A range of analytical and participatory approaches that aim to integrate environmental and social considerations into policies, plans and programs (PPPs) and evaluate the inter linkages with economic and institutional considerations”*

*(OECD DAC Guidance on SEA for International Cooperation, 2006)*

## What will SESA do?

SESA allows for incorporation of environmental and social considerations into the REDD Readiness process including safeguarding during both the preparation and the implementation of the REDD-plus strategy as follows:

- *Enhancing the REDD+ Strategy*: Recommendations to address legal, institutional, regulatory and capacity gaps to manage environmental and social priorities associated with the drivers of deforestation and forest degradation.
- *Environmental and Social Safeguarding*: Assessment of environmental and social risks and potential impacts of
  - REDD+ Strategy Options during preparation of REDD+ Strategy
  - Policies, regulations, investments, projects during the implementation of the R-package (ESMF)



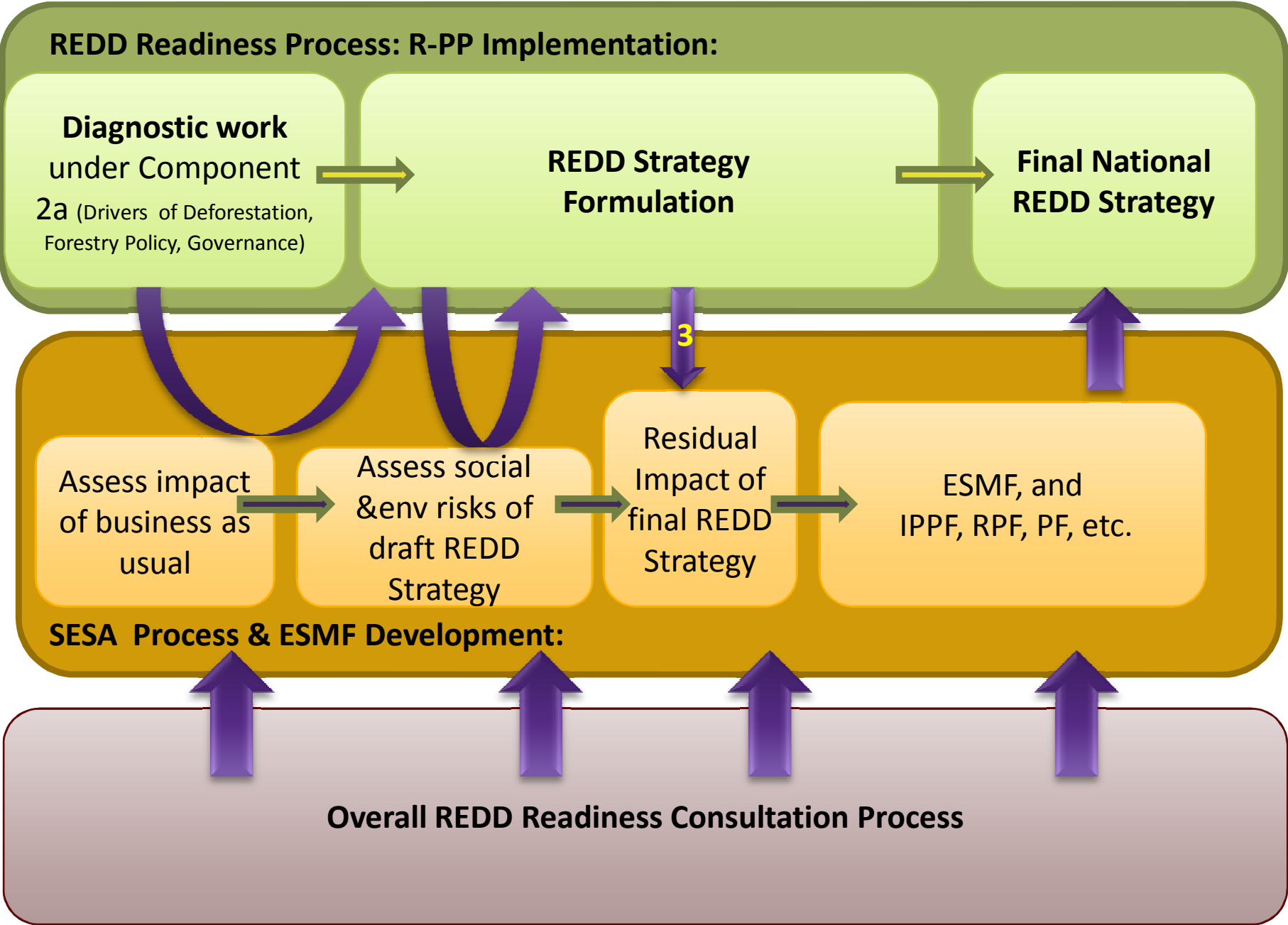


## Ingredients for SESA

- **MAIN** drivers of deforestation and causes of degradation
- **KEY** social and environmental considerations for sustainability of REDD strategy
- Institutional capacity & appropriate governance regime
- Baseline social and environmental information
- Alternatives to analyze and select: REDD+ strategy options
- Formulate a framework to manage Risks and Potential Impacts ('+ve & '-ve) of concrete actions for the implementation of the REDD strategy

# Environmental and Social Management Framework (ESMF)

- Client countries prepare Environment and Social Management Framework to mitigate and manage risks related to adoption of future projects, activities, and policies and regulations
- Guidelines on preparation of the ESMF appear in section 2d. of the R-PP Template
- When should the ESMF be prepared?
  - At the latest before investments / carbon transactions are undertaken
  - It should begin to take shape soon after the country's REDD-Plus strategy options begin to become known
- Generic guidance on development of ToRs for preparing an ESMF now available as Annex C to the R-PP template



THANKS A LOT!

