









NOTE TO FILE ON JUSTIFICATION FOR CHANGING TERM OF REFERENCE (TOR)

TO:

H.E Chea Sam Ang, National Programme Director

FROM:

Peter Iversen. Technical Specialist

SUBJECT:

Changing TOR of national consultant of Long Ratanakoma and Mr. Neab Keng

DATE:

26/Februrary/2014

BACKGROUND

Activity 2.3c Consultation on benefits sharing will be carried out by Mr. Long Ratanakoma and Mr. Neab Keng in 10 provinces plus a national consultation workshop. This together with the assessment of existing experiences with benefit sharing in Cambodia are all part of the consultation process to ensure a common understanding and broad acceptance of a benefit sharing mechanism that is suitable for Cambodia.

The original ToR for the two consultants included 15 meetings at provincial level which after further considerations have been reduced to 10 meetings.

RATIONALE FOR CHANGING TOR OF NATIONAL CONSULTANT

Since this assignment is to collect views on the existing experiences with benefits sharing rather than to present a final proposal it was proposed to reduce the number of meetings but due to the benefit of getting the local governments involved it was finally decided to have 10 meetings in provinces. Stakeholders from provinces where meetings are not taking place will be invited to attend the meetings in the neighboring province.

At the same time it is acknowledged that the work and the costs involved in organizing each meeting was underestimated in the original proposal which means the number of days required by the consultants is still appropriate and the total cost of organizing all the meetings is only slightly lower than the original budget planned for the 15 meetings.

I, therefore, certify to the best of my ability that this justification for changing the TOR of national consultant of activity 2.3 b,c,d is accurate and complete.

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H.E Chea Sam A	Ang, National	Programm	e Director	
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H.E Chea Sam A	ang, inational	Programm	e Director	

Minutes from meeting to discuss next steps for the work related to the development of a benefit sharing mechanism for REDD+ in Cambodia.

Forest administration 24 February 2014

Participants:

- 1. H.E Chea Sam Ang, National Programme Director
- 2. Moeko Saito-Jensen, UNDP Program Analyst
- 3. LONG Ratanakoma, REDD+ BS National Consultant
- 4. Kimhy Lun, Programme Coordinator
- 5. Pheakkdey Ngoun, Intern
- 6. Peter Iversen, Technical Specialist

The termination of the contract with the international consultant on benefit sharing were justified due to the poor quality of the work delivered and it was decided that the REDD+ Taskforce Secretariat with support from Moeko Saito-Jensen and Pheakkdey Ngoun will finalize the report building on the outcome from the national consultation meeting.

It was further decided to postpone the possible recruitment of a new international consultant for the development of preferred options for benefit sharing for a few months to allow for the team mentioned above to be able to make an informed recommendation whether an international consultant is needed or not.

The concept note for the sub-national consultation meetings were discussed including the number of meetings required. Since this assignment is to collect views on the existing experiences with benefits sharing rather than to preset a final proposal it was proposed to reduce the number of meetings but due to the benefit of getting the local governments involved it was finally decided to have 10 meetings in provinces which then can be reach by neighboring provinces.

Minute Taken By:

Approved By

H.E Chea Sam Ang

National Programme Director

7. Budget

Activity	Budget
Two national consultants(2*55days)	8,800
Field trip costs national consultants (15 trips 3 nights 3 people)	4,252.50
15 local meetings	25,200
National level consultation in Phnom Penh (80 participants)	5,680
Printing and dissemination of activity output in English and Khmer	900
Miscellaneous	1.500
Total	46,332.50

8. Time frame

The activities will be implemented from November 2013 to February 2014. The schedule of the activity is shown below.

Activity	Time period
Development of ToR and announcement of consultancy	July
Recruitment of the consultants	October
Development of consultation plan	Early November
15 local consultation meetings	November to February
National consultation meeting in Phnom Penh	February

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia
- 2. Consultation on results from the assessment under 1, and
- 3. Development of up to four preferred options for penetits sparing for REOD+ in Cambodia

Activity 2.3c (the exact timing of this activity depends on both the successful completion of activity 2.3b)

- A comprehensive consultation process on benefit sharing for REDD+ in Cambodia.
- A report on the consultations process highlighting key findings and concerns in English and Khmer with possible recommendations for further consultations.

Expected delivery/output	Estimated duration to complete	Time period
Development of consultation plan		Early November
	5 days	
15 local consultation meetings	38 days	November to February
National consultation meeting in Phnom Penh	3 day	February
Report for approval	4 days	Februar/March
Final report with all comments included	5 days	Februar/March
Total	55 days	

Activity 2.3d (the exact timing of this activity depends on both the successful completion of the national consultation under activity 2.3c and successful recruiting of the international consultant)

- A proposal of up to four preferred options for benefits sharing for REDD+ in Cambodia.
- A national consultation meeting on the preferred options suggested with minutes from the meeting.
- A synthesis report not exceeding 40 pages plus annexes and references in English that consolidates the outcome of 1 and 2 above.

