Country experiences: Uganda – Goals and Scope of the safeguards approach: Thoughts to be built on

Prepared by Xavier with Stephen and shared during the

UN-REDD Programme Africa regional exchange on country approaches to safeguards

(June 17-19, 2015 Nairobi, Kenya)

Initial thoughts on the goals for Uganda's safeguards approach: Context & Mandate

- The outcomes of an implemented R-PP will be four

- Successful implementation the REDD+ Strategy will both carbon and non-carbon benefits &

- BUT it may have risks as well;

- hence the safeguards

Initial thoughts on the goals for Uganda's safeguards approach: Context & Mandate

- The UNFCCC safeguards need to be **'promoted and supported'**; and "**addressed and respected"**

- UNFCCC has provided "guidance" on how to do so:

- There are <u>several reasons</u> for establishing strong REDD+ safeguards, as they will, among other things, help to:

Initial thoughts on the goals for Uganda's safeguards approach: Context & Mandate

- There are <u>several reasons</u> for establishing strong REDD+ safeguards, as they will, among other things, help to:
  - Ensure that there is more equitable distribution of the benefits and costs of REDD+;
  - Design REDD+ schemes that will be more sustainable by taking into account wider socio-economic issues and environmental concerns that are likely to be important in addressing the underlying drivers of deforestation;
  - Increase investment in REDD+ because safeguards can reduce risk, a key factor in investment decisions;
  - Meet the safeguard requirements of many of the international organizations funding (or likely to fund) REDD+;
  - Reduce risks, thus helping to deliver social and environmental benefits of REDD+.
- A functional National and Sub-national safeguards <u>system</u> for Uganda's National REDD+ Programme will serve the above as well as other functions

Initial thoughts on the goals for Uganda's safeguards approach: <u>Objective of</u> designing of a functional SIS

- To elaborate an integrated approach for <u>identifying and managing</u> social and environmental <u>risks and benefits</u> that will arise <u>from the implementation</u> of REDD+ activities; in such a way that it is consistent and complies with national, regional, international and Development Partners (donor) safeguard frameworks; including but not limited to (see next)

Initial thoughts on the goals for Uganda's safeguards approach: <u>Objective of</u> designing of a functional SIS

- To elaborate an integrated approach; including but not limited to:
  - To develop National REDD+ Safeguard Standards (Criteria and Indicators)
  - To conduct a participatory <u>Strategic Environmental and Social Assessment (SESA)</u> of REDD+ Strategy options and <u>integrate</u> environmental and social (ESA) considerations into Uganda's REDD+ Strategy
  - To identify and map prioritized biodiversity and ecosystem-based <u>multiple benefits</u> of REDD+;
  - To elaboration an Integrated Safeguard Systems architecture that brings different safeguards together is a Safeguard Information System including, as necessary, linkage with the national forest monitoring system (NFMS) and its associated registry

Products/Outputs of the National Safeguards System Development process

1. National REDD+ Safeguards

2. National/sub-national system of monitoring and providing information on how safeguards are being addressed and respected during the implementation of REDD+ activities

3. An Environmental and Social Monitoring Framework, developed through the Strategic Environmental Social Assessment process

4. Spatial information to facilitate the design of REDD+ actions that can respect the National REDD+ Safeguards and realise multiple benefits

5. Elaboration of an Integrated Safeguard Systems architecture including, as necessary, linkage with the national forest monitoring system (NFMS) and its associated registry.

Initial thoughts on the goals for Uganda's safeguards approach: approaches

1. Approach for National REDD+ Safeguard Standards (Criteria and Indicators)

2. Approach for conducting a participatory Strategic Environmental and Social Assessment (SESA) of REDD+ Strategy options and integrate environmental and social (ESA) considerations into Uganda's REDD+ Strategy

3. Approach for Identifying and Mapping Prioritized biodiversity and ecosystem-based multiple benefits of REDD+

4. Approach to elaborating an Integrated Safeguard Systems architecture that brings different safeguards together in a Safeguard Information System (SIS) including, as necessary, linkage with the national forest monitoring system (NFMS) and its associated registry

Initial thoughts on the goals for Uganda's safeguards approach: Steps

1. Stakeholder Mapping / Gap Analysis
2. Awareness, Outreach, & Capacity Building (ongoing)
3. Establish a coordinating body to oversee the country approach to safeguards and safeguard information system (Safeguards Taskforce)
4. Develop a work plan for the country approach to safeguards and safeguard information system (Draft roadmap)
5. Define the objectives of the country approach to safeguards and safeguard information system, building on identified social and environmental risks and benefits
6. Develop a national interpretation of the Cancun Safeguards
7. Identify the potential risks and benefits associated with REDD+ actions (or REDD+ Strategy options)

Initial thoughts on the goals for Uganda's safeguards approach: Steps

1. Determine how the country's existing policies, laws and regulations (PLRs) already address the risks or promote the benefits identified in the step above; and

2. Assess gaps in the PLR framework that may need to be addressed in order to fully address and respect the Cancun safeguards in REDD+ implementation

3. Utilize the information gathered from steps 7 and 8 above to refine the REDD+ Strategy (options)

4. Develop a framework for managing and mitigating the environmental and social risks and impacts for future investments associated with implementing a country's REDD+ strategy (referred to by FCPF as an Environmental and Social Management Framework (ESMF))

5. Conduct a gap analysis of existing information systems.

6. Develop indicators for the provision of information on how the Cancun [and WB] safeguards are being addressed and respected.

•

Initial thoughts on the goals for Uganda's safeguards approach: Steps

1. Apply methods and methodologies for the collection of information.
2. Validate the methodological approach for the collection of safeguard information.
3. Develop a framework for the provision of information.
4. Develop quality assurance procedures for the safeguard information.
5. Conduct a multi-stakeholder analysis and assessment of safeguard information.
6. Develop an approach to store and manage safeguard-related information over time.
7. Share publically information on how safeguards are being addressed and respected. Policies, laws and regulations (PLRs), understood broadly include relevant local, national and international policies, laws, regulatory frameworks and legally binding agreements.

Ministry of Water and Environment (MWE)

Forestry Sector Support Department (FSSD)

BACKGROUND DOCUMENT AND PROVISIONAL ROADMAP[1] TO INFORM THE DESIGNING OF A NATIONAL AND SUB-NATIONAL SAFEGUARDS SYSTEMFOR UGANDA'S NATIONAL REDD+ PROGRAMME[2]

REDD+ Secretariat (with UNEP-WCMC Technical Support)
10 April 2015 Version

---

[1] Materials and references heavily used in preparing these terms of reference are listed at the end and are hereby fully acknowledged by inference