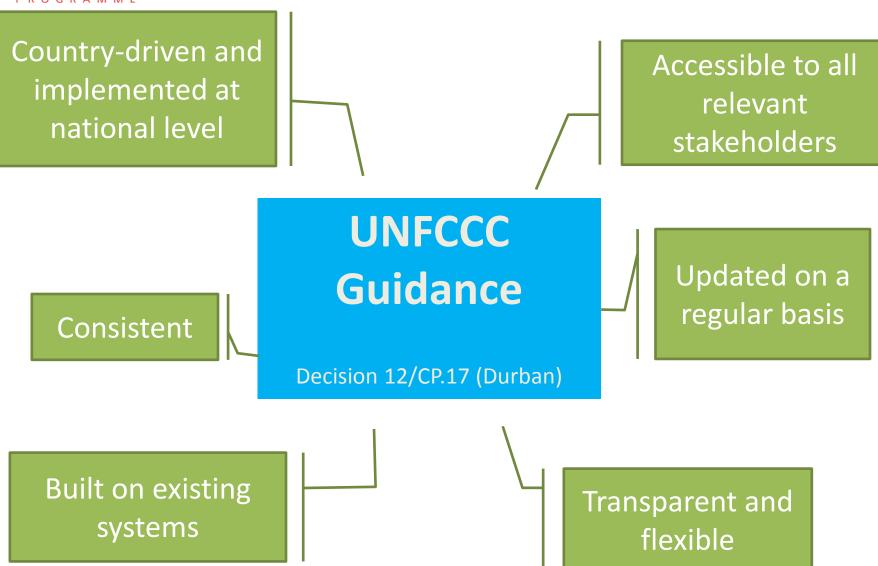# Safeguards Information Systems: Practical Considerations
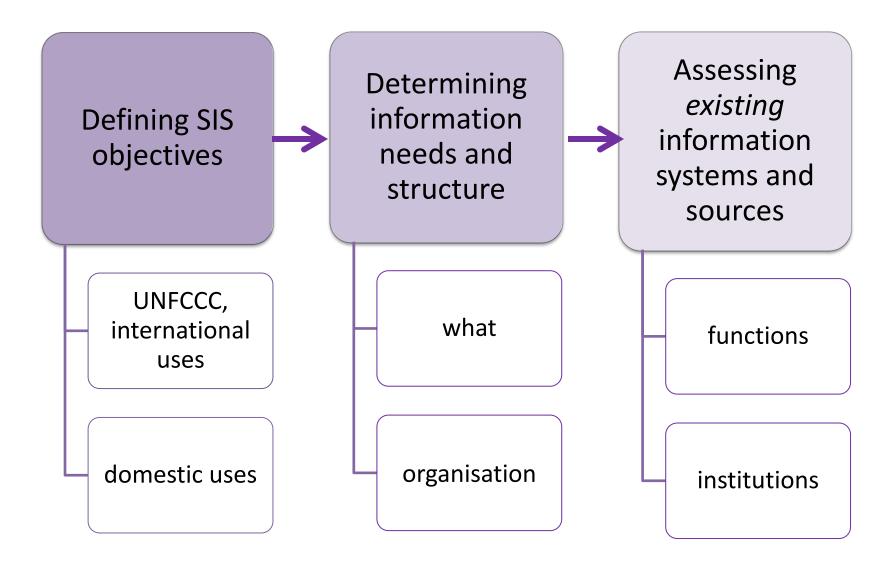
Information Day Sessions

14th Policy Board meeting
Washington, D.C. United States

20 May 2015

Design elements and process

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┌─────────────────┐      ┌─────────────────┐      ┌─────────────────┐
│  Defining SIS   │ ──▶  │  Determining    │ ──▶  │   Assessing     │
│   objectives    │      │  information    │      │   *existing*    │
│                 │      │  needs and      │      │  information    │
│                 │      │  structure      │      │  systems and    │
│                 │      │                 │      │    sources      │
└────────┬────────┘      └────────┬────────┘      └────────┬────────┘
         │                        │                        │
    ┌────┴──────────┐        ┌────┴──────────┐        ┌────┴──────────┐
    │   UNFCCC,     │        │     what      │        │   functions   │
    │ international │        │               │        │               │
    │     uses      │        │               │        │               │
    └───────────────┘        └───────────────┘        └───────────────┘

    ┌───────────────┐        ┌───────────────┐        ┌───────────────┐
    │ domestic uses │        │ organisation  │        │ institutions  │
    └───────────────┘        └───────────────┘        └───────────────┘
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Defining information objectives

1. Default – eligibility for REDD+ results-based payments within UNFCCC, i.e. summary of information

2. But information on how the environment and society are being safeguarded could contribute to a range of other domestic objectives:

   - Funding accessed

   - Improved NS/AP implementation

   - Greater legitimacy of REDD+

   - Policies reformed

   - International reporting requirements

Determining information needs

1. What specific information is *needed*
   a) in relation to the specific benefits and risks of proposed REDD+ activities
   b) to demonstrate appropriate PLRs are in place (addressing safeguards) and are being adequately implemented (respecting safeguards)?

2. A country need not attempt to collect information on all possible aspects of each safeguard

3. Efforts should focus on information most relevant to priority benefits/risks associated with PaMs comprising the NS/AP

Determining information structure

1. Structuring of information will depend on many factors:
   - goals, scope, scale, objectives, capacity, etc.

2. 2 basic options:
   i. narrative description
   ii. hierarchical structure: principles, criteria, indicators

3. Indicators identified based on *existing* sources of information, enshrined in *existing* PLRs

4. Novel indicators can be considered where a distinct information need (gap) is not met

Assessing existing information systems and sources

- SIS *functions* – what does the system need to do to meet desired information objectives?

- Identify the relevant *existing **institutions*** (and gaps) with the mandate, procedures and capacity to carry out one or more functions for information:

1. **collection** and **management**

2. **analysis** and **interpretation**

3. **quality control** and **assurance**

4. **dissemination** and **use**

SIS ≠ discrete, novel system!

SIS = articulation of existing systems!

Looking forward…

- SIS - articulation of existing systems at the national level
  - are functions and institutions key to unlocking SIS design?

- Practical SIS design considerations
  - put down on paper for the first time (Q3 paper)

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Thank You

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