Expected delivery/outputs	Estimated duration to complete	Target due days
Preparation of relevant material	3 days	
Work plan for the assignment	1,5 days	First semester of 2014
Draft report with preferred options	20 days	
Planning of consultation meeting	1,5 days	
Consultation	1 day	
Synthesis report for approval	4 days	
Final report with all comments included	2 days	
Disseminate outcome to relevant stakeholders	8 days	
Total	40 days	

Duty Station

The duty station for this assignment is Phnom Penh. During the assignment the consultants are expected to be in Cambodia for all consultations.

Supervision, teamwork and administrative support:

The National Consultant will work closely with the International Consultant for activity 2.3b and 2.3d and with another National Consultant for activity 2.3c and will report to the UN-REDD National Programme Director and on day-to-day basis will be supervised by the Technical Specialist and the REDD+ Taskforce Secretariat.

Contract for the services of an Individual Contractor

No.: IC/2013/12/01

This Contract is enter into on 04 December 2013 between the Cambodia REDD+ Taskforce Secretariat (hereinafter referred to as "RTS") and **Mr. Long Ratanakoma** (hereinafter referred to as "the Individual Contractor") whose address is: #244, Street 376, Boeung Keng Kong 3, Chamkarmon, Phnom Penh.

WHEREAS RTS desires to engage the services of the Individual Contractor on the terms and conditions hereinafter set forth, and:

WHEREAS the Individual Contractor is ready and willing to accept this Contract with RTS on the said terms and conditions,

NOW, THEREFORE, the Parties hereby agree as follows:

1. Nature of services

The Individual Contractor shall perform the services as described in the Terms of References which form an integral part of this Contract and are attached hereto as Annex I in the following Duty Station(s): Phnom Penh with possibly travel to province.

2. Duration

This Individual Contract shall commence from the signing date (on 04 December 2013), and shall expire upon satisfactory completion of the services described in the Terms of Reference mentioned above, but not later than 31 July 2014, unless sooner terminated in accordance with the terms of this Contract.

3. Consideration

As full consideration for the services performed by the Individual Contractor under the terms of this Contract, including, requirement in the fulfillment of the Terms of Reference in Annex I, RTS shall pay the Individual Contractor a total of \$10,800 (Ten Thousand Eight Hundred US Dollars only) in accordance with the table set forth below. Payments shall be made following certification by Technical Specialist of RTS that the services related to each Deliverable, as described below, have been satisfactorily performed and the Deliverables have been achieved by or before the due dates specified below, if any.

DELIVERABLE	DUE DATE	AMOUNT IN [CURRENCY]
(30%) 1 st payment, upon submission and acceptance of the final report from activity 2.3b	1 st February 2014	\$3,240
(20%) 2 nd payment, upon finalization of all consultation meetings in the province under activity 2.	1 st April 2014	\$2,160
(20%) 3 rd payment, upon submission and acceptance of the final report from activity 2.3c	15 th April 2014	\$2,160
(30%) 4 th payment, Upon finalization of the dissemination of output from activity 2.3d to relevant stakeholder.	25 th June 2014	\$3,240
Each payment will be paid after receipt and approval of certification for payment and performance evaluation for last payment duly completed and signed by Technical Specialist of RTS		\$10,800

If unforeseen travel outside the Duty Station not required by the Terms of Reference is requested by RTS, and upon prior written agreement, such travel shall be at RTS's expense and the Individual Contractor shall receive a perdiem not to exceed RTS daily subsistence allowance rate in such other location(s).

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Where two currencies are involved, the rate of exchange shall be the official rate applied by the United Nations on the day the RTS instructs its bank to effect the payment(s).

4. Rights and Obligations of the Individual Contractor

The rights and obligations of the Individual Contractor area strictly limited to the terms and conditions of this Contract, including its Annexes. Accordingly, the Individual Contractor shall not been titled to any benefit, payment, subsidy, compensation or entitlement, except as expressly provided in this Contract. The Individual Contractor shall be solely liable for claims by third parties arising from the Individual Contractor's own acts or omissions in the course of performing this Contract, and under no circumstances shall RTS be held liable for such claims by third parties. The contractor should demonstrate that he/she is not employed elsewhere during the fulfillment of the contract.

5. Beneficiary

The Individual Contractor selects Mrs. Has Sokha as beneficiary of any amounts owed under this Contract in the event of death of the Individual Contractor while performing services here under. This includes the payment of any service-incurred liability insurance attributable to the performance of the services for RTS.

For payments which are not output-based lump sum, indicate the maximum number of working days/hours/units, any out of pocket expense (travel, per diem...) and the corresponding fee/cost in the Deliverable (s) table.

