



Summaries of Information on Safeguards

An overview of UNFCCC
guidance

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Summaries of information

- STRUCTURE? - no UNFCCC-required structure
- CONTENT ? - guidance adopted by CoP21 in 2015 (next slide...)
- WHEN? - summaries should be submitted with national communications (every 4 years)
 - directly to the [UNFCCC REDD+ web platform](#) on a voluntary basis (whenever)
 - commencing upon implementation of REDD+ actions
- LINKS TO SIS? - no explicit requirement for summaries of information to be outputs of the SIS
 - many countries stated that, once operational, *national*-level SIS should inform summaries



UNFCCC
Guidance
on
summaries

Decision
-/CP.21 (Paris)

4.

Information on which REDD+ activities are included in the summary of information

5.a

Information on national circumstances relevant to addressing and respecting the safeguards

5.b

Description of each safeguard in accordance with national circumstances

5.c

Description of existing systems and processes relevant to addressing and respecting safeguards

5.d

Information on how each of the safeguards has been addressed and respected

6.

Any other relevant information

7.

Improving information taking into account a stepwise approach

Safeguards requirements for results-based payments (1)

UNFCCC

1. SIS developed
 - designed and operational (or just designed)?
2. most recent summary of information
 - demonstrating safeguards *addressed* and *respected*...throughout REDD+ implementation...

Safeguards requirements for results-based payments (2)

GCF

- Differentiated responsibilities
 - countries have responsibility of demonstrating how Cancun safeguards have been addressed/respected
 - accredited entities prepare an environmental and social assessment on how GCF ESS (IFC PS) have been met
- Information disclosure
 - summary of information provided by countries
 - environmental and social assessment provided by accredited entities

Safeguards requirements for results-based payments (2)

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- Categorisation
 - RBP proposals will be categorized to determine type of assessment report to be prepared and submitted
- Use of proceeds
 - RBPs should be reinvested in line with, *inter alia*, GCF ESS
 - countries must provide a plan to reinvest the proceeds, describing, *inter alia*, the safeguards assessment



Progress on summaries of information

Some observations of 'early' summaries of information

- Focus is on **readiness** phase; most countries have not yet started implementing REDD+ policies and measures
- Although the distinction is made between addressing and respecting, **information on respecting** (especially outcomes) is still **limited** in most summaries



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