



UN-REDD Programme Fund Funding Framework

(As of 31 May 2017)

FIRST EXECUTIVE BOARD MEETING

15-16 June
Rome, Italy

In accordance with the decision of the Policy Board, hard copies of this document will not be printed to minimize the environmental impact of the UN-REDD Programme processes and contribute to climate neutrality. The UN-REDD Programme's meeting documents are available on the internet at: www.unredd.net or www.un-redd.org.

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Introductory Notes

The Funding Framework is periodically submitted to the Policy Board of the UN-REDD Programme Fund by the Executive Coordinator of the Multi-Partner Trust Fund Office. Table 1 provides information on fund availability as required by the Policy Board for approval of new joint programmes. The document also presents more detailed information on contributions received, amounts transferred to Participating UN Organizations and amounts approved for distribution to a Participating Organization but pending transfer.

Table 1: Fund Status

as of 31 May 2017, values in US\$

This table highlights: (a) total funds received by the MPTF Office as Administrative Agent; (b) funds transferred to Participating UN Organizations less any refunds; and (c) the current fund balance, which is allocated between (i) funds that have already been approved and are programmed but not yet transferred to a Participating UN Organization; and (ii) funds remaining to be programmed. These figures [(a) through (c)] have been further segregated into amounts earmarked or un-earmarked by donors.

	Un-Earmarked	Earmarked ¹	Cumulative
Sources of Funds			
Contributions from donors	253,254,689	26,907,565	280,162,254
Fund Earned Interest and Investment Income ²	2,461,533	-	2,461,533
Interest Income received from Participating Organizations	509,053	-	509,053
Refunds by Administrative Agent to Contributors	-	-	-
Total: Sources of Funds	256,225,275	26,907,565	283,132,840
Use of Funds			
Transfers to Participating Organizations	245,535,009	26,638,489	272,173,498
Refunds received from Participating Organizations	(860,219)	-	(860,219)
Net Funded Amount	244,674,790	26,638,489	271,313,279
Administrative Agent Fees	2,377,898	269,076	2,646,974
Administrative Agent Direct Cost ³	154,648	-	154,648
Bank Charges	11,823	-	11,823
Total: Uses of Funds	247,219,159	26,907,565	274,126,724
Fund balance with Administrative Agent	9,006,115	-	9,006,115
Funds Programmed (not transferred) ⁴	18,200,677	-	18,200,677
Available Balance⁵	(9,194,561)	-	(9,194,561)

¹ Contributions earmarked by Japan and Norway to the Global Programme

² Interest is applied annually in March for the previous year

³ AA direct costs are chargeable on the EC contribution in lieu of AA fees

⁴ Funds approved by the Policy Board/Interim Committee but not yet transferred pending the finalization of the joint programme documents;. Additional detail is provided in Table 6.

⁵ Funds available for allocation/programming by Policy Board/Interim Committee. The negative balance in the unearmarked allocation represents a deposit gap. This amount will not be transferred until sufficient resources are available to meet this gap.

Table 2: Changes in Fund availability since last Policy Board

as of 31 May 2017, values in US\$

Funds with Administrative Agent	Policy Board 15	Executive Board 1
Contributions	254,948,137	280,162,254
Other income	2,575,699	2,970,586
Total income	257,523,836	283,132,840
Transferred to Participating Organizations	229,077,584	272,173,498
Refunds received from Participating Organizations	-	(860,219)
Other expenditure	2,559,082	2,813,445
Total use of funds	231,636,666	274,126,724
Balance with Administrative Agent	25,887,170	9,006,115
Funds Programmed (not transferred)	10,775,226	18,200,677
Funds available for Programming	15,111,944	(9,194,561)

Table 3: Donor Pledges, Commitments and Deposits

as of 31 May 2017, values in US\$ (except where noted)

This table highlights: (a) total donor commitments made to the fund through signed SAAs; (b) amounts deposited; and (c) net deposits comprising the total programmable amount (i.e. the amount deposited by a donor less the AA fee) split between: (i) amounts earmarked to a specific country or (ii) amounts un-earmarked.