Mrs. HAS Sokha

#244 C, Street 376, Boeung Keng Kong 3, Chamkarmon, Phnom Penh

ID card:010317555

Email: hassokha@gmail.com

National Programme Director

Phone: 017-45 12 77

IN WITNESS WHEREOF, the Parties hereto have executed this Contract.

By signing below, I, the Individual Contractor, acknowledge and agree that I have read and accept the terms of this Contract, which form an integral part of this Contract, and that I have read and understood, and agree to abide by the standards of conduct set forth in the Secretary-General's bulletins ST/SGB/2003/13 of 9 October 2003, entitled "Special Measures for Protection from Sexual Exploitation and Sexual Abuse" and ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Experts on Mission".

☐ The Individual Contractor has submitted a Statement ☐ The Individual Contractor is not required to submitted immunization.	nt of Good Health and confirmation of immunization. mit a Statement of Good Health and confirmation of
AUTHORIZING OFFICER: The W	INDIVIDUAL CONTRACTOR:
Date:	Date: 04 Dec . 13
4	S. EN
Signature; H.E Chea Sam Ang, Ph.D.	Mr. Long Ratanakoma



- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Term of References

- Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Level	1 National Consultant (Individual Contract)		
Practice Area:	Benefit sharing, REDD+ (Forests and Climate Change)		
Duration:	Task 1: 40 days		
	Task 2: 55 days		
	Task 3: 40 days		
Duty Station:	Phnom Penh		
Supervisor:	Technical Specialist, Programme Coordinator and REDD+ Taskforce Secretariat		
Activity:	2.3b, c, d		

Background:

The Cancun Agreements issued at the Conference of Parties (COP) 16 held in Mexico in 2010 provides strong support for policy approaches that deliver positive incentives for countries and their actors to engage in REDD+ (reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries).

Thus far, a number of decisions related to REDD+ have been made on subjects including implementation, principles and safeguards, assessment of results, and reference levels. However, decisions are yet to be made regarding how to deliver positive incentives for countries and their actors to reduce emissions from the forest sector through REDD+. As of now, it is up to each country to decide upon how REDD+ should be implemented within the framework agreed, including issues related to how to distribute benefits at the national, regional and local levels, considering their national circumstances but also following the inter alia the safeguards listed in the Cancun Agreement.

Forests support the livelihoods of millions of rural indigenous peoples and communities who depend on forest resources for subsistence and income. Given the importance of forests for rural livelihoods, participating countries are required to apply safeguards in order to ensure "full and effective participation of relevant stakeholders, in particular indigenous peoples and local communities" in REDD+ (as stated in the Cancun agreement). The application of such safeguards is not only an important means of avoiding and mitigating possible negative impacts of REDD+ on these people but it can also serve to promote their active participation in forest and land conservation, as well as to reduce rural poverty which may contribute to achieving long term sustainable management of forests and carbon sequestration. On the contrary, the failure to involve local people and institutions in REDD+ and benefit sharing may risk lowering their incentives to engage in sustainable forest and land management, and thus may undermine the ultimate purpose of REDD+. Hence, it is imperative that indigenous peoples and local communities are also included in benefit sharing and that benefits are distributed in a manner that are equitable, transparent and cost-effective equitable.

Benefits are not limited to a monetary value but also include non-monetary values that may arise from improved forest governance. For instance, effective forest policies, programmes and measures to achieve REDD+ goals may not only generate income from carbon related payments but also deliver a broad range of multiple non-monetary benefits. REDD+ can contribute to new job opportunities,

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

clarification and likely issuance of land tenure for communities and increased rural incomes and preservation of important ecosystem and environmental services and biodiversity.

With the completion of the REDD+ roadmap (2011) which defines key steps for Cambodia to be ready for REDD+ implementation, Cambodia has taken a first important step towards REDD+. The national REDD+ programme in Cambodia has also been supported by UN-REDD, CamREDD and other supporting frameworks that aim to build the national capacity to plan and implement REDD+. Since 2008, two REDD pilot projects have been implemented to test and learn from on the ground REDD+ activities. Despite numerous important initiatives having been undertaken, there remains a critical need for capacity building efforts for various actors involved in REDD+ including the issues of benefits sharing, and multiple benefits and costs associated with REDD+.

A decision on how to distribute benefits and to whom and in what forms at different levels will require careful analysis of possible options suitable to the national circumstance of Cambodia. For this reason, there is a need to assess and learn from national as well as international experiences with regard to benefit sharing mechanisms used in the forest sector (e.g. Payments for and REDD+ pilot projects). Such decisions will also require extensive consultation with relevant governments at national, provincial and local levels as well as discussions with all relevant stakeholders in order to ensure a common understanding and broad acceptance of a benefit sharing mechanism that is suitable for Cambodia. At the same time, ensuring the full and effective participation of all relevant stakeholders will contribute to avoiding the creation of unrealistic expectations about REDD+ benefits which in some cases has been noted as a problem in the past.

