


UN-REDD Guidance on Conducting Corruption Risk Assessments for REDD+

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Guidance on Conducting REDD+ Corruption Risk Assessment (REDD+ CRA) : why?

- To support the identification of corruption risks in REDD+ country programmes
- To support the development of systems and capacities to mitigate those risks, forming the basis for
 - designing
 - implementing and
 - monitoringthe existence and effectiveness of anti-corruption measures
- To generate sets of semi-comparable (non-scoring!) data




Who participates in the CRA?

- The Ministry of Environment, the Ministry of Forestry (if separate), other ministries and state agencies engaged in the REDD+ readiness process
- Private sector entities relevant in drivers of deforestation and forest degradation
- Forest communities, indigenous peoples, civil society organizations
- Journalists
- National Anti-Corruption Agency, General Auditor's Offices and/or other instances leading on anti-corruption issues in a given country

Steps in the Assessment





Tool 1 : Stakeholder Perception Survey based on nine cell risk matrix (assess impact and likelihood)

	Phase 1	Phase 2	Phase 3
Policies and measures	Ex : Rate the likelihood and impact of the risk of undue influence to create fraudulent licenses, land titles or Carbon rights rate the likelihood and impact of	Ex: rate the likelihood and impact of the risk of bribery, cronyism, abuse of discretion, and/or collusion to overlook poor enforcement	Ex : rate the likelihood and impact of the risk of fraud in reporting information on social and information safeguards
MRV & NFMS	Ex : Rate the likelihood and impact of the risk of artificially inflating the reference level/ reference emission level		Ex : rate the likelihood and impact of the risk of undue influence or pressure to overlook due diligence in verification
BDS	Ex : Rate the likelihood and impact of the risk of intentionally designing weak BDS in order to favor obscure movements of funds		Ex : Rate the likelihood and impact of the risk of embezzlement of REDD+ revenues



Tool 2: Sample questions for desk research and FDGs

Implementation of policies and measures

Assess REDD+ national strategy

Assess enabling environment

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Participation :

Have national anti-corruption agencies or bodies been engaged and consulted during in the development of the national REDD+ agreement against corruption?

AC framework : Has the country ratified the national REDD+ agreement against corruption?

Transparency :

Does the strategy include plans for disclosure policies, active dissemination through multiple and appropriate channels and clear rules on when and how often information will be made public?

Access to information : Are there specific provisions in the strategy for providing information to vulnerable groups, including in culturally appropriate forms and resources to help them access information?

Demand for accountability : Do structures or forum for citizens to demand accountability exist and are they effective?

Complaints and access to justice: Do impartial, accessible and fair mechanisms for feedback, grievance, conflict resolution and redress exist?

Number and diversity of stakeholders

Desk research

Focus Group Discussions

Your inputs are needed !

- The 6 steps
 - Appropriate or over ambitious ?
 - Feasible in 4-6 months?
 - Lacking essential elements?
- The two tools
 - Adaptable?
 - Too comprehensive?
- The product

