

Harmonized Guidelines on Stakeholder Engagement and UN-REDD Guidelines on FPIC

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Developing the SE Guidelines

- Recognizing significance of IP / community involvement in the design and implementation of REDD+ activities ...Starting in 2008, several consultations at CSD, UNPFII, CBD COPs, CSO advisory reviews and public consultation – subsequently revised
- Harmonized approach with FCPF:
 - One shared/common approach to support stakeholder engagement in readiness activities
 - Intention to reduce burden on countries by having one SE Process
 - Latest version now annexed to R-PP



SE Guidelines: Objective and Scope

Objective: To support effective stakeholder engagement in the context of REDD+ readiness with a focus on indigenous peoples and other forest-dependent peoples – through e.g. guiding the development of consultation plans in R-PPs

Scope: Indigenous peoples and other forest-dependent communities, given:

- Their rights to the land, territories and resources

- Historic use and link to the lands

- Unique role / contribution - traditional knowledge, presence

- Not traditionally included in decision making



SE Guidelines: Content

1. Importance of IPs and other forest-dependent communities
2. Relevant FCPF and UN-REDD Programme Policies
3. Principles for Effective Stakeholder Engagement
4. Practical Steps for Carrying out Effective Consultations

Annexes: Relevant WB & UN Policies
Relevant Resources & Tools



SE Guidelines: Content – Notable links to PGA process

Principles and Guidance for Effective Stakeholder Engagement: Diversity, transparency, timely and prior access to information, use existing institutions and processes, access to grievance mechanisms, well-budgeted, documented

Practical Steps for Carrying out Effective Consultations:

1. Define the desired outcomes of consultations
2. Identify stakeholders – stakeholder mapping, discuss with existing bodies, verify internally and externally
3. Define the issues to consult on
4. Define the terms of the consultation – timing, agenda, representation, outcomes
5. Select the consultation and outreach methods -workshops, surveys, focus groups, language, format, facilitators
6. Ensure that stakeholders have sufficient capacity to engage – capacity building
7. Conduct the consultations
8. Analyze and disseminate results



SE Guidelines: Application

- Guidelines integrated into R-PP template as requirement for FCPF, UN-REDD and delivery partners under the Common Approach; and UN-REDD 'Handbook to Support National Actions'
- Countries have been actively using Guidelines to support the development of components within their R-PP, National REDD+ Strategies, Consultations, Stakeholder Engagement Plans and Activities (e.g., Cambodia, DRC, Indonesia, Mongolia, Nigeria, Republic of Congo, Sri Lanka, Zambia)
- Dedicated Regional Stakeholder Engagement Specialists to support further application and use of the Guidelines
- Learn from and explore links to PGA and other processes

UN-REDD Guidelines on Free, Prior and Informed Consent' (FPIC)





Developing the FPIC Guidelines

Jun 2010 – Jan 2011: 3 regional consultations with IPs & civil society in Vietnam (Jun 2010); Panama (Oct 2010); Tanzania (Jan 2011):

- Reps of 76 IP & civil society organizations from 32 countries
- Reps of 47 international & regional organizations
- UN-REDD country staff

Feb – Jul 2011: Synthesis of input into draft Guidelines

Aug – Nov 2011: Internal review by global & regional UN-REDD staff

Dec 2011 – Jan 2012: Public comment period

Feb 2012: ‘Expert Workshop’ to review FPIC Guidelines

Mar 2012: Presented update to UN-REDD Policy Board

April/May 2012: Revision



FPIC Guidelines: Objective and Scope

Objective:

- To outline a normative, policy and operational framework for UN-REDD National Programmes to seek FPIC, as and when appropriate, as determined by the National Programme in consultation with relevant rights-holders

Scope:

- Primary users of these Guidelines will be UN-REDD National Programmes
- Guidelines apply to national level activities supported by the UN-REDD Programme and activities supported by any of the three UN partner agencies to the UN-REDD Programme in their role as a Delivery Partner under FCPF



FPIC Guidelines: Content

The Guidelines provide information on:

1. The normative framework underpinning the UN's obligation to support the right to FPIC
2. Definitions of the elements of FPIC
3. UN-REDD Programme Policy on applying FPIC
4. Operational framework for seeking FPIC
5. Grievance and accountability framework



FPIC Guidelines: Application

- Revise FPIC Guidelines:
 - Who: Tools for stakeholder/rights-holder mapping
 - When: Incorporate and build on impact assessments (including HRIA) to determine which activities require FPIC
 - How: Learn by doing – different in everything context, share best practices and tools
- Apply FPIC Guidelines in conjunction with joint UN-REDD/FCPF Guidelines on Stakeholder Engagement in the preparation of UN-REDD countries' R-PPs to develop stakeholder consultation plans
- The Guidelines are a “living document” and will be responsive to emerging needs and experiences from countries and stakeholders.



FPIC Guidelines: Application

- The Guidelines will also be used to support UN-REDD National Programs in the development of country methodologies for applying FPIC in their own countries
- Several countries are developing FPIC Guidelines or are piloting components of the FPIC process (Vietnam, Indonesia, Philippines, Paraguay, DRC, Honduras)
- Learn from these experiences and include case examples, tools and methodologies in the Guidelines (e.g. through FPIC A/P workshop in coming two days)
- Learn from and explore links with PGAs



SE and FPIC Guidelines: Relationship to PGAs

- SE/FPIC Guidelines can support:
 - Identification of relevant stakeholders through stakeholder /rights-holder mapping tools
 - ‘Participatory’ component of PGA – support to legitimate representative structures
 - A response when a PGA identifies lack of sufficient stakeholder engagement (e.g. VN presentation)
 - Assessments into issues of human rights (e.g. through HRIA)
- PGAs can support:
 - Assessments of existing capacities of countries to receive/address REDD+ related grievances



SE and FPIC Guidelines: Relationship to PGAs

How to harmonize processes? Streamline assessments and get maximum benefits from results and meet multiple objectives?

Take advantage of existing processes and stakeholder consultations on similar/related topics?

Incorporate lessons from application of each?