Expected Outputs and Deliverables:

Activity 2.3b (The start of the activity will depend on the successful recruiting of the international consultant.)

- An assessment of national as well as international experiences with benefit sharing mechanisms.
- A national consultation meeting on benefit sharing mechanisms for REDD+ in Cambodia.
- A synthesis report not exceeding 30 pages plus annexes and references in English and Khmer that
 consolidates existing knowledge and experiences on benefit sharing and includes recommendations
 for next steps to be taken to develop a national level benefit sharing mechanism for REDD+ in
 Cambodia.
- Availability for dissemination of output.

Expected delivery/outputs	Estimated duration to complete	Target due days
Literature gathering, develop list of contacts relevant for the assignment	5 days	
Work plan for the assignment	1,5 days	Last week of August
Draft assessment report (output 1)	20 days	Second haft of September
Planning of national consultation meeting	1,5 days	
Consultation meeting	1 day	Late September/ early October
Synthesis report for approval	4 days	
Final report with all comments included	2 days	Mid October
Disseminate outcome to relevant stakeholders	5 days	
Total	40 days	

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Activity 2.3c (the exact timing of this activity depends on both the successful completion of activity 2.3b)

- A comprehensive consultation process on benefit sharing for REDD+ in Cambodia.
- A report on the consultations process highlighting key findings and concerns in English and Khmer with possible recommendations for further consultations.

Expected delivery/output	Estimated duration to complete	Time period
Development of consultation plan		Early November
	5 days	,
15 local consultation meetings	38 days	November to February
National consultation meeting in Phnom Penh	3 day	February
Report for approval	4 days	Februar/March
Final report with all comments included	5 days	Februar/March
Total	55 days	

Activity 2.3d (the exact timing of this activity depends on both the successful completion of the national consultation under activity 2.3c and successful recruiting of the international consultant)

- A proposal of up to four preferred options for benefits sharing for REDD+ in Cambodia.
- A national consultation meeting on the preferred options suggested with minutes from the meeting.
- A synthesis report not exceeding 40 pages plus annexes and references in English that consolidates the outcome of 1 and 2 above.

Expected delivery/outputs	Estimated duration to complete	Target due days
Preparation of relevant material	3 days	
Work plan for the assignment	1,5 days	First semester of 2014
Draft report with preferred options	20 days	
Planning of consultation meeting	1,5 days	
Consultation	1 day	
Synthesis report for approval	4 days	
Final report with all comments included	2 days	
Disseminate outcome to relevant stakeholders	8 days	
Total	40 days	

Duty Station

The duty station for this assignment is Phnom Penh. During the assignment the consultants are expected to be in Cambodia for all consultations.

Supervision, teamwork and administrative support:

The National Consultant will work closely with the International Consultant for activity 2.3b and 2.3d and with another National Consultant for activity 2.3c and will report to the UN-REDD National Programme Director and on day-to-day basis will be supervised by the Technical Specialist and the REDD+ Taskforce Secretariat.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
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- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Monitoring and Progress Control

The consultancy teams will be accountable for the timely and quality output and report weekly to the REDD+ Taskforce Secretariat on progress compared to the workplan.

Payment milestones

Consultant will be paid on a lump sum basis under the following installments

- (30 %) 1st payment, upon submission and acceptance of the final report from activity 2.3b
- (20 %) 2nd payment, upon finalization of all consultation meetings in the province under activity 2.
- (20 %) 3rd payment, upon submission and acceptance of the final report from activity 2.3c
- (30 %) 4th payment, upon finalization of the dissemination of output from activity 2.3d to relevant stakeholders.

Application

Applicants are requested to send CV, together with a letter of interest to REDD+ Taskforce Secretariat by either mail (N. 40, PreahNorodom Blvd. SangkatPhsarKandal 2, Khan Daun Penh, Cambodia) or e-mail (redd.secretariat@cambodia-redd.org).

<u>Detailed list of tasks for the national Consultant(s):</u>

Activity 2.3b

Analyze existing benefits sharing mechanisms relevant for REDD+ by

- Assist in preparing a list of existing literature on benefit sharing mechanisms used within the forest sector (e.g. Payments for environmental services, REDD+ pilot projects) and REDD+ related project activities (e.g. OddarMancheay and Seima projects) to be reviewed (subject to a review of the REDD+ Taskforce Secretariat);
- b) Assist in analysing the selected existing benefit sharing mechanisms based on a literature review and interviews with relevant stakeholders; and
- c) Assist in preparing an initial assessments report to present pros and cons of using different benefit distribution models in terms of effectiveness, efficiency and equity. These models can include both ex-ante and ex-post payments. The assessment should also highlight possible tradeoffs between effectiveness, efficiency and equity and provide recommendations for how to develop national level benefit sharing systems drawing on experiences from Cambodia and other parts of the world.