Contributors	Total Commitments ⁶	Total Deposits (Gross)	Net Deposits ⁷		
			Unearmarked	Earmarked ⁸	Total
DENMARK, Government of	9,898,183	9,898,183	9,799,201	-	9,799,201
EUROPEAN UNION ⁹	25,876,988	15,464,840	15,310,192	-	15,310,192
JAPAN, Government of	3,046,138	3,046,138	-	3,015,677	3,015,677
LUXEMBOURG, Government of	2,674,100	2,674,100	2,647,359	-	2,647,359
NORWAY, Government of	243,389,927	243,389,927	217,333,215	23,622,813	240,956,028
SPAIN, Government of	5,492,939	5,492,939	5,438,009	-	5,438,009
SWITZERLAND, Government of	196,127	196,127	194,166	-	194,166
Grand Total	290,574,402	280,162,254	250,722,142	26,638,489	277,360,632

⁶ Commitments refer to funds where the Standard Administrative Arrangement with the donor has been concluded.

⁷ Net Deposits refer to the funds deposited by the donor to the bank account of UNDP/MPTF Office and adjusted for 1% Administrative Agent fee.

⁸ Contributions Earmarked by Japan and Norway to the Global Programme.

⁹ AA direct costs are chargeable on the EC contribution in lieu of AA fees.

Table 4: Programmes Approved for Funding to National and Global Programmes

as of 31 May 2017, values in US\$

This table highlights the total amount approved for each national or global programme split between amounts allocated to each of the Participating UN Organizations (FAO, UNDP and UNEP) as per the approved Submission Form.

UN REDD Programmes	Operational Status	Total Approved	Funds Approved by Agency		
			FAO	UNDP	UNEP
National Programmes:					
Argentina	On Going	3,842,370	1,891,760	1,308,610	642,000
Bangladesh	On Going	2,300,500	1,070,000	1,230,500	-
Bolivia	On Going	1,187,591	1,095,679	91,912	-
Cambodia	Operationally Closed	3,001,350	1,300,050	1,551,500	149,800
Chile	On Going	4,280,000	856,000	3,424,000	-
Colombia	On Going	4,000,000	1,868,687	1,484,489	646,824
Congo	On Going	4,000,000	1,368,262	1,813,188	818,550
Congo, The Democratic Republic	Operationally Closed	7,383,200	2,926,450	3,110,690	1,346,060
Cote D'Ivoire	On Going	3,210,000	1,599,650	1,246,550	363,800
Ecuador	Operationally Closed	4,000,000	1,767,908	1,457,021	775,071
Honduras	On Going	3,609,645	941,600	1,945,795	722,250
Indonesia	Financially Closed	5,644,250	1,498,000	2,996,000	1,150,250
Mongolia	On Going	3,996,450	1,171,650	2,396,800	428,000
Myanmar	On Going	5,554,370	2,231,164	3,015,688	307,518
Nigeria	On Going	4,000,000	1,130,990	2,400,350	468,660
Panama	Operationally Closed	5,300,000	2,189,000	2,067,350	1,043,650
Papua New Guinea ¹⁰	On Going	7,316,745	5,448,611	1,707,634	160,500
Paraguay	On Going	4,720,001	2,190,000	1,490,001	1,040,000
Peru	On Going	4,065,778	1,686,687	1,983,084	396,007
Philippines	Financially Closed	500,000	315,650	162,950	21,400
Solomon Islands	On Going	550,000	-	550,000	-
Sri Lanka	On Going	4,000,000	2,578,700	979,050	442,250
Tanzania	Operationally Closed	4,280,000	1,498,000	2,568,000	214,000
Uganda	On Going	1,798,670	684,800	643,070	470,800
Viet Nam	Financially Closed	4,384,756	1,690,814	2,501,128	192,814
Zambia	On Going	4,490,000	2,180,000	1,995,000	315,000
Global Programmes:					
AFRICA - Technical Assistance ¹¹	On Going	3,197,556	1,181,725	1,164,973	850,858
ASIA - Technical Assistance ¹¹	On Going	2,728,343	1,121,525	1,023,948	582,870
LAC - Technical Assistance ¹¹	On Going	4,162,170	1,194,889	2,022,810	944,471
Direct Cost for Secretariat ¹¹	On Going	1,304,045	125,000	330,407	848,638
KM & Global Coordination ¹¹	On Going	1,507,191	204,000	291,990	1,011,201
UN REDD - Delivering Natl Readiness	On Going	13,768,958	5,466,848	5,425,556	2,876,554
UN-REDD Support to Country Act.	On Going	137,706,609	48,013,327	49,110,168	40,583,114
UN-REDD International Support	Operationally Closed	24,583,627	7,979,685	7,209,934	9,394,008
TOTAL		290,374,175	108,467,111	112,700,146	69,206,918

