Update of Zambia's SIS design document v1.0

New content introduced and next steps

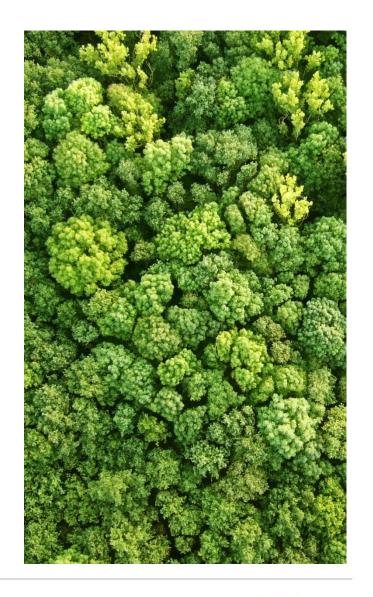**Thais Narciso, UN Environment**

29 May 2018 | Lusaka

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Recap - UNFCCC Safeguards requirements

- Countries **promote and support** the **Cancun Safeguards** throughout REDD+ implementation

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- **Safeguard information system (SIS)** to provide information on how the country is **addressing and respecting** the Cancun safeguards

- **Summary of information** on how the Cancun safeguards are being **addressed and respected** submitted before Results-Based Payments

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Contents of the SIS design document

- Background information

- Vision and objectives for the SIS

- Information content and structure

- Institutional arrangements

- System requirements for making the SIS accessible online

- SIS products

Objectives for Zambia's SIS

- First priority: demonstrate that Cancun safeguards have been addressed and respected (UNFCCC requirement)
- Supply information for adaptive management of REDD+ to improve social and environmental outcomes
- Inform improvements in PLR framework and its implementation
- Strengthen existing information systems
- Support implementation and reporting processes of international conventions

A step-wise approach

UNFCCC encourages countries to design SIS in a way that "allows for improvements over time"

SIS design document foresees two stages of SIS development:
- SIS v1.0 (2017-2020): basic information structure and initial compilation of content, focusing on how safeguards are 'addressed'; preparation of methods to close gaps in coverage
- Other SIS iterations (2020 and beyond): including additional information categories, especially on how safeguards are 'respected' (i.e. actual implementation practice and outcomes)

Why update the document?

First draft of SIS design document completed **in April 2017**; focus of **information structure** on existing PLRs and their implementation; however, only preliminary, **non-exhaustive PLR review** available.

New inputs available as of end 2017:

- List of proposed **REDD+ actions** from REDD+ Investment Plan;
- **Benefits and risks analysis** of proposed REDD+ actions
- Draft **national interpretation** of Cancun safeguards

➔ **Opportunity** to improve identification of **information needs** (incl. possible **indicators**) and reduce reliance on (**incomplete**) PLR information

Proposed new content – information template (1)

Increased focus on **key concerns for each safeguard**, as identified in **national interpretation** – making it clearer what information is needed

Information on existing PLRs complemented by **information on REDD+-specific safeguards measures** (to increase their visibility, and because this information is more readily available)

Simplified structure: numbered list of information categories, smaller, more concise tables

Proposed new content – information template (2)

Information categories:
1. Text of the safeguard
2. Draft national interpretation
3. Potential benefits of REDD+ actions related to the safeguard
4. Potential risks of REDD+ actions related to the safeguard
5. …

Proposed new content – information template (3)

Information categories:

5. How the safeguard is addressed
   1. Tabular overview
   2. Narrative description (including figures and references)
   3. Indicators (optional)
6. How the safeguard is respected in the way REDD+ actions are implemented
   1. Tabular overview
   2. Narrative description (including figures and references)
   3. Indicators (recommended)
7. ...

Proposed new content – information template (4)

Information categories:

7. How the safeguard is respected – outcomes
    1. Tabular overview
    2. Narrative description (including figures and references)
    3. Indicators (recommended)

Proposed new content – information template (5)

Overview tables: 5.1 addressing safeguards

Key concern (from national interpret-ation)	Provisions in existing PLRs	REDD+-specific safeguard measures	Measures taken to strengthen implement-ation	Remaining gaps	Plans to improve safeguards application / address gaps

Proposed new content – information template (6)

Overview tables: 6.1 respecting safeguards (process)

Key concern (from national interpretation)	Practice of REDD+ implementation	Areas for improvement

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Proposed new content – information template (7)

Overview tables: 7.1 respecting safeguards (outcomes)

Key concern (from national interpretation)	Outcomes of REDD+ implementation	Opportunities to improve outcomes

Proposed new content – recommendations

2017-2020:

1. Use **draft national interpretation** as primary basis for specifying **information needs**

2. **Immediate focus** in populating SIS should be on Type I information (**how safeguards are addressed**)

3. In parallel with compiling type I information, start to clarify approaches for developing Type II and III information (how safeguards are respected).

4. Once options for Type II and III information have been assessed, set priorities for information to be included in SIS versions 1.0 and 1.1.

Proposed new content – recommendations

2020 and beyond:
1. Revise the design of SIS v1.0 to incorporate lessons learned and close gaps in information coverage, leading to a fully operational SIS v1.1 that meets all objectives identified for Zambia's SIS.

Aims for this meeting

- Collect feedback from participants on proposed new content
- Agree on next steps, e.g.:
  - Extracting 'key concerns' from the national interpretation
  - Compiling information on how safeguards are addressed
  - Identifying methods and possible information sources on how safeguards are respected
  - Comprehensive PLR review
  - Updating sections on institutional arrangements and online system requirements
  - Capacity-building for key institutions for SIS
- For agreed next steps, discuss practicalities (timing, funding, responsibilities, etc.)

Thank you!

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