<u>Support the development of a national workshop on possible options for benefit sharing systems for REDD+ in Cambodia</u>

In collaboration with the REDD+ Taskforce Secretariat, the consultant team should design and organize a workshop to be held in Phnom Penh in September/October 2013. For the workshop organization, the team will be responsible for 1) preparing the workshop agenda, 2) identifying key initial national stakeholders in Cambodia REDD, 3) identifying relevant speakers from Cambodia (and abroad) and 4) preparing presentations to facilitate discussions. For the presentations, the team should share a consolidated view on pros and cons of using different benefit sharing mechanisms. The team should also present a number of alternative options for developing a national benefit sharing mechanism for REDD+ in Cambodia.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
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- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Produce a synthesis report that consolidates existing knowledge and experiences on benefit sharing

Assist in preparing a report not exceeding 30 pages plus annexes and references in English as a result of 1) literature reviews and 2) interviews with relevant stakeholders (e.g. government officials, NGOs, local communities, and indigenous peoples) and 3) a national level workshop. This report should not be limited but contain 1) an overview of pros and cons for using different benefits sharing mechanisms relevant for REDD+ and 2) recommendations for next steps to be taken to develop a national level benefit sharing mechanism for REDD+ in Cambodia.

Activity 2.3c

- a) Prepare a plan for a country wide consultation process including one final consultation meeting in Phnom Penh on the results of the assessment of existing benefit sharing models including initial proposals for benefit sharing models;
- b) Consult with relevant stakeholders, including representatives from local government, and make any necessary adjustments to the consultation plan;
- c) Prepare necessary information material for the consultations;
- d) Execute the consultation plan and collect comments from the consultations sorted by interest group and prepare them for a publication with a summary highlighting key ideas and concerns expressed by the participants in the consultation (in English and Khmer);
- e) Compile the key ideas and concerns expressed by the participants during the consultation in the province for presentation in the national consultation meeting in Phnom Penh.
- f) Provide recommendations on further consultations as appropriate.

Activity 2.3d

Develop suggestions for preferred options for benefit sharing mechanisms for REDD+ in Cambodia building on the work conducted under activity 2.3b and the consultations undertaken under activity 2.3c by:

Assist in conducting an extensive analysis for each of the preferred options which should not be limited but should include the result of the following considerations and activities:

- I. how incentives can be linked to actions or results at the local level within a national accounting and the extent of monitoring necessary to implement the different options.
- II. what are possible impacts of using each option on men and women in terms of their participation in benefit sharing mechanism and their actual ability to access to benefits.
- III. costs implications of different options.
- IV. how the options will work for the different management regimes in Cambodia.
- V. the possible implications for projects and sub-national implementation within a national benefit sharing mechanism.
- VI. whether the options for a national benefit sharing mechanism can also work for sub-national implementation.
- VII. present the options and the result of the above mentioned considerations and collect feedback from key ministries, REDD+ Taskforce, Consultation Group and other stakeholders as appropriate.

Support to the development of a national workshop on the preferred options for benefit sharing mechanisms for REDD+ in Cambodia

In collaboration with the REDD+ Taskforce Secretariat, the consultant team should design and organize a workshop to be held in Phnom Penh in second quarter of 2014. For the workshop organization, the team will be responsible for 1) preparing the workshop agenda, 2) identifying key national stakeholders in Cambodia REDD, 3) identifying relevant speakers from Cambodia (and abroad) and 4) preparing presentations to facilitate discussions. For the presentations, the team should share a consolidated view on pros and cons of the suggested preferred options and the reason why they have been preferred.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
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Prepare a synthesis report that consolidates the outcome of 1 and 2 above.

Assist in preparing a report not exceeding 40 pages plus annexes and references as a result of 1) the analysis and consultations conducted for identifying the preferred options and 2) a national level workshop. This report should not be limited but contain an overview of pros and cons for the suggested preferred options for benefits sharing mechanisms relevant for REDD+ in Cambodia.

The consultant is expected to work in close coordination with national partners, and is expected to visit some provinces, as necessary.

National Consultant:

The National Consultant will work in consultancy teams and tasks include but are not limited to:

- Assist and work closely with the International Consultant to conduct the analysis and produce the outputs mentioned above;
- Provide significant support to the development of the national level consultations on possible options for benefit sharing systems for REDD+ in Cambodia and sharing systems for REDD+ in Cambodia;
- Take the lead in the development of a consultation plan and its execution.

Competence Requirements

The National Consultant shall meet the following criteria:

- A minimum of a Master's or equivalent degree in natural resource management, environmental economics and policy or a related field;
- A minimum of 5 years of relevant experience in natural resource management and policy
- Good analytical, writing and communication skills both in English and Khmer, including the ability to articulate ideas in a clear and concise manner;
- Good interpersonal skills and ability to work well in a team whilst also having the capacity and initiative to work independently;
- Sound knowledge of disciplines relevant to international development work and climate change.