¹⁰ The full PNG allocation is no longer required and will be returned to the pool of funds - see also table 6

¹¹ 75% of the total allocation was approved on 9 Dec. 2016 by the Interim Committee. The remaining 25% will be approved base on funds availability and the achievement of programmatic targets.

Table 5: Net Funds Transferred to National and Global Programmes

as of 31 May 2017, values in US\$

This table highlights: (a) amounts transferred for each national or global programme (i) in aggregate and (ii) to each Participating UN Organisation. Amounts transferred will always be lower than or equal to total approved programme amounts presented in Table 4.

UN REDD Programmes	Total	Transfers to Participating Organization UN Organization		
		FAO	UNDP	UNEP
National Programmes:				
Argentina	3,842,370	1,891,760	1,308,610	642,000
Bangladesh	2,300,500	1,070,000	1,230,500	-
Bolivia	1,187,591	1,095,679	91,912	-
Cambodia	3,001,350	1,300,050	1,551,500	149,800
Chile	-	-	-	-
Colombia	4,000,000	1,868,687	1,484,489	646,824
Congo	4,000,000	1,368,262	1,813,188	818,550
Congo, The Democratic Republic	7,383,200	2,926,450	3,110,690	1,346,060
Cote D'Ivoire	3,210,000	1,599,650	1,246,550	363,800
Ecuador	4,000,000	1,767,908	1,457,021	775,071
Honduras	3,609,645	941,600	1,945,795	722,250
Indonesia	5,644,250	1,498,000	2,996,000	1,150,250
Mongolia	3,996,450	1,171,650	2,396,800	428,000
Myanmar	5,554,370	2,231,164	3,015,688	307,518
Nigeria	4,000,000	1,130,990	2,400,350	468,660
Panama	5,300,000	2,189,000	2,067,350	1,043,650
Papua New Guinea	6,335,384	4,520,750	1,707,634	107,000
Paraguay	4,720,001	2,190,000	1,490,001	1,040,000
Peru	-	-	-	-
Philippines	500,000	315,650	162,950	21,400
Solomon Islands	550,000	-	550,000	-
Sri Lanka	4,000,000	2,578,700	979,050	442,250
Tanzania	4,280,000	1,498,000	2,568,000	214,000
Uganda	1,798,670	684,800	643,070	470,800
Viet Nam	4,384,756	1,690,814	2,501,128	192,814
Zambia	4,490,000	2,180,000	1,995,000	315,000
Global Programmes:				
AFRICA - Technical Assistance ¹¹	2,098,167	786,294	773,729	538,144
ASIA - Technical Assistance ¹¹	1,746,257	741,144	667,961	337,152
LAC - Technical Assistance ¹¹	2,821,628	796,167	1,417,108	608,353
Direct Cost for Secretariat ¹¹	1,169,240	125,000	330,407	713,833
KM & Global Coordination ¹¹	1,130,394	153,000	218,993	758,401
UN REDD - Delivering Natl Readiness	8,829,039	2,378,221	5,425,556	1,025,262
UN-REDD Support to Country Act.	137,706,609	48,013,327	49,110,168	40,583,114
UN-REDD International Support	24,583,627	7,979,685	7,209,934	9,394,008
TOTAL	272,173,498	100,682,402	105,867,132	65,623,964

¹¹ 75% of the total allocation was approved on 9 Dec. 2016 by the Interim Committee. The remaining 25% will be approved base on funds availability and the achievement of programmatic targets.