Evaluation criteria	Obtainable score
Relevant education and number of years experiences as required by the ToR	20 points
Proved relevant technical skills related to the fields of natural resource management and policy, and inclusion of local communities in benefit sharing	40 points
Prior experience with REDD+	20 points
Sound knowledge of disciplines relevant to international development work and climate change	20 points
Total	100 points

This TOR is approved by: walk

11:00

Signature

Developed By

Signature

National Programme Director

Date of Signing

18/09/2013

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
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Term of References

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Level	1 National Consultant (Individual Contract)	
Practice Area:	Benefit sharing, REDD+ (Forests and Climate Change)	
Duration:	Task 1: 40 days	
	Task 2: 55 days	
	Task 3: 40 days	
Duty Station:	Phnom Penh	
Supervisor:	Technical Specialist, Programme Coordinator and REDD+ Taskforce Secretariat	
Activity:	2.3b, c, d	

Background:

The Cancun Agreements issued at the Conference of Parties (COP) 16 held in Mexico in 2010 provides strong support for policy approaches that deliver positive incentives for countries and their actors to engage in REDD+ (reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries).

Thus far, a number of decisions related to REDD+ have been made on subjects including implementation, principles and safeguards, assessment of results, and reference levels. However, decisions are yet to be made regarding how to deliver positive incentives for countries and their actors to reduce emissions from the forest sector through REDD+. As of now, it is up to each country to decide upon how REDD+ should be implemented within the framework agreed, including issues related to how to distribute benefits at the national, regional and local levels, considering their national circumstances but also following the inter alia the safeguards listed in the Cancun Agreement.

Forests support the livelihoods of millions of rural indigenous peoples and communities who depend on forest resources for subsistence and income. Given the importance of forests for rural livelihoods, participating countries are required to apply safeguards in order to ensure "full and effective participation of relevant stakeholders, in particular indigenous peoples and local communities" in REDD+ (as stated in the Cancun agreement). The application of such safeguards is not only an important means of avoiding and mitigating possible negative impacts of REDD+ on these people but it can also serve to promote their active participation in forest and land conservation, as well as to reduce rural poverty which may contribute to achieving long term sustainable management of forests and carbon sequestration. On the contrary, the failure to involve local people and institutions in REDD+ and benefit sharing may risk lowering their incentives to engage in sustainable forest and land management, and thus may undermine the ultimate purpose of REDD+. Hence, it is imperative that indigenous peoples and local communities are also included in benefit sharing and that benefits are distributed in a manner that are equitable, transparent and cost-effective equitable.

Benefits are not limited to a monetary value but also include non-monetary values that may arise from improved forest governance. For instance, effective forest policies, programmes and measures to achieve REDD+ goals may not only generate income from carbon related payments but also deliver a broad range of multiple non-monetary benefits. REDD+ can contribute to new job opportunities,

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clarification and likely issuance of land tenure for communities and increased rural incomes and preservation of important ecosystem and environmental services and biodiversity.

With the completion of the REDD+ roadmap (2011) which defines key steps for Cambodia to be ready for REDD+ implementation, Cambodia has taken a first important step towards REDD+. The national REDD+ programme in Cambodia has also been supported by UN-REDD, CamREDD and other supporting frameworks that aim to build the national capacity to plan and implement REDD+. Since 2008, two REDD pilot projects have been implemented to test and learn from on the ground REDD+ activities. Despite numerous important initiatives having been undertaken, there remains a critical need for capacity building efforts for various actors involved in REDD+ including the issues of benefits sharing, and multiple benefits and costs associated with REDD+.

A decision on how to distribute benefits and to whom and in what forms at different levels will require careful analysis of possible options suitable to the national circumstance of Cambodia. For this reason, there is a need to assess and learn from national as well as international experiences with regard to benefit sharing mechanisms used in the forest sector (e.g. Payments for and REDD+ pilot projects). Such decisions will also require extensive consultation with relevant governments at national, provincial and local levels as well as discussions with all relevant stakeholders in order to ensure a common understanding and broad acceptance of a benefit sharing mechanism that is suitable for Cambodia. At the same time, ensuring the full and effective participation of all relevant stakeholders will contribute to avoiding the creation of unrealistic expectations about REDD+ benefits which in some cases has been noted as a problem in the past.

Expected Outputs and Deliverables:

Activity 2.3b (The start of the activity will depend on the successful recruiting of the international consultant.)

- An assessment of national as well as international experiences with benefit sharing mechanisms.
- A national consultation meeting on benefit sharing mechanisms for REDD+ in Cambodia.
- A synthesis report not exceeding 30 pages plus annexes and references in English and Khmer that
 consolidates existing knowledge and experiences on benefit sharing and includes recommendations
 for next steps to be taken to develop a national level benefit sharing mechanism for REDD+ in
 Cambodia.
- Availability for dissemination of output.

Expected delivery/outputs	Estimated duration to complete	Target due days	
Literature gathering, develop list of contacts relevant for the assignment	5 days		
Work plan for the assignment	1,5 days	Last week of August	
Draft assessment report (output 1)	20 days	Second haft of September	
Planning of national consultation meeting	1,5 days		
Consultation meeting	1 day	Late September/ early October	
Synthesis report for approval	4 days		
Final report with all comments included	2 days	Mid October	
Disseminate outcome to relevant stakeholders	5 days		
Total	40 days		

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Activity 2.3c (the exact timing of this activity depends on both the successful completion of activity 2.3b)

- A comprehensive consultation process on benefit sharing for REDD+ in Cambodia.
- A report on the consultations process highlighting key findings and concerns in English and Khmer with possible recommendations for further consultations.

Expected delivery/output	Estimated duration to complete	Time period	
Development of consultation plan		Early November	
	5 days	,	
15 local consultation meetings	38 days	November to February	
National consultation meeting in Phnom Penh	3 day	February	
Report for approval	4 days	Februar/March	
Final report with all comments included	5 days	Februar/March	
Total	55 days		

Activity 2.3d (the exact timing of this activity depends on both the successful completion of the national consultation under activity 2.3c and successful recruiting of the international consultant)

- A proposal of up to four preferred options for benefits sharing for REDD+ in Cambodia.
- A national consultation meeting on the preferred options suggested with minutes from the meeting.
- A synthesis report not exceeding 40 pages plus annexes and references in English that consolidates the outcome of 1 and 2 above.

Expected delivery/outputs	Estimated duration to complete	Target due days
Preparation of relevant material	3 days	
Work plan for the assignment	1,5 days	First semester of 2014
Draft report with preferred options	20 days	
Planning of consultation meeting	1,5 days	
Consultation	1 day	
Synthesis report for approval	4 days	
Final report with all comments included	2 days	
Disseminate outcome to relevant stakeholders	8 days	
Total	40 days	

Duty Station

The duty station for this assignment is Phnom Penh. During the assignment the consultants are expected to be in Cambodia for all consultations.

Supervision, teamwork and administrative support:

The National Consultant will work closely with the International Consultant for activity 2.3b and 2.3d and with another National Consultant for activity 2.3c and will report to the UN-REDD National Programme Director and on day-to-day basis will be supervised by the Technical Specialist and the REDD+ Taskforce Secretariat.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Monitoring and Progress Control

The consultancy teams will be accountable for the timely and quality output and report weekly to the REDD+ Taskforce Secretariat on progress compared to the workplan.

Payment milestones

Consultant will be paid on a lump sum basis under the following installments

- (30 %) 1st payment, upon submission and acceptance of the final report from activity 2.3b
- (20 %) 2nd payment, upon finalization of all consultation meetings in the province under activity 2.
- (20 %) 3rd payment, upon submission and acceptance of the final report from activity 2.3c
- (30 %) 4th payment, upon finalization of the dissemination of output from activity 2.3d to relevant stakeholders.

Application

Applicants are requested to send CV, together with a letter of interest to REDD+ Taskforce Secretariat by either mail (N. 40, PreahNorodom Blvd. SangkatPhsarKandal 2, Khan Daun Penh, Cambodia) or e-mail (redd.secretariat@cambodia-redd.org).

Detailed list of tasks for the national Consultant(s):

Activity 2.3b

Analyze existing benefits sharing mechanisms relevant for REDD+ by

- Assist in preparing a list of existing literature on benefit sharing mechanisms used within the forest sector (e.g. Payments for environmental services, REDD+ pilot projects) and REDD+ related project activities (e.g. OddarMancheay and Seima projects) to be reviewed (subject to a review of the REDD+ Taskforce Secretariat);
- b) Assist in analysing the selected existing benefit sharing mechanisms based on a literature review and interviews with relevant stakeholders; and
- c) Assist in preparing an initial assessments report to present pros and cons of using different benefit distribution models in terms of effectiveness, efficiency and equity. These models can include both ex-ante and ex-post payments. The assessment should also highlight possible tradeoffs between effectiveness, efficiency and equity and provide recommendations for how to develop national level benefit sharing systems drawing on experiences from Cambodia and other parts of the world.

<u>Support the development of a national workshop on possible options for benefit sharing systems for REDD+ in Cambodia</u>

In collaboration with the REDD+ Taskforce Secretariat, the consultant team should design and organize a workshop to be held in Phnom Penh in September/October 2013. For the workshop organization, the team will be responsible for 1) preparing the workshop agenda, 2) identifying key initial national stakeholders in Cambodia REDD, 3) identifying relevant speakers from Cambodia (and abroad) and 4) preparing presentations to facilitate discussions. For the presentations, the team should share a consolidated view on pros and cons of using different benefit sharing mechanisms. The team should also present a number of alternative options for developing a national benefit sharing mechanism for REDD+ in Cambodia.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Produce a synthesis report that consolidates existing knowledge and experiences on benefit sharing

Assist in preparing a report not exceeding 30 pages plus annexes and references in English as a result of 1) literature reviews and 2) interviews with relevant stakeholders (e.g. government officials, NGOs, local communities, and indigenous peoples) and 3) a national level workshop. This report should not be limited but contain 1) an overview of pros and cons for using different benefits sharing mechanisms relevant for REDD+ and 2) recommendations for next steps to be taken to develop a national level benefit sharing mechanism for REDD+ in Cambodia.