Table 6: Programmes Approved for Funding but Not Transferred

as of 31 May 2017, values in US\$

This table highlights the balance of funds that have been i) programmed to a national or global programme and to a participating UN organization but have not yet been transferred to the organization due to: transfers provided in installments or pending finalization of programme documents

UN REDD Programmes	Total	Participating Organization UN Organization		
		FAO	UNDP	UNEP
National Programmes:				
Argentina	-	-	-	-
Bangladesh	-	-	-	-
Bolivia	-	-	-	-
Cambodia	-	-	-	-
Chile	4,280,000	856,000	3,424,000	-
Colombia	-	-	-	-
Congo	-	-	-	-
Congo, The Democratic Republic	-	-	-	-
Cote D'Ivoire	-	-	-	-
Ecuador	-	-	-	-
Honduras	-	-	-	-
Indonesia	-	-	-	-
Mongolia	-	-	-	-
Myanmar	-	-	-	-
Nigeria	-	-	-	-
Panama	-	-	-	-
Papua New Guinea ¹⁰	981,361	927,861	-	53,500
Paraguay	-	-	-	-
Peru	4,065,778	1,686,687	1,983,084	396,007
Philippines	-	-	-	-
Solomon Islands	-	-	-	-
Sri Lanka	-	-	-	-
Tanzania	-	-	-	-
Uganda	-	-	-	-
Viet Nam	-	-	-	-
Zambia	-	-	-	-
Global Programmes:				
AFRICA - Technical Assistance	1,099,389	395,431	391,244	312,714
ASIA - Technical Assistance	982,086	380,381	355,987	245,718
LAC - Technical Assistance	1,340,542	398,722	605,702	336,118
Direct Cost for Secretariat	134,805	-	-	134,805
KM & Global Coordination	376,797	51,000	72,997	252,800
UN REDD - Delivering Natl Readiness	4,939,919	3,088,627	-	1,851,292
UN-REDD Support to Country Act.	-	-	-	-
UN-REDD International Support	-	-	-	-
TOTAL	18,200,677	7,784,709	6,833,014	3,582,954

¹⁰ PNG allocation is no longer required and will be returned to the pool of funds.

Table 7: Refunds

as of 31 May 2017, values in US\$

This table highlights the balance of funds that have been refunded to the Fund per operationally closed National and Global Programmes

UN REDD Programmes	Total	Participating Organization UN Organization		
		FAO	UNDP	UNEP
National Programmes:				
Argentina	-	-	-	-
Bangladesh	-	-	-	-
Bolivia	-	-	-	-
Cambodia	(124,394)	(124,394)	-	-
Chile	-	-	-	-
Colombia	-	-	-	-
Congo	-	-	-	-
Congo, The Democratic Republic	(241,386)	(19,095)	(6,791)	(215,500)
Cote D'Ivoire	-	-	-	-
Ecuador	(14,370)	(3,431)	(9,035)	(1,904)
Honduras	-	-	-	-
Indonesia	(241,861)	(106,574)	(57,323)	(77,965)
Mongolia	-	-	-	-
Myanmar	-	-	-	-
Nigeria	-	-	-	-
Panama	(331)	(331)	-	-
Papua New Guinea	-	-	-	-
Paraguay	-	-	-	-
Peru	-	-	-	-
Philippines	(39,474)	(39,474)	-	-
Solomon Islands	(60,860)		(60,860)	
Sri Lanka	-	-	-	-
Tanzania	(38,454)	(18,295)	-	(20,159)
Uganda	-	-	-	-
Viet Nam	(29,702)	(17,388)	(11,874)	(439)
Zambia	(40,512)	(37,475)	-	(3,037)
Global Programmes:				
AFRICA - Technical Assistance	-	-	-	-
ASIA - Technical Assistance	-	-	-	-
LAC - Technical Assistance	-	-	-	-
Direct Cost for Secretariat	-	-	-	-
KM & Global Coordination	-	-	-	-
UN REDD - Delivering Natl Readiness	-	-	-	-
UN-REDD Support to Country Act.	-	-	-	-
UN-REDD International Support	(28,875)	(14,583)	(14,291)	-
TOTAL	(860,219)	(381,041)	(160,175)	(319,003)