Activity 2.3c

- a) Prepare a plan for a country wide consultation process including one final consultation meeting in Phnom Penh on the results of the assessment of existing benefit sharing models including initial proposals for benefit sharing models;
- b) Consult with relevant stakeholders, including representatives from local government, and make any necessary adjustments to the consultation plan;
- c) Prepare necessary information material for the consultations;
- d) Execute the consultation plan and collect comments from the consultations sorted by interest group and prepare them for a publication with a summary highlighting key ideas and concerns expressed by the participants in the consultation (in English and Khmer);
- e) Compile the key ideas and concerns expressed by the participants during the consultation in the province for presentation in the national consultation meeting in Phnom Penh.
- f) Provide recommendations on further consultations as appropriate.

Activity 2.3d

Develop suggestions for preferred options for benefit sharing mechanisms for REDD+ in Cambodia building on the work conducted under activity 2.3b and the consultations undertaken under activity 2.3c by:

Assist in conducting an extensive analysis for each of the preferred options which should not be limited but should include the result of the following considerations and activities:

- I. how incentives can be linked to actions or results at the local level within a national accounting and the extent of monitoring necessary to implement the different options.
- II. what are possible impacts of using each option on men and women in terms of their participation in benefit sharing mechanism and their actual ability to access to benefits.
- III. costs implications of different options.
- IV. how the options will work for the different management regimes in Cambodia.
- V. the possible implications for projects and sub-national implementation within a national benefit sharing mechanism.
- VI. whether the options for a national benefit sharing mechanism can also work for sub-national implementation.
- VII. present the options and the result of the above mentioned considerations and collect feedback from key ministries, REDD+ Taskforce, Consultation Group and other stakeholders as appropriate.

Support to the development of a national workshop on the preferred options for benefit sharing mechanisms for REDD+ in Cambodia

In collaboration with the REDD+ Taskforce Secretariat, the consultant team should design and organize a workshop to be held in Phnom Penh in second quarter of 2014. For the workshop organization, the team will be responsible for 1) preparing the workshop agenda, 2) identifying key national stakeholders in Cambodia REDD, 3) identifying relevant speakers from Cambodia (and abroad) and 4) preparing presentations to facilitate discussions. For the presentations, the team should share a consolidated view on pros and cons of the suggested preferred options and the reason why they have been preferred.

- 1. Assessment of existing benefit sharing models used in Cambodia and other parts of the world relevant for REDD+ in Cambodia;
- 2. Consultation on results from the assessment under 1. and
- 3. Development of up to four preferred options for benefits sharing for REDD+ in Cambodia

Prepare a synthesis report that consolidates the outcome of 1 and 2 above.

Assist in preparing a report not exceeding 40 pages plus annexes and references as a result of 1) the analysis and consultations conducted for identifying the preferred options and 2) a national level workshop. This report should not be limited but contain an overview of pros and cons for the suggested preferred options for benefits sharing mechanisms relevant for REDD+ in Cambodia.

The consultant is expected to work in close coordination with national partners, and is expected to visit some provinces, as necessary.

National Consultant:

The National Consultant will work in consultancy teams and tasks include but are not limited to:

- Assist and work closely with the International Consultant to conduct the analysis and produce the outputs mentioned above;
- Provide significant support to the development of the national level consultations on possible options for benefit sharing systems for REDD+ in Cambodia and sharing systems for REDD+ in Cambodia;
- Take the lead in the development of a consultation plan and its execution.

Competence Requirements

The National Consultant shall meet the following criteria:

- A minimum of a Master's or equivalent degree in natural resource management, environmental economics and policy or a related field;
- A minimum of 5 years of relevant experience in natural resource management and policy
- Good analytical, writing and communication skills both in English and Khmer, including the ability to articulate ideas in a clear and concise manner;
- Good interpersonal skills and ability to work well in a team whilst also having the capacity and initiative to work independently;
- Sound knowledge of disciplines relevant to international development work and climate change.

Evaluation criteria	Obtainable score
Relevant education and number of years experiences as required by the ToR	20 points
Proved relevant technical skills related to the fields of natural resource management and policy, and inclusion of local communities in benefit sharing	40 points
Prior experience with REDD+	20 points
Sound knowledge of disciplines relevant to international development work and climate change	20 points
Total	100 points

This TOR is approved by: walk

11:00

Signature

Developed By

Signature

National Programme Director

Date of Signing

18/09/2013